

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Watkins, William K.	2. Court or Organization U.S. District Court, M.D. AL	3. Date of Report 11/03/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☒ Amended Report	
7. Chambers or Office Address U.S. Courthouse 1 Church Street, B300 Montgomery, AL 36104		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Manager/Sole Member	Rosewood Martin, LLC
2. Director, Shareholder, Officer	Powermaster, Inc.
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income – *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	W-2 wages
2. 2019	1099-MISC Non-employee income -Pharmaceutical Training fee
3. 2019	1099-MISC Non-employee income training fee
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Troy Bank & Trust Company	Turkey Mountain Real Estate Loan	K
2. Troy Bank & Trust Company	Line of Credit	L
3. Wells Fargo Bank	Line of Credit	J
4. Wells Fargo Bank Overdraft Protection	Line of Credit for overdraft protection on checking account at Wells Fargo Bank (Part VII, Line 17)	J
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Pike Co. AL Farm #1		None	O	W					
2. Farm (1/4 interest), Coffee County, AL		None	L	W					
3. North Carolina, Jackson Co. Lot 1 (Appraisal 7/23/04)		None	M	W					
4. North Carolina, Jackson Co. Lot 2		None	K	W					
5. North Carolina Land, Jackson Co. (Appraisal 8/8/02)		None	N	W					
6. Barn Property Troy, AL		None	K	W					
7. Pike County AL Farm #3		None	L	W					
8. Pike County AL Farm #4 - Mineral Rights		None	J	W					
9. Pike County AL Farm #5 - Mineral Rights		None	J	W					
10. Pike Co. AL Farm #6 (19% interest)	A	Distribution		W					
11. Pike Co. AL Farm #6 (19% interest) Mineral Rights		None	J	W					
12. WE, LLC (50% interest)		None	J	W					
13. Powermaster, Inc. (1/3 interest)		None	K	W					
14. Wells Fargo Bank.	A	Interest	J	T					
15. Principal Life Ins Co "Universal Life Protector" Universal Life Policy	A	Int./Div.	J	T					
16. Northwestern Mutual TM 010 Extraordinary Life		None	J	T					
17. Ameriprise Financial Services, Inc. Brokerage IRA #2 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. Ameriprise Financial Services, Inc. Brokerage IRA #2 Brokerage Cash	B	Int./Div.	J	T					
19. American Funds - Capital Income Builder Fund Class F CIBFX IRA #2	D	Dividend	M	T					
20. American Funds INVESTMENT COMPANY OF AMERICA CL C AICFX - IRA #2	A	Dividend	J	T					
21. Ameriprise Financial Services Active Portfolio Advisory IRA #1 (H)									
22. DREYFUS GOVT CASH MGMT FDS INSTL IRA #1	A	Int./Div.			Sold	05/31/19	J	A	
23. AMERICAN CENTURY MID CAP VALUE INVESTOR CL IRA #1	A	Dividend	J	T	Sold (part)	11/14/19	J	A	
24. BLACKROCK STRATEGIC INCOME OPPTY INSTL CL IRA #1	A	Dividend	J	T					
25. BLACKROCK HIGH YIELD BOND INSTL CL IRA#1	A	Dividend	J	T	Buy	02/15/19	J		
26. MULTI MANAGER VALUE STRATEGIES CL Z IRA #1	A	Dividend	J	T	Sold (part)	11/14/19	J	A	
27. MULTI MANAGER TOTAL RETURN BOND STRATEGIES CL Z IRA #1	B	Dividend	M	T	Sold (part)	02/15/19	J	A	
28. MULTI MANAGER TOTAL RETURN BOND STRATEGIES CL Z IRA #1	B	Dividend	M	T	Sold (part)	05/16/19	J	A	
29. MULTI MANAGER TOTAL RETURN BOND STRATEGIES CL Z IRA #1	B	Dividend	M	T	Sold (part)	11/14/19	J	A	
30. MULTI MANAGER GROWTH STRATEGIES CL Z IRA #1	A	Dividend	J	T	Sold (part)	02/15/19	J	A	
31. MULTI MANAGER GROWTH STRATEGIES CL Z IRA #1	A	Dividend	J	T	Sold (part)	05/16/19	J	A	
32. MULTI MANAGER INTL EQUITY STRATEGIES INSTL CL IRA #1	A	Dividend	J	T	Sold (part)	11/18/19	J	A	
33. NUVEEN SYMPHONY FLOATING RATE INCOME CL I IRA #1	A	Dividend			Sold	02/15/19	J	A	

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34. ISHARES CORE S&P SMALL CAP ETF IRA #1	A	Dividend	J	T	Buy (add'l)	05/16/19	J		
35. ISHARES CORE MSCI EMERGING MARKETS ETF IRA #1	A	Dividend	J	T	Buy (add'l)	05/16/19	J		
36. AMERICAN CENTURY MID CAP VALUE INVESTOR CL IRA #1	A	Dividend	J	T	Sold (part)	11/18/19	J	A	
37. Ameriprise Bank FSB Money Market Fund IRA #1	A	Int./Div.	J	T	Buy	06/12/19	J		
38. Troy Bank & Trust Company	A	Interest	J	T					
39. Real Property Parcel # A, Pike Road AL Assessed Value \$34,800		None	K	R					
40. Real Property Parcel #B, Pike Road AL Assessed Value \$25,700		None	K	R					
41. CollegeCounts Alabama 529 Account Plan 1	A	Dividend	J	T					
42. CollegeCounts Alabama 529 Account Plan 2	A	Dividend	J	T					
43.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII

Line 18 is the Header line for IRA # 2 held at Ameriprise Financial Services, Inc. This is the spouse's account. There were no distributions from the account during the year, so the income was left blank, indicating no distributions received.

Line 21 is the Header account for the securities transactions shown on Lines total of all securities transactions shown on Lines 22-36. IRA #1 is a fee-based advisory account managed by Wilshire Associates on the Ameriprise Financial platform and the owner of account is Judge Watkins. This account does not allow the beneficial owner to make any investment selections or trades as it is managed by Wilshire Associates according to the owner's stated suitability profile and investment objectives. The owner may only add cash to the account or request a cash withdrawal; trades are determined by the account manager, Wilshire Associates.

Line 39: Real Property, Parcel #A, Acquired 2/15/17 for \$35,000. Located in Pike Road, Montgomery County, Alabama.

Line 40: Real Property, Parcel #B, Acquired 8/17/17 for \$19,989. Located in Pike Road, Montgomery County, Alabama.

Line 41 is 529 Plan College Savings account and is not self-directed. The owner may only may only choose risk level of Low, Moderate, or High. On December 31, 2020, this account was invested in the Moderate Age-Based 06-08 - A fund

Line 42 is 529 Plan College Savings account and is not self-directed. The owner may only may only choose risk level of Low, Moderate, or High. On December 31, 2020, this account was invested in the Moderate Age-Based 09-10 - A fund

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **William K. Watkins**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544