

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Atkins, Edward B.	2. Court or Organization E.D.Ky	3. Date of Report 02/11/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☒ Amended Report	
7. Chambers or Office Address 110 Main Street Suite 201-B Pikeville, Kentucky 41501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Atkins, Edward B.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2. 2020	employee earnings, Marshalls
3. 2020	employee earning, City of Pikeville
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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Atkins, Edward B.	02/11/2022

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. A Common (Agilent Technologies, Inc.)		None	J	T					
2. AAPL common (Apple Inc.)	D	Dividend	P1	T					
3. ADBE common (Adobe Systems Inc)		None	L	T					
4. ADP Common (Automatic Data Processing, Inc.)	B	Dividend	L	T					
5. AMZN (Amazon.com Inc)		None	N	T	Buy (add'l)	05/13/20	K		
6. BAC common (Bank of America)	A	Dividend	J	T					
7. BR (Broadridge Financial Solutions)	A	Dividend	J	T					
8. CDK (CDK Global Inc.)	A	Dividend	J	T					
9. Cigna Corp (CI)	A	Dividend	K	T	Buy (add'l)	05/13/20	J		
10. CL Colgate Palmolive Co	A	Dividend	K	T					
11. COP (ConocoPhillips)	A	Dividend	K	T					
12. DBD (Diebold, Inc)	A	Dividend			Sold	05/13/20	J	B	
13. DOW, INC. (DOW), formerly DOWDUPONT (DWDP)	A	Dividend	J	T					
14. DUPONT DE NEMOURS (DD); formerly DOWDUPONT (DWDP)	A	Dividend	J	T					
15. CORTEVA (CTVA); formerly DOWDUPONT (DWDP)	A	Dividend	J	T					
16. GILD (Gilead Sciences Inc.)		None	J	T	Buy (add'l)	05/13/20	J		
17. GOOG (Google)		None	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. HSY common (The Hershey Company)	A	Dividend	K	T					
19. HPQ common (Hewlett-Packard Company)		None			Sold	05/13/20	J	C	
20. HPE Hewlett Packard Enterprise Co Com		None	J	T					
21. INTC common (Intel Corporation)	A	Dividend	J	T					
22. JNJ common (Johnson & Johnson)	C	Dividend	M	T					
23. JPM common (JPMorgan Chase & Co.)	A	Dividend	L	T					
24. KEYS (Keysight Technologies, Inc.)		None	J	T					
25. KMB (Kimberly-Clark Corporation)	A	Dividend	K	T					
26. LOW common (Lowe's Companies, Inc.)	A	Dividend	M	T					
27. MDT common (Medtronic Plc)	B	Dividend	L	T					
28. MSFT common (Microsoft Corporation)	A	Dividend	L	T	Buy (add'l)	05/13/20	L		
29. MMM common (3M Company)	B	Dividend	K	T					
30. MDLZ (Mondelez Intl Inc.)	A	Dividend	J	T					
31. NKE Nike	A	Dividend	K	T					
32. PG common (The Proctor & Gamble Company)	B	Dividend	M	T					
33. PEP common (Pepsico, Inc.)	A	Dividend	K	T					
34. PNC common (the PNC Financial Services Group)	A	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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35. RTN common (Raytheon Company)	A	Dividend	K	T					
36. SJM common (The J.M Smucker Company)	A	Dividend	J	T					
37. SBUX Starbucks	A	Dividend	K	T					
38. TJX (The TJX Companies, Inc.)	A	Dividend	K	T					
39. TRMB common (Trimble Navigation Limited)		None	J	T					
40. UA (Under Armour, Inc.)		None			Sold	05/13/20	J	A	
41. UAA (UnderArmour, Inc)		None			Sold	05/13/20	J	A	
42. UNH common (UnitedHealth Group Incorporated)	A	Dividend	M	T					
43. VISA Inc (B)	A	Dividend	M	T	Buy (add'l)	05/13/20	K		
44. XOM common (Exxon Mobil Corporation)	A	Dividend	K	T					
45. YUM common (Yum! Brands, Inc.)	B	Dividend	L	T					
46. YUMC Yum China Holdings Inc	A	Dividend	K	T					
47. American Balanced Fund-529-B (not self directed)	A	Interest	K	T					
48. FDAXX cash account to FZBXX	B	Interest	N	T					
49. GABC (German American Bancorrrp common)	A	Dividend	J	T					
50. Nytis Exploration Co., (formerly Interstate Nat'l Gas Co.)		None			Sold	05/05/20	J	A	Diversifed Gas and Oil
51. Citizens Bank, formerly Ky Natl Bank (savings account)	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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52. Prudential (Variable) Life Insurance Policy detailed lines 62-65 below									
53. - Prudential Series Fund Gov't Money Mkt	C	Int./Div.	J	T					
54. - Prudential Series Fund Jennison	B	Int./Div.	J	T					
55. - Prudential Series Fund Stock Index Fund	B	Int./Div.	J	T					
56. - Prudential Series Fund SP Us Emerging Growth Fund	B	Int./Div.	J	T					
57. () FITB (Fifth Third Bancorp)	A	Dividend	J	T					
58. Prudential Retirement Fund - PMC - formerly MassMutual	C	Interest	K	T					
59. Harlan county Kentucky real property Interest assessed at 3,333.00		None	J	S					
60. AGTHX (American Fund)	A	Dividend	K	T					
61. CWIGX (American Fund)	B	Dividend	M	T					
62. ANCFX (American Fund)	A	Dividend	L	T					
63. NEWFX (American Fund)	A	Dividend	L	T					
64. SMCWX (American Fund)		None	K	T					
65. AMCPX (American Funds AMCAP A)	C	Dividend	M	T					
66. Community Trust Bank Cash Acct	A	Interest	J	T					
67. US Bank Checking (Open 01/2019)	A	Interest	J	T					
68. Land and Building in Scott Co, Kentucky Assess: 1,407,000	F	Rent	P1	S					

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. KOC Life Ins. (Whole Life)	A	Int./Div.	J	U					
70. KOC Life Ins. (Whole Life)	A	Int./Div.	J	U					
71. KOC Life Ins (Whole Life)	A	Int./Div.	J	U					
72. Kentucky Retirement Systems		None	J	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Edward B. Atkins

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544