

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Alston, Christopher M.	2. Court or Organization U.S. Bankruptcy Court, Western District of Washington	3. Date of Report 05/13/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 700 Stewart Street, Suite 6301 Seattle, WA 98101		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	Center for Children and Youth Justice
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

Alston, Christopher M.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Alston, Christopher M.	05/13/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Seattle Preparatory Academy	Tuition	J
2.		
3.		
4.		
5.		

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Name of Person Reporting

Alston, Christopher M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. iShares Currency Hedged MSCI EAFE (HEFA) Exchange-Traded Fund	A	Dividend	J	T	Buy (add'l)	03/16/20	J		
2.					Sold (part)	06/08/20	J	B	
3.					Sold (part)	12/10/20	J		
4. Fidelity® Government Cash Reserves (FDRXX) Money Market Fund	A	Dividend	K	T					
5. American Beacon Bridgeway Lg Cp Val Inst (BRLVX) Open-End Fund		None			Sold (part)	03/16/20	J		
6.					Sold (part)	06/08/20	J	A	
7.					Sold	09/08/20	K		
8. American Funds Capital World Bond F2 (BFWFX) Open-End Fund	B	Dividend	K	T	Sold (part)	03/16/20	J	A	
9.					Sold (part)	06/08/20	J	A	
10.					Buy (add'l)	12/10/20	J		
11. American Funds Europacific Growth F2 (AEPFX) Open-End Fund	A	Dividend	L	T	Buy (add'l)	03/16/20	J		
12.					Sold (part)	06/08/20	J	B	
13. Dodge & Cox Income (DODIX) Open-End Fund	B	Dividend	K	T	Buy (add'l)	06/08/20	K		
14. Fidelity® Contrafund® (FCNTX) Open-End Fund	C	Distribution	K	T	Sold (part)	03/16/20	J		
15.					Buy (add'l)	06/08/20	J		
16.					Sold (part)	09/08/20	J	A	
17. Fidelity® Interm Muni Inc (FLTMX) Open-End Fund	A	Dividend	K	T	Buy	09/08/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: Alston, Christopher M., 05/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A (Description of Assets), B (Income during reporting period), C (Gross value at end of reporting period), D (Transactions during reporting period), and sub-columns for each. Rows 18-34 include entries for Loomis Sayles Bond Instl (LSBDX) Open-End Fund, Merger Institutional (MERIX) Open-End Fund, Metropolitan West Total Return Bd I (MWTIX) Open-End Fund, and Metropolitan West Total Return Bd M (MWTRX) Open-End Fund.

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3 Value Method Codes Q =Appraisal, R =Cost (Real Estate Only), S =Assessment, T =Cash Market
(See Column C2) U =Book Value, V =Other, W =Estimated

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Sold (part)	09/08/20	J		
36.					Sold (part)	12/10/20	J	A	
37. PIMCO CommoditiesPLUS® Strategy Instl (PCLIX) Open-End Fund	A	Dividend	K	T	Buy (add'l)	06/08/20	J		
38. PIMCO Commodity Real Ret Strat Instl (PCRIX) Open-End Fund	A	Dividend	J	T					
39. Royce Total Return Invmt (RYTRX) Open- End Fund	B	Dividend	J	T					
40. T. Rowe Price International Discovery (PRIDX) Open-End Fund	B	Dividend	K	T	Sold (part)	09/08/20	J	C	
41.					Buy (add'l)	03/16/20	J		
42.					Sold (part)	06/08/20	J	B	
43. Templeton Global Bond Adv (TGBAX) Open-End Fund	B	Dividend	K	T	Sold (part)	03/16/20	J		
44.					Sold (part)	06/08/20	J		
45. Vanguard Inflation-Protected Secs Inv (VIPSX) Open-End Fund	A	Dividend			Sold	06/08/20	J	A	
46. Berkshire Hathaway Inc B (BRK.B) Stock		None	K	T	Buy (add'l)	03/16/20	J		
47. CA ScholarShare 529 Plan Passive Age- Based Portfolio 13-14		None			Sold	01/24/20	J		
48. CA ScholarShare 529 Plan 2022/2023 Enrollment Portfolio Passive		None	J	T	Buy	01/24/20	J		
49. CA ScholarShare 529 Plan Passive Diversified Equity Portfolio		None	K	T					
50. iPath® S&P 500 VIX ST Futures™ ETN (VXX)		None	J	T	Buy (add'l)	01/13/20	J		
51.					Buy (add'l)	01/16/20	J		

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Sold (part)	02/28/20	J		
53.					Sold (part)	03/05/20	J	A	
54.					Sold	03/06/20	J	B	
55.					Buy	06/08/20	J		
56.					Sold (part)	06/11/20	J	A	
57.					Sold	06/12/20	J	A	
58.					Buy	07/20/20	J		
59.					Buy (add'l)	08/11/20	J		
60.					Buy (add'l)	10/02/20	J		
61. iShares 0-5 Year TIPS Bond ETF (STIP)	A	Dividend			Sold	06/08/20	J	A	
62. PIMCO Short-Term Instl (PTSHX) Open-End Fund	A	Dividend	K	T					
63. Prudential High-Yield Z (PHYZX) Open-End Fund	B	Dividend	K	T	Buy	12/10/20	J		
64.					Sold (part)	03/16/20	J		
65.					Buy (add'l)	06/08/20	J		
66.					Buy (add'l)	09/08/20	J		
67.					Buy (add'l)	12/10/20	J		
68. Vanguard Global ex-US Real Est ETF (VNQI)	A	Dividend	K	T	Buy (add'l)	03/16/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
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E =\$15,001 - \$50,000

