

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ruiz II, Rodolfo A.	2. Court or Organization Southern District of Florida	3. Date of Report 05/08/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 299 East Broward Boulevard Chamber 205D Fort Lauderdale, Florida 33301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Circuit Court Judge, Eleventh Judicial Circuit	State of Florida
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2019	State of Florida: Judicial Pension
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Circuit Court Judge, Eleventh Judicial Circuit, State of Florida, judicial salary	\$52,525.35
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Cole, Scott & Kissane P.A., attorney salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Judicial Center	June 16-21, 2019	Richmond, VA	Educational Seminar	Transportation, meals, hotel
2. Georgetown University Law Center	September 20-22, 2019	Washington, DC	Educational Seminar	Transportation, meals, hotel
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Navient	Student Loans	K
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Checking Account	A	Interest	K	T					
2. Bank of America Savings Account	A	Interest	K	T					
3. Goldman Sachs Bank USA Deposit (BDA)	A	Interest	J	T					
4. GS Dynamic Municipal Income Fund Class P (GAJPX)	D	Dividend	M	T	Buy	04/11/19	M		
5. GS High Yield Municipal Fund Class P (GGLPX)	B	Dividend	K	T	Buy	04/10/19	K		
6. IShares Russell 1000 Growth ETF (IWF)	C	Dividend	K	T	Buy	04/11/19	K		
7. IShares Russell 1000 Value ETF (IWD)	B	Dividend	K	T	Buy	04/11/19	K		
8. IShares Russell 2000 ETF (IWM)	A	Dividend	J	T	Buy	04/11/19	J		
9. GS MSL & Energy Fund Class P (GAMPX)	A	Dividend	J	T	Buy	04/10/19	J		
10. SPDR DJ Wilshire Global Real Estate ETF Exchange Traded Fund (RWO)	A	Dividend	J	T	Buy	04/10/19	J		
11. IShares MSCI EAFE ETF (EFA)	A	Dividend	J	T	Buy	04/11/19	J		
12. IShares Trust-ISHares Currency Hedged MSCI EAFE ETC (HEFA)	A	Dividend	J	T	Buy	04/11/19	J		
13. Vanguard FTSE Emerging Mkts ETF (VWO)	A	Dividend	J	T	Buy	04/11/19	J		
14. GS Tactical Tilt Overlay Fund Class P (GSLPX)	A	Dividend	J	T	Buy	04/10/19	J		
15. Wisdomtree International Equity Fund	A	Dividend			Sold	04/10/19	J	A	
16. GS Concentrated Growth Fund Class P GS Concentrated Growth Fund	D	Dividend			Sold	04/10/19	K	D	
17. GS Large Cap Value Fund Class P GS Large Cap Value Fund	D	Dividend			Sold	04/10/19	J	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Rodolfo A. Ruiz II**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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