

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mensah, Shirley P.	2. Court or Organization United States District Court, Eastern District of Missouri	3. Date of Report 12/30/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☒ Amended Report	
7. Chambers or Office Address Thomas F. Eagleton Courthouse 111 South 10th Street St. Louis, Missouri 63102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	New City School Board of Trustees
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Pfizer - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. New City School	Tuition	None
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America - Checking	A	Interest	J	T					
2. First Community - Checking	A	Int./Div.	J	T					
3. ING Direct/Capital One - Savings	C	Interest	N	T					
4. IRA #1(H)									
5. --FVD (X)	A	Dividend	K	T	Buy	01/15/19	K		
6.					Buy (add'l)	12/20/19	J		
7. --FGD(X)	A	Dividend	J	T	Buy	02/19/19	J		
8.					Buy (add'l)	12/20/19	J		
9. --FTCS(X)	A	Dividend	J	T	Buy	01/15/19	J		
10. --IJR	A	Dividend	J	T	Buy (add'l)	01/15/19	J		
11.					Buy (add'l)	12/20/19	J		
12. --EFAV(X)		None	J	T	Buy	12/20/19	J		
13. --USMV(X)		None	K	T	Buy	12/20/19	K		
14. --ATEYX (X)	A	Dividend	J	T	Buy	01/15/19	J		
15. --MADCX(X)	A	Dividend	J	T	Buy	01/15/19	J		
16.					Buy (add'l)	02/19/19	J		
17. --DSEEX(X)	A	Dividend	K	T	Buy	01/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. --FTSL	A	Dividend			Sold	01/15/19	J		
19. --TOTL(Y)									
20. --KLCIX		None	K	T	Buy	01/15/19	J		
21.					Buy (add'l)	12/20/19	J		
22. --ACGYX(X)		None	K	T	Buy	12/20/19	K		
23. --BSIIX(X)		None	K	T	Buy	12/20/19	K		
24. --MAHQX(X)		None	K	T	Buy	12/20/19	K		
25. -- FDSWX		None			Sold	01/15/19	J		
26. --Invesco Floating Rate Fund (AFRYX)		None			Sold	01/15/19	J		
27. -- Loomis Sayles Investment Grade Bond Fund Class A (LSIIX)	A	Dividend	K	T	Buy (add'l)	02/19/19	J		
28.					Buy (add'l)	12/20/19	J		
29. --LDLFX(X)	A	Dividend	K	T	Buy	01/15/19	K		
30.					Buy (add'l)	02/19/19	J		
31. --WATFX(X)		None	K	T	Buy	12/20/19	K		
32. --IJH	A	Dividend	K	T	Buy (add'l)	01/15/19	J		
33.					Buy (add'l)	12/20/19	J		
34. --IAU		None			Sold	01/15/19	J		

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2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. --HGHIX		None			Sold	01/15/19	J		
36. --MIOIX		None			Sold	01/15/19	J	A	
37. --GLIFX /MFLZAB		None			Sold	01/15/19	J		
38. --EMKIX		None			Sold	01/15/19	J		
39. --FIHBX		None			Sold	01/15/19	J		
40. --LFRX		None			Sold	01/15/19	J		
41. --IEMG		None			Sold	01/15/19	J		
42. --KRE		None			Sold	01/15/19	J		
43. --CIBR		None			Sold	01/15/19	J		
44. IRA #2 (H)									
45. -- EFA	A	Dividend	J	T					
46. -- VUG	A	Int./Div.	J	T					
47. -- VTV		None	J	T					
48. -- VTI	A	Int./Div.	J	T					
49. --IEMG	A	Int./Div.	J	T	Buy (add'l)	04/08/19	J		
50.					Buy (add'l)	11/15/19	J		
51.					Buy (add'l)	12/17/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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52. --IEFA	A	Dividend	J	T					
53. --SCHB	A	Dividend	J	T	Buy (add'l)	02/22/19	J		
54.					Buy (add'l)	06/06/19	J		
55. --GOVT	A	Dividend	J	T	Buy (add'l)	05/10/19	J		
56.					Buy (add'l)	08/23/19	J		
57. --IEFA	A	Int./Div.	J	T	Buy (add'l)	04/18/19	J		
58.					Buy (add'l)	08/27/19	J		
59. --PCY (X)	A	Dividend	J	T	Buy	08/27/19	J		
60. --USIG (X)	A	Dividend	J	T	Buy	09/17/19	J		
61. UBS EQUITY FOCUS FUND	B	Int./Div.	M	T					
62. UBS DIVIDEND STRATEGY FUND	C	Int./Div.	M	T					
63. UBS Q GARP LIST FUND	B	Int./Div.	N	T					
64. 529 Plan #1 (H)									
65. --- Columbia Growth 529 Portfolio Fund Class C (MFZNMV)		None	L	T					
66. -- Columbia Moderate Growth 529 Portfolio Fund Class C (MFZMNW)		None	L	T					
67. 529 Plan #2 (H)									
68. -- MFZNMJ (Columbia Growth A)		None	L	T					