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69.					Buy (add'l)	06/08/20	J		
70.					Buy (add'l)	12/10/20	J		
71. Fidelity® International Index (FSPSX) Open-End Fund	A	Dividend	J	T	Buy (add'l)	03/16/20	J		
72.					Sold (part)	12/10/20	J	A	
73. T. Rowe Price Overseas Stock (TROSX) Open-End Fund	A	Dividend	K	T	Buy (add'l)	03/16/20	J		
74. Goldman Sachs Em Mkts Eq Insights Intl (GERIX) Open-End Fund	A	Dividend	J	T	Sold (part)	03/16/20	J		
75.					Sold (part)	06/08/20	J		
76.					Sold (part)	09/08/20	J		
77. T. Rowe Price Emerging Markets Stock (PRMSX) Open-End Fund	A	Dividend	K	T	Buy (add'l)	03/16/20	J		
78.					Sold (part)	06/08/20	J	A	
79.					Sold (part)	09/08/20	J	A	
80. Pioneer Short Term Income Y (PSHYX) Open-End Fund	B	Dividend	L	T	Sold (part)	03/16/20	J		
81.					Buy (add'l)	06/08/20	J		
82.					Buy	09/08/20	J		
83.					Sold	12/10/20	J		
84.					Sold (part)	01/14/20	J	A	
85.					Sold (part)	01/17/20	J	A	

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86.					Buy (add'l)	06/08/20	J		
87.					Buy (add'l)	12/10/20	J		
88. Fidelity® Large Cap Growth Idx (FSPGX) Open-End Fund	A	Dividend	J	T	Sold (part)	01/13/20	J	A	
89.					Sold (part)	03/16/20	J	A	
90.					Sold (part)	06/08/20	K	D	
91.					Sold (part)	09/08/20	J	A	
92.					Sold (part)	12/10/20	J	A	
93. T. Rowe Price Blue Chip Growth (TRBCX) Open-End Fund	A	Distribution	K	T	Buy	12/10/20	J		
94.					Sold (part)	03/16/20	J		
95.					Buy (add'l)	06/08/20	J		
96.					Sold (part)	09/08/20	J	A	
97. Cohen & Steers Real Estate Securities I (CSDIX) Open-End Fund	B	Dividend	K	T					
98. Fidelity® 500 Index (FXAIX) Open-End Fund	A	Dividend	K	T	Sold (part)	03/24/20	J		
99.					Buy (add'l)	03/16/20	K		
100.					Sold (part)	06/08/20	K	C	
101.					Sold (part)	09/08/20	J	B	
102. Fidelity® Small Cap Growth Index (FECGX) Open-End Fund	A	Dividend	K	T	Buy (add'l)	03/16/20	J		

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103.					Sold (part)	06/08/20	J	B	
104. PIMCO CommoditiesPLUS® Strategy A (PCLAX) Open-End Fund	A	Dividend			Buy (add'l)	03/16/20	J		
105.					Sold	06/08/20	J	A	
106. Principal Real Estate Securities Inst (PIREX) Open-End Fund	A	Dividend	K	T	Buy (add'l)	03/16/20	J		
107. Vanguard Inflation-Protected Secs Adm (VAIPX) Open-End Fund	A	Dividend			Sold	06/08/20	J	A	
108. Fidelity® Government MMkt (SPAXX) Money Market Fund	A	Dividend	J	T	Buy	04/03/20	J		
109. Dodge & Cox Stock (DODGX) Open-End Fund	A	Dividend	J	T	Buy	06/08/20	J		
110. JPMorgan Equity Income I (HLIEX) Open- End Fund	A	Dividend	K	T	Buy	12/10/20	J		
111.					Buy	09/08/20	K		
112. Fidelity® Total Market Index (FSKAX) Open-End Fund	A	Dividend			Buy	03/24/20	J		
113.					Buy (add'l)	03/25/20	J		
114.					Sold	09/08/20	J	B	
115. Royce International Premier Investment (RIPNX) Open-End Fund	A	Dividend	J	T	Buy	09/08/20	J		
116. Columbia Emerging Markets Inst2 (CEKRX) Open-End Fund	A	Dividend	K	T	Buy	06/08/20	J		
117.					Buy (add'l)	09/08/20	J		
118.					Buy	06/08/20	J		
119.					Buy (add'l)	09/08/20	J		

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. PIMCO Commodity Real Ret Strat A (PCRAX) Open-End Fund	A	Dividend	J	T	Buy	03/16/20	J		
121.					Buy (add'l)	06/08/20	J		
122.					Sold (part)	09/08/20	J	A	
123.					Sold (part)	12/10/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Alston, Christopher M.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Alston, Christopher M.

Date of Report

05/13/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Christopher M. Alston**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544