

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sands, Willie L.	2. Court or Organization District Court Middle Georgia	3. Date of Report 05/14/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U. S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address C. B. King U. S. Courthouse 201 West Broad Avenue Albany, Georgia 31701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Mercer University
2. Trustee	Walter F. George School of Law Foundation, Inc.
3. Member	Albany Symphony Association, Inc. Board of Directors
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Sands, Willie L.

Date of Report

05/14/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Gray Media Group Inc - Salary, TV News Anchor
2. 2019	Self-employed, Singer/Performer
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bar Association	9/4/2019-9/5/2019	Braselton, GA	Presenter, CLE Seminar	food, mileage & hotel
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Sands, Willie L.	05/14/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Richard Neuwirth	personal loan	J
2. Navient	college tuition loan	J
3. Navient	college tuition loan	J
4. LendingClub	personal loan	J
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Sands, Willie L.

Date of Report

05/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Wells Fargo		None	J	T					
2. E*Trade SecuritiesLLC Brokerage (H)									
3. -EKSO common		None	J	T					
4. -EKSO		None			Sold (part)	02/27/19	J		
5. -EKSO		None	J	T	Buy (add'l)	07/03/19	J		
6. -EKSO		None	J	T	Buy (add'l)	07/16/19	J		
7. -EKSO		None	J	T	Buy (add'l)	09/05/19	J		
8. -EKSO		None	J	T	Buy (add'l)	10/17/19	J		
9. -EKSO		None	J	T	Buy (add'l)	11/08/19	J		
10. -EKSO		None	J	T	Buy (add'l)	12/06/19	J		
11. -EKSO		None	J	T	Buy (add'l)	12/12/19	J		
12. -EKSO		None	J	T	Buy (add'l)	12/13/19	J		
13. -EKSO		None	J	T	Buy (add'l)	12/13/19	J		
14. -EKSO		None	J	T	Buy (add'l)	12/19/19	J		
15. -IDCC common	A	Dividend	J	T					
16. -ORBC common y		None	J	T					
17. -PCRFY ADR		None			Sold	02/27/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Sands, Willie L.

Date of Report

05/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -UEC common		None	J	T					
19. -BABA ADR		None	J	T					
20. -BABA		None	J	T	Sold (part)	03/01/19	J	A	
21. -BABA		None	J	T	Buy (add'l)	07/25/19	J		
22. -BABA		None	J	T	Buy (add'l)	07/29/19	J		
23. -QRVO common		None	J	T					
24. -QRVO		None			Sold (part)	02/28/19	J	A	
25. -BLNK common Y		None	J	T					
26. -EVS1 common Y		None	J	T					
27. -532782208 (LINC) common Y		None	J	T					
28. -RACE common	A	Dividend	J	T					
29. -RACE					Sold (part)	02/28/19	J	A	
30. -ANLDF common		None	J	T					
31. -ANLDF					Buy (add'l)	03/14/19	J		
32. -ANLDF					Buy (add'l)	03/21/19	J		
33. -ANLDF					Buy (add'l)	03/29/19	J		
34. -ANLDF					Buy (add'l)	07/12/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Sands, Willie L.

Date of Report

05/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. -ANLDF					Buy (add'l)	12/30/19	J		
36. -BCC common					Sold	02/27/19	J		
37. -CUBI common		None			Sold (part)	02/27/19	J		
38. -CUBI					Sold	06/04/19	J		
39. -FTSSF common		None	J	T					
40. -FTSSF					Buy (add'l)	05/07/19	J		
41. -FTSSF					Buy (add'l)	05/22/19	J		
42. -FTSSF					Buy (add'l)	06/05/19	J		
43. -KSHB common Y		None	J	T					
44. -LAC common Y		None	J	T					
45. -LAC common					Buy (add'l)	06/06/19	J		
46. -NRGMF common Y		None	J	T					
47. -TTS common	A	Dividend							
48. -TTS					Sold (part)	02/27/19	J		
49. -TTS					Sold	06/04/19	J		
50. -RHHNF common		None	J	T					
51. -RHHNF					Buy (add'l)	04/09/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Sands, Willie L.

Date of Report

05/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -RHHNF					Buy (add'l)	04/23/19	J		
53. -RHHNF					Buy (add'l)	04/24/19	J		
54. -RHHNF					Buy (add'l)	06/17/19	J		
55. -RHHNF					Buy (add'l)	07/24/19	J		
56. -RHHNF					Buy (add'l)	09/10/19	J		
57. -RHHNF					Buy (add'l)	09/10/19	J		
58. -RHHNF					Buy (add'l)	10/17/19	J		
59. -RHHNF					Buy (add'l)	10/22/19	J		
60. -RHHNF					Buy (add'l)	12/06/19	J		
61. -AQ common		None			Buy	03/22/19	J		
62. -AQ					Sold	05/17/19	J	A	
63. -CBM common		None			Buy	03/22/19	J		
64. -CBM					Distributed	12/04/19	J	A	
65. -TTOO common		None	J	T	Buy	03/28/19	J		
66. -TTOO					Buy (add'l)	07/29/19	J		
67. -TTOO					Buy (add'l)	08/16/19	J		
68. -TTOO					Buy (add'l)	08/20/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Sands, Willie L.

Date of Report

05/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. TIAA Brokerage Services (H) Y									
70. -EVSI common Y		None	J	T					
71. -ARRRF common Y		None	J	T					
72. -CTEQF common Y		None	J	T					
73. National Financial Services, LLC (H) Y		None	J	T					
74. -KSHB common Y		None	J	T					
75. Raycom Media, Inc 401(k) (H) Y					Merged (with line 76)	03/28/19	L	A	
76. Gray Television, Inc. Capital Accumulation Plan H X									
77. -American Beacon Small Cp Val Inst X	A	Dividend	J	T					
78. -American Funds American Balanced R6 X	A	Dividend	J	T					
79. -Key Guaranteed Portfolio Fund X		None	J	T					
80. -Blackrock Mid-Cap Growth Equity K X	A	Dividend	J	T					
81. -American Funds EuroPacific Gr R6 X	A	Dividend	K	T					
82. -American Funds Invmt Co of America R6 X	A	Dividend	J	T					
83. -Goldman Sachs U S Mortgages R6 X	A	Dividend	J	T					
84. -Vanguard Mid Cap Index Funds-Admiral X	A	Dividend	J	T					
85. -Loomis Sayles Core Plus Bond N X	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. -Vanguard Small Cap Index Adm X	A	Dividend	J	T					
87. -JPMorgan Growth & Income R6 X	A	Dividend	J	T					
88. -Vanguard Large Cap Index Adm X	A	Dividend	K	T					
89. Principal Life Insurance Company (adustible life) X	C	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Sands, Willie L.

Date of Report

05/14/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 64 - CBM was acquired for cash and its stockholders were paid cash for stock held in corporation at time of its acquisition. The proceeds are noted as a distribution because no stock was received as payment. This was not a merger.

Line 75 - The Plan listed at line 75 and in previous reports was administered by Raycom Media, Inc, former employer. Raycom or relevant holdings of Raycom were acquired by Gray Television, Inc (new and present employer). The former assets held by Raycom were rolled over directly into Gray Television, Inc's Plan, Gray Television, Inc. Capital Accumulation Plan. That Plan, and the assets held therein, begins at Line 76. The rollover was effective 3/28/19, as noted.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Sands, Willie L.

Date of Report

05/14/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Willie L. Sands**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544