

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Herren, Mitchell L.	2. Court or Organization United States Bankruptcy Court, Kansas	3. Date of Report 05/07/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Courthouse 401 North Market St, Room 104 Wichita, Kansas 67202-2000		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member (Resigned)	Hinkle Law Firm
2. Trustee	Individual Trust #1 (No reportable assets)
3. Trustee	Individual Trust #2 (No reportable assets)
4. Secretary (Resigned)	Ambassador Financial, Inc.
5. Guardian/Conservator	Disabled Individual #1

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2018	Hinkle Law Firm - Operating Agreement (Former Law Firm)
2. 1991	Hinkle Law Firm - Retirement Plan (AGH Hinkle 401k #1) (Former Law Firm)
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Self Employed Attorney	\$104,670.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Jon Munyan	Used Firearm (from friend and former client)	\$900.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Sound Stewardship, LLC	Financial Planning Services	J
2.			
3.			
4.			
5.			

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Name of Person Reporting

Herren, Mitchell L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. - CrossFirst Cash Account	A	Interest	M	T					
2. - Intrust Bank Cash Accounts	A	Interest	K	T					
3. Kansas ABLE Account (H)									
4. - Asset Allocation Growth		None	J	T					
5. - Fifth Third Bank (Checking)	A	Interest	J	T					
6. AGH Hinkle 401k #1 (H)									
7. - American Funds EuroPacific R6	A	Dividend	K	T					
8. - American Funds High Income Trust Fund	C	Dividend	L	T					
9. - American Funds Intr Bd Fd R6	B	Dividend	L	T					
10. - American Funds New World Fund R6	A	Dividend	K	T					
11. - American Funds SMALLCAP R6	A	Dividend	K	T					
12. - BlackRock S&P 500 Index Fund Class K Share	B	Dividend	M	T	Buy (add'l)	01/03/20	J		
13.					Buy (add'l)	05/26/20	J		
14. - Franklin Gold & Precious Metals	D	Dividend	L	T					
15. - Munder Veracity Small-Cap Value Fund R6	A	Dividend	L	T					
16. - Pioneer Bond Fund Class K	D	Dividend	M	T	Buy (add'l)	05/26/20	J		
17. - T. Rowe Price Mid-Cap Growth Fund I Class	C	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Templeton Foreign Fund Class R6		None			Sold	03/02/20	K		
19. - Vanguard Internaltional Value Fund	A	Dividend	K	T	Buy	03/02/20	K		
20. Fidelity IRA #1 (H)									
21. - FIDELITY GOVERNMENT CASH RESERVES	A	Interest	J	T					
22. - ABERDEEN STD PRECIOUS METALS B PHYSCL PM BASKET		None	K	T	Sold (part)	06/15/20	J		
23. - CALVERT EMERGING MARKETS EQUITY CL A		None	K	T	Sold (part)	12/21/20	J		
24. - CALVERT INTERNAT'L OPPORTUNITIES FUND A	A	Dividend	K	T	Buy (add'l)	03/19/20	J		
25.					Sold (part)	06/15/20	J		
26.					Sold (part)	12/21/20	J		
27. - EVENTIDE GILEAD FUND CLASS N	A	Dividend	J	T	Buy (add'l)	03/19/20	J		
28.					Sold (part)	06/15/20	J		
29. - EVENTIDE HEALTHCARE AND LIFE SCIENCES N	A	Dividend	J	T	Buy (add'l)	03/19/20	J		
30.					Sold (part)	12/21/20	J		
31. - INVESCO EXCH TRD SLF IDX FD TR BULSHS 2019 CB (Y)									
32. - INVESCO EXCH TRD SLF IDX FD TR BULSHS 2019 HY (Y)									
33. - INVESCO EXCH TRD SLF IDX FD TR BULSHS 2020 CB	A	Dividend			Matured	12/17/20	J		
34. - INVESCO EXCH TRD SLF IDX FD TR BULSHS 2020 HY	A	Dividend			Matured	12/17/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - INVESCO EXCH TRD SLF IDX FD TR BULSHS 2021 CB	A	Dividend	K	T					
36. - INVESCO EXCH TRD SLF IDX FD TR BULSHS 2021 HY	A	Dividend	J	T	Buy (add'l)	12/23/20	J		
37. - INVESCO EXCH TRD SLF IDX FD TR BULSHS 2022 CB	A	Dividend	J	T					
38. - INVESCO EXCH TRD SLF IDX FD TR BULSHS 2022 HY	A	Dividend	J	T					
39. - INVESCO EXCH TRD SLF IDX FD TR BULSHS 2023 CB	A	Dividend	K	T					
40. - INVESCO EXCH TRD SLF IDX FD TR BULSHS 2023 HY	A	Dividend	J	T					
41. - INVESCO EXCH TRD SLF IDX FD TR BULSHS 2024 CB		None	K	T	Buy	12/23/20	K		
42. - INVESCO EXCH TRD SLF IDX FD TR BULSHS 2024 HY		None	J	T	Buy	12/23/20	J		
43. - ISHARES TR INTL DEVPPTY ETF	A	Dividend			Sold	06/15/20	J		
44. - ISHARES TR INTL TREA BD ETF (Y)									
45. - ISHARES TR JP MOR EM MK ETF	A	Dividend	J	T					
46. - ISHARES TRUST UNITED STATES TREASURY	A	Dividend	K	T	Sold (part)	03/17/20	J		
47.					Buy (add'l)	06/15/20	J		
48.					Buy (add'l)	12/23/20	J		
49. - PRAXIS IMPACT BOND FUND CLASS A	A	Dividend	K	T	Sold (part)	03/17/20	K		
50.					Buy (add'l)	06/16/20	J		
51.					Buy (add'l)	12/23/20	J		

