

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Anderson, John F.	2. Court or Organization Virginia - District Court	3. Date of Report 04/19/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full time magistrate judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 401 Courthouse Square Alexandria, Virginia 22314		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Anderson, John F.

Date of Report

04/19/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Smithsonian Institution, retirement
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Anderson, John F.	04/19/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Anderson, John F.

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04/19/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Wells Fargo Checking accounts	A	Interest	O	T					
2. T. Rowe Price Prime Reserve Fund	A	Dividend	K	T					
3. Dreyfus Liquid Assets	A	Dividend	L	T					
4. Pentagon Federal Credit Union account	A	Interest	J	T					
5. Bank of America Corporation Common Stock	C	Dividend	M	T					
6. Dominion Resources, Inc., Common Stock	D	Dividend	M	T					
7. First Citizens Bancshares, Inc., Common Stock	A	Dividend	L	T					
8. Northwestern Mutual, personal annuity, Retirement-MN Series	D	Dividend	N	T					
9. (H) Brokerage Account #1 WF									
10. --AMCAP Fund Inc., class A	B	Dividend	N	T					
11. --AMER Mutual Fund, Inc., class A	D	Dividend	N	T					
12. --American Fund Europacific Growth Fund, class A	B	Dividend	M	T					
13. --American Fund Growth Fund America, class A	B	Dividend	N	T					
14. --Washington Mutual Investment Fund, class A	C	Dividend	M	T					
15. --American Funds Tax Exempt VA, class A	D	Dividend	N	T					
16. (H) Brokerage Account #2 MLl									
17. --Virginia CMWLTH TRNSN BRD Bond (2003 acq)	A	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Anderson, John F.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --Virginia ST RES AUTH (2014 acq)	C	Interest	L	T					
19. --Loudoun Cnty VA (2014 acq)	A	Interest	K	T					
20. --Virginia College BLDG (2014 acq)	B	Interest	L	T					
21. --Virginia ST RES AUTH (2015 acq)	B	Interest	L	T					
22. --Virginia ST PUB BLDG	A	Interest	K	T					
23. --Charlottesville VA PUB	A	Interest	K	T					
24. --Virginia CBA VA ED FCS	A	Interest	K	T					
25. --Smyth Cnty Va Gl Ref BDS	B	Interest	K	T					
26. --Virginia St Pub Sch Auth	B	Interest	K	T					
27. --VirginiaCMWLTH TRANSN (2018 acq)	A	Interest	K	T					
28. --Loudoun Co Va INDL DV AT (2018 acq)	A	Interest	L	T					
29. --American Inc Fund of America, class A	E	Dividend	N	T					
30. --Fidelity Advisor New Insights Fund, class A	A	Dividend	N	T					
31. --Fidelity Advisor Large Cap	A	Dividend	K	T					
32. --Columbia AMT Free Interm Muni Bond Fund, class A	D	Dividend			Sold	05/20/20	K	A	
33. --CMA Cash/Tax Exempt Fund	A	Dividend	M	T					
34. --American Washington Mutual Investors Fund Inc A	E	Dividend	O	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

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35. --Virginia COMWLTH TRNSN BRD Bond (2011 acq)	B	Dividend	L	T					
36. --American New Perspective Fund, class A	B	Dividend	M	T					
37. --American Tax Exempt BD LTD (2019 acq)	B	Dividend	L						
38. --American Tax Exempt BD CL A (2020 acq)	C	Dividend	M	T	Buy	10/21/20	M		
39. --Alexandria VA Go Cap (2019 acq)	A	Dividend	K	T					
40. --Virginia St Res Auth WTR SWR (2019 acq)	A	Dividend	J						
41. --New Riv VY RGL Jail AT (2019 acq)	A	Dividend	K	T					
42. Capital Region ARPT Bond (2020 acq)	A	Dividend	K	T	Buy	05/20/20	K		
43. --CD Berkshire BK Boston	A	Int./Div.			Matured	01/15/20	L	A	
44. --CD Bank of East Asia/NY	A	Int./Div.			Matured	01/15/20	M	A	
45. --CD Wells Fargo Bank	A	Int./Div.	M	T	Buy	01/15/20	M		
46. --CD Goldman Sachs	A	Int./Div.	L	T	Buy	01/15/20	L		
47. (H) Brokerage Account #3 PR									
48. --AST Money Market	A	Dividend	K	T					
49. --AST Small Cap Value	A	Dividend	K	T					
50. --AST MFS Growth	A	Dividend	K	T					
51. --AST Small Cap Growth	A	Dividend	K	T					

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Page 7 of 10

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52. --AST Western Asset Core Plus	A	Dividend	K	T					
53. --AST T. Rowe Price Large Cap	A	Dividend	L	T					
54. (H) Brokerage Account # 4 MLs									
55. --American Fund, Growth Fund of America	B	Dividend	N	T					
56. -- American Fund, Washington Mutual Investors Fund	C	Dividend	M	T					
57. -- American Fund, Income Fund of America	D	Dividend	N	T	Buy (add'l)	06/18/20	K		
58. --JP Morgan Chase GLB	A	Dividend	K	T					
59. --CD Pacific Western Bank	A	Int./Div.			Matured	06/17/20	K	A	
60. (H) Brokerage Account # 5									
61. --AT&T Inc. Common	A	Dividend	J	T					
62. -- Caterpillar Inc. Common	B	Dividend	L	T					
63. -- Comcast Corp Common Class A	A	Dividend	J	T					
64. -- Gap Inc., Common	A	Dividend	J	T					
65. -- Intel Corp, Common	A	Dividend	J	T					
66. -- Microsoft Corp, Common	A	Dividend	K	T					
67. -- Spdr Tr Unit Series 1	B	Dividend	L	T					
68. Wells Fargo MMDA	A	Interest	L	T					

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Vanguard 500 Index Fund	A	Dividend	J	T					
70. BB&T Account	A	Interest	K	T					
71. (H) IRA Account									
72. -- Wells Fargo Stable Investment Fund	B	Dividend	M	T					
73. --Fidelity Contrafund	E	Dividend	N	T					
74. --Hartford MidCap Y	D	Dividend	M	T					
75. --Vanguard Wellington/Admir	D	Dividend	N	T					
76. --Dodge & Cox Intl Stock	D	Dividend	M	T					
77. Wells Fargo - Time Deposit Accounts	A	Interest	M	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting Anderson, John F.	Date of Report 04/19/2021
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Anderson, John F.

Date of Report

04/19/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John F. Anderson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544