

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ALQUIST, NANCY V.	2. Court or Organization BANKRUPTCY COURT, DISTRICT OF MARYLAND	3. Date of Report 8/13/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address Chambers 2A United States Courthouse 101 W. Lombard Street Baltimore, MD 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. Quarterly	Saul Zaentz Charitable Foundation - - Board Member compensation
2. Annual	Mandatory Withdrawal From 401 (k)
3. monthly	Judicial pension - -retired
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. PERSONALLY OWNED (HEADER)									
2. M & T CHECKING	A	Interest	J	T					
3. VANGUARD INSTITUTIONAL INDEX	A	Dividend	O	T					
4. HARBOUR CAPITAL APPRECIATION	A	Dividend	O	T					
5.									
6. █████ OWNED (HEADER)									
7. M & T CHECKING	A	Interest	J	T					
8. BAIRD MONEY MARKET	A	Interest	J	T					
9. IRA (HEADER)									
10. BAIRD MONEY MARKET	A	Interest	J	T					
11. DAVIS GLOBAL CLA DGFAX	A	Dividend	K	T					
12. EDGEWOOD GROWTH INSTL CL REINVESTMENTS EGFIX		None	K	T	Sold	1/10/20	K	A	
13. EDGEWOOD GROWTH INSTL CL REINVESTMENTS EGFIX		None	K	T	Sold	10/04/20	K	A	
14. AMERICAN CENTURY EQUJITY INCOME CL 1	A	Interest	K	T					
15. FMI LARGE CAP INSTL C; REINVESTMENTS FMIQX	A	Dividend	K	T					
16. JOHN HANCOCK CLASSIC VALUE CL 1 JCPIX	A	Dividend	K	T					
17. HARBOR SMALL CAP VALUE INSTL CL REINVESTMENTS HASCX	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. OAKMARK INTL INSTL CL REINVESTMENTS OANIX	A	Dividend	K	T					
19. LOOMIS SAYLES GROWTH CLY REINVESTMENTS LSGRX	A	Dividend	K	T					
20. MFS INSTL INTL EQUITY REINVESTMENTS MIEIX	A	Dividend	K	T					
21. PARNASSUS MID CAP INSTL CL REINVESTMENTS PPFMX	A	Dividend	K	T					
22. PEAR TREE POLARIS FOREIGN VALUE INSTL CL REINVESTMENTS QVFVIX	A	Dividend	K	T	Sold	03/25/20	J	A	
23. T ROWE PRICE GROWTH STOCK INVESTOR CL PRGFX	A	Dividend	K	T					
24. PRINCIPAL MID CAP INSTL CL REINVESTMENTS PCBIX	A	Dividend	J	T					
25. VIRTUS KAR SMALL CAPM GROWTH CL 1 REINVESTMENTS PXSGX		None	J	T					
26. WELLS FARGO EMERGING MARKETS EQUITY INTL CL REINVESTMENTS EMGNX	A	Dividend	J	T					
27. PRINCIPAL DIVERSIFIED REAL ASSET INSTL CL REINVESTMENTS PDRDX	A	Dividend	K	T					
28. BAIRD SHORT TERM BOND INSTL CL REINVESTMENTS BSBIX	A	Dividend	L	T					
29. BLACKROCK HIGH YIELD BOND INSTL CL REINVESTMENTS BHYIX	A	Dividend	K	T					
30. BLACKROCK STRATEGIC INCOME OPPTY S INSTL CL REINVESTMENTS BSIIX	A	Dividend	L	T					
31. DODGE & COX INCOME REINVESTMENTS DODIX	A	Dividend	L	T	Buy	6/26/20	J	A	
32. DODGE & COX INCOME REINVESTMENTS DODIX	A	Dividend	L	T		9/28/20	J	A	
33. METROPOLITAN WEST TOTAL RETURN BOND CL 1 REINVESTMENTS MWTIX	A	Dividend	L	T	Buy	02/03/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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34.	A	Dividend	L	T	Buy	03/02/20	J	A	
35.	A	Dividend	L	T	Buy	04/01/20	J	A	
36.	A	Dividend	L	T	Buy	05/01/20	J	A	
37.	A	Dividend	L	T	Buy	06/01/20	J	A	
38.	A	Dividend	L	T	Buy	07/01/20	J	A	
39.	A	Dividend	L	T	Buy	08/03/20	J	A	
40.	A	Dividend	L	T	Buy	09/01/20	J	A	
41.	A	Dividend	L	T	Buy	10/01/20	J	A	
42. NUANCE MIDCAP NMVLX	A	Dividend	K	T					
43. GRW OF AMER A T ROWE PRICE	A	Dividend	K	T					SEE VIII
44. PRIN MDCPI	A	Dividend			Buy	01/10/20	K	A	
45.	A	Dividend			Sold	10/19/20	K	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII LINE 43 - ACQUIRED IN 2019 BUT OMITTED FROM 2019 REPORT INADVERTANTLY

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ NANCY V. ALQUIST**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544