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52. - SPDR SER TR BLOMBRG BRC EMRG	A	Dividend	J	T	Sold (part)	03/17/20	J		
53.					Buy (add'l)	06/15/20	J		
54. - STEWARD INTL ENHANCED INDEX CL A	B	Dividend	L	T	Buy (add'l)	03/19/20	J		
55.					Sold (part)	06/15/20	J		
56.					Sold (part)	12/21/20	J		
57. - STEWARD LARGE CAP ENHANCED INDEX CL A	D	Dividend	M	T	Buy (add'l)	03/19/20	J		
58.					Sold (part)	06/15/20	J		
59. - STEWARD SML-MID CAP ENHANCED INDEX CL A	B	Dividend	K	T	Buy (add'l)	03/19/20	J		
60.					Sold (part)	06/15/20	J		
61.					Sold (part)	12/21/20	J		
62. - TIMOTHY PLAN HIGH YIELD BOND CL A	A	Dividend	J	T	Sold (part)	03/17/20	J		
63.					Buy (add'l)	06/16/20	J		
64. - VANGUARD INTL EQUITY INDEX FDS GLB EX US ETF	A	Dividend	K	T	Buy	06/15/20	J		
65. - VANGUARD WORLD FDS VANGUARD INFORMATION TECHNOLOGY ETF	A	Dividend	J	T	Sold (part)	06/15/20	J		
66.					Sold (part)	12/21/20	J		
67. Fidelity IRA #2 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)					

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
68. - FIDELITY GOVERNMENT CASH RESERVES	A	Interest	J	T					
69. - CALVERT INTERNAT'L OPPORTUNITIES FUND A	A	Dividend	J	T					
70. - EVENTIDE GILEAD FUND CLASS N	A	Dividend	K	T	Sold (part)	12/21/20	J		
71. - INVESCO EXCH TRD SLF IDX FD TR BULSHS 2020 CB	A	Dividend			Matured	12/17/20	J		
72. - INVESCO EXCH TRD SLF IDX FD TR BULSHS 2021 CB	A	Dividend	J	T	Buy (add'l)	12/23/20	J		
73. - INVESCO EXCH TRD SLF IDX FD TR BULSHS 2022 CB	A	Dividend	J	T	Buy (add'l)	12/23/20	J		
74. - INVESCO EXCH TRD SLF IDX FD TR BULSHS 2022 HY	A	Dividend	J	T					
75. - INVESCO EXCH TRD SLF IDX FD TR BULSHS 2023 CB	A	Dividend	J	T	Buy (add'l)	12/23/20	J		
76. - INVESCO EXCH TRD SLF IDX FD TR BULSHS 2024 CB		None	J	T	Buy	12/23/20	J		
77. - ISHARES TR INTL TREA BD ETF (Y)									
78.									
79.									
80.									
81.									
82.									
83.									
84.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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85.									
86.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Individual Trust #1 and #2 have no reportable assets.
Could not find good data on the ABLE account site for earnings - used the "\$1,000 or less" threshold for now.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Mitchell L. Herren**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544