

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Shea, Edward F.	2. Court or Organization United States District Court	3. Date of Report 5/27/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Senior Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 825 Jadwin Avenue, Ste. 320 Richland, WA 99352		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Officer/Director	_____ - Corporation
2.	_____
3.	_____
4.	_____
5.	_____

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	_____
2.	_____
3.	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.	University of Texas	4/2019	South Carolina	Judge Brown Admiralty Law Cup Competition	Hotel & Airfare
2.					
3.					
4.					
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	VISA	Credit card	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Northwestern Mutual Life Insurance - Whole Life Insurance	B	Dividend	M	T					
2. Northwestern Mutual Life Insurance - Whole Life Insurance	B	Dividend	L	T					
3. Northwestern Mutual Life Insurance - Whole Life Insurance	B	Dividend	L	T					
4. Prudential Life Insurance - Whole Life Insurance	A	Dividend	K	T					
5. South Boston Savings Bank Life Ins - Whole Life Insurance	A	Dividend	J	T					
6. GESA Credit Union	A	Interest	J	T					
7. Coins		None	J	T					
8. Art		None	K	W					
9. BANK OF AMERICA SAVINGS ACCOUNT	A	Int./Div.	K	T					
10.									
11. Vanguard Health Care Fund, Admin Personal Acct.	A	Dividend	J	T					
12.									
13. E/ SCHWAB RETIREMENT IRA Pershing rollover to Rochedale (H)									
14. KO	A	Dividend	J	T					
15. DUK	A	Dividend	J	T					
16. ES	A	Dividend	J	T					
17. VZ	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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18. HTA	A	Dividend	J	T					
19. MAA	A	Dividend	J	T					
20. RIMOX	D	Dividend	M	T					
21. LAMR*					Sold	07/24/18	J	A	
22. MGA	A	Dividend			Sold	08/08/19	J	A	
23. MO	A	Dividend	J	T					
24. PAYX	A	Dividend	J	T					
25. PM	A	Dividend	J	T					
26. T	A	Dividend	J	T					
27. DHR *	A	Dividend	J	T	Sold (part)	07/24/18	J	A	
28. FB		None	J	T					
29. INTC	A	Dividend	J	T					
30. HON	A	Dividend	J	T					
31. JNJ	A	Dividend	J	T					
32. LMT	A	Dividend	J	T					
33. NNN	A	Dividend	J	T					
34. TMO	A	Dividend	J	T					

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35. WMT	A	Dividend	J	T					
36. GOOGL		None	J	T					
37. AWK	A	Dividend	J	T	Sold (part)	03/29/19	J	A	
38. BGS	A	Dividend			Sold	08/27/19	J	A	
39. CTAS	A	Dividend	J	T					
40. DHR	A	Dividend	J	T					
41. UNH	A	Dividend	J	T					
42. AGR	A	Dividend	J	T					
43. PGX	A	Dividend	J	T					
44. WPC	A	Dividend	J	T					
45. D	A	Dividend	J	T					
46. EPR	A	Dividend	J	T					
47. NOC	A	Dividend	J	T					
48. XEL	A	Dividend	J	T					
49. CINF	A	Dividend	J	T	Sold (part)	06/24/19	J	B	
50. Pershing Gov't Act.	A	Interest	J	T					
51. Pershing Cash	A	Interest	J	T					

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. AEP	B	Dividend	J	T					
53. ARCC	A	Dividend	J	T					
54. NEE	A	Dividend	J	T					
55. UNP	A	Dividend	J	T					
56. PFE	A	Dividend	J	T					
57. COG	A	Dividend			Sold	08/06/19	J	A	
58. LIN	A	Dividend	J	T					
59. ROP		None	J	T					
60. CNRYX		None	K	T					
61. MRK	A	Dividend	J	T					
62. ACN		None	J	T					
63. GM Bond	A	Dividend	J	T					
64. AT&T Note	A	Interest	J	T					
65. BRK 4343189	A	Dividend	J	T					
66. BDX	A	Dividend	J	T	Buy	09/29/19	J		
67. BAC*	A	Dividend	J	T					
68. PRU*	A	Dividend	J	T					

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69. SON*	A	Dividend	J	T					
70. UL*	A	Dividend	J	T					
71.									
72.									
73.  /RETIREMENT ACCOUNT (H)									
74. Shell Int'l Fin	A	Int./Div.			Sold	09/23/19	J	A	
75. NBC Univ. Media	A	Int./Div.			Redeemed	09/03/19	J	A	
76. Dow Chem. Note	A	Int./Div.			Sold	06/19/19	J	A	
77. Home Depot Note	A	Int./Div.	J	T					
78. Jeffries Group Note	A	Int./Div.	J	T					
79. Citi GRP Note	A	Int./Div.	J	T					
80. Tyson Note	A	Int./Div.	J	T					
81. A-B Inbev Bond	A	Int./Div.	J	T					
82. Oracle Bond	A	Int./Div.	J	T					
83. VZ Bond	A	Int./Div.	J	T					
84. B.A.M Bond - name change to BA	A	Int./Div.	J	T					
85. Goldman S. Bond	A	Int./Div.	J	T					

