

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Alonso, Jorge L.	2. Court or Organization United States District Court, Northern District of Illinois	3. Date of Report 06/17/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Judge, United States District Court	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address Everett M. Dirksen United States Courthouse 219 South Dearborn Street Chicago, Illinois 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Board of Directors	Daniel Murphy Scholarship Fund
2. Adjunct Professor	UIC Law School (formerly John Marshall Law School)
3. Member, Board of Directors	Cristo Rey Jesuit High School
4. Lecturer at Law	University of Chicago Law School
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2003	Judges' Retirement System of Illinois
2. Current	Participant in Federal Retirement System
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	UIC Law School: compensation as adjunct professor	\$2,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Associate Judge, State of Illinois
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Northwestern Mutual Life Insurance Company	Loan from life insurance policy	J
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. American Funds: Bond Fund of America	A	Int./Div.	J	T					
2. American Funds: EuroPacific Growth Fund	A	Int./Div.	J	T					
3. American Funds: Growth Fund of America	A	Int./Div.	K	T					
4. American Funds: Intermediate Bond Fund of America	A	Int./Div.	J	T					
5. American Funds: New Economy Fund	A	Int./Div.	J	T					
6. American Funds: New Perspective Fund	A	Int./Div.	K	T					
7. American Funds: Smallcap World Fund	A	Int./Div.	J	T					
8. American Funds: The Investment Company of America	A	Int./Div.	J	T					
9. American Funds: Washington Mutual Investors Fund	A	Int./Div.	K	T					
10. Bright Start (Sec. 529) Age Based 17-18 Moderate Portfolio Acct. #1	A	Int./Div.	M	T	Buy (add'l)	03/26/20	J		
11. Bright Start (Sec. 529) Age Based 19+ Moderate Portfolio Acct. #2	A	Int./Div.	L	T	Buy (add'l)	03/26/20	J		
12. T. Rowe Price New Income Fund	A	Int./Div.	J	T	Sold (part)	11/09/20	J	A	
13. Chase Bank Cash Accounts	A	Interest	J	T					
14. Northwestern Mutual Life Ins. Co. (Whole Life Policy)	A	Int./Div.	J	T					
15. Vanguard Charlotte FDS: Vanguard Total International Bond Index Fund	A	Int./Div.	J	T					
16. JPMorgan US Government Money Market Fund	A	Int./Div.	J	T					
17. Vanguard Index Trust (S&P 500 Index)	A	Int./Div.	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Alonso, Jorge L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. JPMorgan ETF-Canada	A	Int./Div.	J	T					
19. JPMorgan ETF-Asia Excluding Japan	A	Int./Div.	J	T					
20. iShares US Treasury Bond ETF	A	Int./Div.			Buy	03/10/20	J		
21. iShares US Treasury Bond ETF	A	Int./Div.			Sold (part)	04/28/20	J	A	
22. iShares US Treasury Bond ETF	A	Int./Div.			Sold	09/22/20	J	A	
23. PIMCO High Yield Fund	A	Int./Div.			Buy	05/20/20	J		
24. PIMCO High Yield Fund	A	Int./Div.			Sold	11/12/20	J	A	
25. Six Circles U.S. Equity Fund	A	Int./Div.	K	T	Sold (part)	09/21/20	J	A	
26. Six Circles Ultra Short Duration Fund	A	Int./Div.			Sold	11/09/20	J	A	
27. Vanguard Total Bond Market Index Fund	A	Interest	J	T	Sold (part)	09/21/20	J	A	
28. Fidelity International Index Fund	A	Int./Div.	J	T	Sold (part)	03/10/20	J	A	
29. iShares 20 Year Treasury Bond ETF	A	Interest	J	T	Buy (add'l)	09/21/20	J		
30. iShares MBS ETF	A	Int./Div.	J	T					
31. Dodge & Cox Income Fund	A	Int./Div.			Sold (part)	05/20/20	J	A	
32. Dodge & Cox Income Fund	A	Int./Div.			Sold	06/05/20	J	A	
33. PGIM High Yield Fund	A	Int./Div.	J	T	Buy	03/31/20	J		
34. PGIM High Yield Fund	A	Int./Div.	J	T	Buy (add'l)	05/20/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. PGIM High Yield Fund	A	Int./Div.	J	T	Buy (add'l)	11/12/20	J		
36. Six Circles Global Bond Fund	A	Int./Div.	J	T	Buy	08/17/20	J		
37. Six Circles Global Bond Fund	A	Int./Div.	J	T	Buy (add'l)	11/09/20	J		
38. Vanguard Intermediate Term Corporate Bond ETF	A	Int./Div.	J	T	Buy	11/09/20	J		
39. iShares Emerging Markets ETF	A	Int./Div.	J	T	Buy	10/20/20	J		
40. Six Circles Credit Oppty Fund	A	Int./Div.	J	T	Buy	11/12/20	J		
41. Blackrock Index FDS: iShares MSCI EAFE International Index Fund	A	Int./Div.	K	T	Sold (part)	03/10/20	J	A	
42. Blackrock Index FDS: iShares MSCI EAFE International Index Fund	A	Int./Div.	K	T	Sold (part)	03/20/20	J	A	
43. Fidelity 500 Index Fund	A	Int./Div.	L	T	Sold (part)	02/12/20	J	A	
44. Fidelity 500 Index Fund	A	Int./Div.	L	T	Buy (add'l)	03/10/20	J		
45. Fidelity 500 Index Fund	A	Int./Div.	L	T	Sold (part)	03/20/20	J	A	
46. Fidelity 500 Index Fund	A	Int./Div.	L	T	Buy (add'l)	04/28/20	J		
47. Fidelity 500 Index Fund	A	Int./Div.	L	T	Sold (part)	08/17/20	J	A	
48. Fidelity 500 Index Fund	A	Int./Div.	L	T	Buy (add'l)	09/21/20	J		
49. Fidelity 500 Index Fund	A	Int./Div.	L	T	Sold (part)	11/12/20	J	A	
50. JPMorgan ETF-Europe	A	Int./Div.	J	T	Sold (part)	03/20/20	J	A	
51. JPMorgan ETF-Europe	A	Int./Div.	J	T	Buy (add'l)	06/05/20	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. JPMorgan ETF-Europe	A	Int./Div.	J	T	Sold (part)	10/20/20	J	A	
53. JPMorgan ETF-Europe	A	Int./Div.	J	T	Sold (part)	12/15/20	J	A	
54. JPMorgan ETF-Japan	A	Int./Div.	J	T	Sold (part)	02/12/20	J	A	
55. JPMorgan ETF-Japan	A	Int./Div.	J	T	Buy (add'l)	06/05/20	J		
56. Six Circles Int'l Equity Fund	A	Int./Div.	K	T	Buy (add'l)	02/12/20	J		
57. Six Circles Int'l Equity Fund	A	Int./Div.	K	T	Buy (add'l)	12/15/20	J		
58. Vanguard Total International Bond ETF	A	Int./Div.	J	T	Buy (add'l)	03/10/20	J		
59. Vanguard Total International Bond ETF	A	Int./Div.	J	T	Sold (part)	08/17/20	J	A	
60. Vanguard Total International Bond ETF	A	Int./Div.	J	T	Sold (part)	09/21/20	J	A	
61. Vanguard Total International Bond ETF	A	Int./Div.	J	T	Sold (part)	10/20/20	J	A	
62. Vanguard Total International Bond ETF	A	Int./Div.	J	T	Sold (part)	11/09/20	J	A	
63. iShares 10 Year Treasury Bond ETF	A	Int./Div.			Sold (part)	04/28/20	J	A	
64. iShares 10 Year Treasury Bond ETF	A	Int./Div.			Sold	09/21/20	J	A	
65.									

