

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Adams, Jerusha T.	2. Court or Organization District Court - Middle District of Alabama	3. Date of Report 07/02/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. District Court Frank M. Johnson, Jr. U.S. Courthouse One Church Street Montgomery, AL 36104		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Girl Scouts of Southern Alabama
2. Board Member	Montgomery Area Food Bank
3. Officer	Hugh Maddox Inn of Court
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	American Education Services	Education Loan	K
2.	BBVA Compass Bank	Personal Loan	K
3.	AAA	Credit Card	K
4.	Citi	Credit Card	J
5.	BBVA Compass Bank	Credit Card	J

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions Bank Cash Account		None	J	T					
2. BBVA Compass Cash Account		None	J	T					
3. Prudential IRA (H)									
4. - Fidelity Treasury MMKT FD	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
5.					Sold (part)	01/10/20	J		
6.					Sold (part)	07/10/20	J		
7.					Buy (add'l)	01/13/20	J		
8.					Buy (add'l)	03/18/20	N		
9.					Sold (part)	03/20/20	N		
10.					Sold (part)	04/13/20	J		
11.					Buy (add'l)	05/06/20	J		
12.					Buy (add'l)	05/12/20	J		
13.					Sold (part)	05/14/20	J		
14.					Sold (part)	07/10/20	J		
15. - Allianzgi Technology Inst.	D	Dividend			Sold	05/06/20	L		
16. - Clearbridge Small Cap Growth FD CL IS	B	Dividend	K	T	Sold (part)	08/10/20	J		
17.	B	Int./Div.	K	T	Buy	12/07/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. - PIMCO INTL BD U.S. Dollar-Hedged INST	A	Dividend	K	T	Sold (part)	05/06/20	J		
19. - PIMCO Income Fund Institutional Fund	C	Dividend	K	T	Sold (part)	05/06/20	K		
20.					Sold (part)	08/10/20	J		
21. - PGIM Jennison Utility CL Z	C	Dividend			Sold (part)	01/13/20	J		
22.					Sold	08/10/20	J		
23. - PGIM Jennison Utility Z	A	Int./Div.	L	T	Buy	12/18/20	J		
24. - BNY Mellon Global Stock I	B	Dividend	K	T	Sold (part)	01/13/20	J		
25.					Sold (part)	08/10/20	J		
26.	B	Int./Div.	K	T	Buy	12/14/20	J		
27.	A	Int./Div.	K	T	Buy	12/14/20	J		
28. - Touchstone Flexible Income Fund CL Y	A	Dividend			Sold (part)	05/06/20	J		
29. - Victory RS Science Technology FD CL Y	B	Dividend	K	T	Sold (part)	01/13/20	J		
30.					Sold (part)	08/10/20	J		
31. - Victory RS Science Technology Y	B	Int./Div.	K	T	Buy	12/17/20	J		
32.	A	Int./Div.	K	T	Buy	12/17/20	J		
33. - Virtus Ceredex MID CAP Value Equity I	B	Dividend			Sold	01/13/20	J		
34.					Buy	05/14/20	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - Wells Fargo ADVT Special SM CAP INSTL	A	Dividend	K	T	Buy	05/14/20	J		
36.					Sold (part)	08/10/20	J		
37.	A	Int./Div.	K	T	Buy	12/10/20	J		
38. - Fidelity Advisor Growth OPP CL Z			L	T	Buy	05/06/20	J		
39.					Sold (part)	08/10/20	K		
40.	C	Int./Div.	L	T	Buy (add'l)	12/29/20	J		
41.	A	Int./Div.	L	T	Buy	12/29/20	J		
42. - PIMCO Emerging Markets Bond	A	Dividend			Buy	05/06/20	J		
43.					Sold	08/10/20	J		
44. - PGIM Total Return Bond CL Z	A	Dividend	K	T	Buy	05/06/20	K		
45.					Sold (part)	08/10/20	J		
46. - PGIM Total Return Bond	A	Dividend	K	T	Buy	08/31/20	J		
47. - PIMCO Income Instl	A	Dividend	K	T	Buy	08/31/20	J		
48. - PIMCO Emerging Markets Bond Inst.	A	Dividend	J	T	Buy	08/31/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jerusha T. Adams**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544