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86. Blackrock Bond	A	Int./Div.	J	T					
87. Target Bond	A	Int./Div.	J	T					
88. Morgan Stanley Bond	A	Int./Div.	J	T					
89. Apple Note	A	Int./Div.	J	T					
90. Brookfield PSHP	A	Distribution	J	T					
91. Eaton - ETN	A	Distribution	J	T					
92. T	A	Distribution	J	T					
93. WBK 4461871	A	Int./Div.	J	T	Buy	08/14/19	J		
94. AAPL 12*		None	J	T					
95. ADBE		None	J	T					
96. BABA		None	J	T					
97. APH		None	J	T					
98. BRK.B (BA Boeing)	A	Int./Div.	J	T	Buy (add'l)	08/08/19	J		
99. CME		None	J	T					
100. CVX	A	Int./Div.	J	T					
101. CSCO	A	Int./Div.			Sold	12/11/19	J	A	
102. CL	A	Int./Div.	J	T					

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103. CMCSA	A	Int./Div.			Sold	09/09/19	J	A	
104. CMA	A	Int./Div.			Sold	1/17/19	J	A	
105. COST	A	Distribution	J	T					
106. DIS	A	Distribution	J	T					
107. EOG		None			Buy	01/17/19	J		
108.					Sold	09/10/19	J	A	
109. EW		None	J	T					
110. CNP		None	J	T	Buy	08/08/19	J		
111. CRL		None	J	T	Buy	08/08/19	J		
112. HBAN	A	Int./Div.	J	T	Buy	08/08/19	J		
113. HD	A	Int./Div.	J	T					
114. JPM	A	Int./Div.	J	T					
115. MGA	A	Int./Div.	J	T					
116. MA	A	Int./Div.	J	T					
117. MCD	A	Int./Div.	J	T					
118. MSFT	A	Int./Div.	J	T					
119. OKE	A	Int./Div.	J	T					

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120. PNC	A	Int./Div.	J	T					
121. PEP	A	Int./Div.	J	T					
122. PG	A	Int./Div.	J	T					
123. PHM	A	Distribution	J	T	Sold (part)	06/13/19	J	A	
124. RTN	A	Distribution	J	T					
125. SIX	A	Distribution			Sold	10/24/19	J	A	
126. STI*	A	Distribution			Sold	07/24/18	J	A	
127. STV	A	Int./Div.	J	T	Buy	08/08/19	J		
128. XOM	A	Int./Div.	J	T	Buy	08/08/19	J		
129. WRK	A	Int./Div.			Sold	08/08/19	J	A	
130. ZTS	A	Int./Div.	J	T	Buy (add'l)	01/17/19	J		
131. CCI	A	Int./Div.	J	T					
132. LPT	A	Int./Div.	J	T					
133. HCN now WELL	A	Int./Div.	J	T					
134. RIMOX	C	Int./Div.	J	T					
135. CNRYX-FIERA		None	J	T					
136.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Shea, Edward F.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. TBGVX		None			Sold	06/28/19	K	C	
138. Pershing Gov't Account	A	Int./Div.	K	T					
139. BA	A	Int./Div.	J	T	Buy (add'l)	08/08/19	J		
140.									
141. BLK		None			Buy	07/24/19	J		
142.					Sold	05/17/19	J	A	
143. SCHWAB JT ACCOUNT (H)									
144. British Petroleum	B	Dividend	K	T	Buy (add'l)	7/18/19	J		
145.					Buy (add'l)	08/16/19	J		
146.					Buy (add'l)	09/06/19	J		
147. --Schwab Money Market Fund SWRXX	A	Interest			Buy (add'l)	07/09/19	J		
148.					Sold (part)	09/06/19	J	A	
149.					Sold	12/16/19	J	A	
150. --Schwab Invest Money Fund (Pers) SWZXX	A	Int./Div.	K	T					
151. SHPD	B	Dividend	L	T	Sold (part)	05/30/19	K	C	
152. T	C	Int./Div.	L	T	Buy	05/30/19	K		
153.					Buy (add'l)	07/13/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. GILD	A	Dividend	J	T	Buy	06/10/19	J		
155.					Buy (add'l)	07/13/19	J		
156.					Buy (add'l)	08/29/19	J		
157. BABA		None	J	T	Buy	06/10/19	J		
158. OTLK		None			Buy	07/18/19	J		
159.					Sold	08/19/19	J	A	
160. DWBP (as of 6/3/19 reorg DDDOW)		None	J	T					
161.									
162.									
163.									
164.									
165.									
166.									
167.									
168.									
169.									
170.									

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

*

LAMR Inadvertently listed as partial sale last year - should have been listed as Sold All last year.
DHR Inadvertently listed as whole sale last year - should have been listed as a Partial Sale last year.
BAC Inadvertently omitted from last year's report
PRU Inadvertently omitted from last year's report
SON Inadvertently omitted from last year's report
UL Inadvertently omitted from last year's report
AAPL 12 Inadvertently omitted from last year's report
STI inadvertently listed as a partial sale last year, should have been listed as a Sold All

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Edward F. Shea**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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