1 Income Gain Codes:

A =\$1,000 or less

B =\$1,001 - \$2,500

C =\$2,501 - \$5,000

D =\$5,001 - \$15,000

E =\$15,001 - \$50,000

(See Columns B1 and D4)

F =\$50,001 - \$100,000

G =\$100,001 - \$1,000,000

H1 =\$1,000,001 - \$5,000,000

H2 =More than \$5,000,000

2 Value Codes

J =\$15,000 or less

K =\$15,001 - \$50,000

L =\$50,001 - \$100,000

M =\$100,001 - \$250,000

(See Columns C1 and D3)

N =\$250,001 - \$500,000

O =\$500,001 - \$1,000,000

P1 =\$1,000,001 - \$5,000,000

P2 =\$5,000,001 - \$25,000,000

P3 =\$25,000,001 - \$50,000,000

3 Value Method Codes

Q =Appraisal

R =Cost (Real Estate Only)

S =Assessment

T =Cash Market

(See Column C2)

U =Book Value

V =Other

W =Estimated

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. Positions:

Note that while Judge Alonso is a Lecturer at Law at the University of Chicago Law School, his is a voluntary/unpaid position.

Additionally, the Board memberships for both the Daniel Murphy Scholarship Fund and the Cristo Rey Jesuit High School are voluntary/unpaid positions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jorge L. Alonso**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544