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69. Janus Enterprise Fund D Shares	A	Int./Div.	N	T					
70. Brokerage Account #1 (Fidelity) (H)									
71. -- PFE		None	J	T					
72. --Pfizer 401(k) (/H)									
73. ---- Fidelity Large Cap Growth		None	N	T					
74. ----T Rowe Small Cap Stock		None	N	T					
75. ----Dodge & Cox Intl.		None			Sold	01/15/19	L		
76. ----Fidelity Mid Cap Stock Fund		None	M	T					
77. ----Pfizer Stock Match		None	N	T					
78. -- Russell 2000 Sm Cap Index		None	M	T					
79. -- NT S&P 500 index		None	M	T					
80. --BP Large Cap Value		None	M	T					
81. Brokerage Account #2 (UBS) (H)									
82. --ADBE (X)		None	J	T	Buy	07/29/19	J		
83. --AKAM (X)		None	J	T	Buy	07/29/19	J		
84. --FTNT(X)		None	J	T	Buy	07/29/19	J		
85. --JMIA(X)		None	J	T	Buy	07/29/19	J		

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86. --KLAC(X)	A	Dividend	K	T	Buy	02/21/19	J		
87. --PYPL (X)		None	J	T	Buy	07/29/19	J		
88. --CRM (X)		None	J	T	Buy	07/29/19	J		
89. --V(X)	A	Dividend	J	T	Buy	07/29/19	J		
90. --WDAY (X)		None	J	T	Buy	07/29/19	J		
91. --ADAP		None			Sold	06/10/19	J		
92. --AAPL	A	Dividend	L	T					
93. -- AAL/USAIR	A	Dividend	J	T					
94. --AMZN		None	K	T					
95. --BAC	A	Dividend	K	T					
96. -- BLUE		None	J	T					
97. --BMY	A	Dividend	J	T					
98. --BIIB		None	J	T					
99. --BLCM		None			Sold	06/10/19	J		
100. --CMCSK/CMCSA	A	Dividend	K	T					
101. -- DAL	A	Dividend	K	T					
102. --DFS	A	Dividend	K	T					

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103. -- RE	A	Int./Div.	J	T					
104. --FB		None	K	T					
105. --GS	A	Dividend	J	T					
106. -- GT	A	Dividend			Sold	11/07/19	J		
107. --GOOG		None	K	T					
108. --INCY		None	K	T					
109. --INTC	A	Dividend	J	T					
110. -- LEA	A	Dividend	J	T					
111. --MGA	A	Dividend	K	T					
112. --MSFT	A	Dividend	K	T					
113. -- MS	A	Dividend	J	T					
114. --NP	A	Dividend	J	T					
115. -- NFLX		None	K	T					
116. -- ORBK		None			Sold	02/12/19	J		
117. --REGN		None	J	T					
118. -- SANM		None	J	T					
119. --SGEN		None	K	T					

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. --LUV	A	Dividend	J	T					
121. -- TSLA		None			Sold	06/28/19	J		
122. -- URI		None	K	T					
123. -- UTHR		None	J	T					
124. -- UEIC		None	J	T					
125. —AL	A	Dividend	J	T					
126. —AAOI		None			Sold	06/10/19	J		
127. —CCBG	A	Dividend			Sold	06/28/19	J		
128. —COBZ		None			Sold	02/12/19	J		
129. —EBF	A	Dividend	J	T					
130. —GE	A	Dividend	J	T					
131. —GNBC		None			Sold	02/12/19	J		
132. —GCBC	A	Dividend			Sold	06/28/19	J		
133. —GBNK		None			Sold	02/12/19	J		
134. —INTT		None			Sold	06/10/19	J		
135. —KE		None			Sold	11/07/19	J		
136. —MBUU		None	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. —MPB	A	Dividend			Sold	06/28/19	J		
138. —MLR	A	Dividend	J	T					
139. —SORL		None			Sold	06/10/19	J		
140. —TSEM		None			Sold	06/10/19	J		
141. --CQP	A	Dividend	J	T					
142. --CRSP		None	K	T					
143. --EDIT		None	J	T					
144. --FSFG		None			Sold	06/28/19	J		
145. --HMN		None			Sold	06/28/19	J		
146. --JCTCF		None	J	T					
147. --L	A	Dividend	J	T					
148. --SBUX		None	J	T					
149. --TMHC		None	J	T					
150. --VHI		None			Sold	06/10/19	J		
151. Brokerage Acct #3 (Interactive Brokers) (H)									
152. -- BIIB		None	J	T					
153. -- BLUE		None	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. -- CELG/BMY/BMY RT		None	J	T					
155. -- GILD	A	Dividend	J	T					
156. --INCY		None	J	T					
157. --REGN		None	J	T					
158. --ADAP	A	Dividend	J	T					
159. --BLCM		None	J	T					
160. --BOLD		None	J	T					
161. --RGNX		None	J	T					
162. --SGEN		None	J	T					
163. --VYGR		None	J	T					
164. --ADVM		None	J	T					
165. --CRSP		None	J	T					
166. --EDIT		None	J	T					
167. --SLDB		None	J	T					
168.									
169.									
170.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.									
172.									
173.									
174.									
175.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Mensah, Shirley P.

Date of Report

12/30/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII -Additional Notes:

Assets previously identified as not reportable and designated with a (Y) have either been removed from this report or, if reportable during this period, the Y was removed and information regarding the asset was reported.

Part VII, Line 19 TOTL - this asset was reported as being partially sold Jan. 31, 2018, but it was actually sold in total and was not owned at all during the reporting period.

Line 154 -this asset changed in name only because Bristol Myers bought Celegene during the reporting period.

FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

Mensah, Shirley P.

Date of Report

12/30/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Shirley P. Mensah

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544