

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Baker, Kristine G.	2. Court or Organization Eastern District of Arkansas	3. Date of Report 07/14/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address United States District Court - Eastern District of Arkansas 500 West Capitol Avenue, Room D 469 Little Rock, Arkansas 72201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	Arbor Enterprises, LLC
2. Member	Wasserliebend, LLC
3. Member	University of Arkansas School of Law, Dean's Advisory Board
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 12/31/2020	MWSGW (Ordinary business income)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Chase #1	Credit card	J
2. Chase #2	Credit card	J
3. Am. Express	Credit card	J
4. [REDACTED]	Tuition Agreement	None
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Brokerage Acct. #1: (H)									
2. - Am. Funds Int'l Growth & Income Fund	C	Dividend	L	T					
3. - Am. Funds Europac. Fund	A	Dividend	M	T	Buy (add'l)	01/13/20	J		
4.					Buy (add'l)	10/06/20	J		
5.					Buy (add'l)	11/17/20	J		
6.					Buy (add'l)	12/07/20	J		
7. - Am. Funds Growth Fund of Am.	E	Dividend	O	T					
8. - Am. Funds New World Fund	A	Dividend	M	T					
9. - Am. Funds Smallcap World Fund	C	Dividend	M	T					
10. - Am. Funds Washington Mut. Fund	D	Dividend	N	T	Buy (add'l)	04/06/20	J		
11.					Buy (add'l)	04/29/20	J		
12.					Buy (add'l)	05/13/20	J		
13.					Buy (add'l)	06/08/20	J		
14.					Buy (add'l)	07/15/20	J		
15.					Buy (add'l)	08/10/20	J		
16.					Buy (add'l)	09/11/20	J		
17. - Am. Funds Global Balanced Fund	B	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. - AmCapFund Class A American Funds	D	Dividend	M	T	Buy	01/03/20	M		
19. Brokerage Acct. #3: (H)									
20. - Am. Funds Growth Fund of Am.	B	Dividend	K	T					
21. - Am. Funds Int'l Growth & Income Fund	A	Dividend	J	T					
22. - Invesco Constellation Fund	D	Dividend	L	T					
23. - Am. Funds Europac. Fund	A	Dividend	K	T					
24. - Am. Funds New Economy Fund	C	Dividend	L	T					
25. - Am. Funds Small Cap World Fund	A	Dividend	L	T					
26. - Stephens, Inc., Money Market Fund	A	Dividend	K	T					
27. - New Perspective Fund Class F3	B	Dividend	K	T	Buy	03/04/20	K		
28. Brokerage Acct. #5: (H)									
29. - Am. Funds Europac. Fund	A	Dividend	K	T					
30. - Am. Funds New Economy Fund	A	Dividend	K	T					
31. - Stephens, Inc. Money Market Fund	A	Dividend	J	T					
32. - New Perspective Fund Class F3	B	Dividend	K	T	Buy	03/04/20	K		
33.					Buy (add'l)	03/09/20	J		
34. Brokerage Acct. #6: (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - Am. Funds Europac. Fund	A	Dividend	K	T					
36. - Am. Funds New Economy Fund	B	Dividend	K	T					
37. - Stephens, Inc., Money Market Fund	A	Dividend	J	T					
38. - New Perspective Fund Class F3	B	Dividend	K	T	Buy	03/04/20	J		
39.					Buy (add'l)	03/09/20	J		
40. Brokerage Acct. #7: (H)									
41. - Vanguard Conservative Growth Portfolio		None			Sold	03/27/20	N		
42.					Buy	03/27/20	M		
43.					Sold	05/28/20	M		
44. - Vanguard Growth Portfolio		None			Buy	03/27/20	L		
45.					Sold	05/28/20	L		
46. - Vanguard Income Portfolio		None	N	T	Buy	05/28/20	N		
47.					Distributed (part)	08/04/20	K		
48. Brokerage Acct. #8: (H)									
49. - American Funds VA 529 U.S. Govt. Money Market FD CL A M/M	A	Dividend			Sold	03/09/20	M		
50. Brokerage Acct. #9: (H)									
51. - Vanguard Conservative Growth Portfolio		None			Buy (add'l)	03/27/20	M		

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold (part)	03/27/20	N		
53.					Sold	05/28/20	M		
54. - Vanguard Growth Portfolio		None	M	T	Buy	03/27/20	M		
55.					Buy (add'l)	05/28/20	L		
56.					Sold (part)	05/28/20	M		
57.					Sold (part)	11/05/20	J		
58. - Vanguard Income Portfolio		None	M	T	Buy	05/28/20	M		
59.					Sold (part)	11/05/20	J		
60. Brokerage Acct. #10: (H)									
61. - American Funds CF24-529A		None			Sold	02/07/20	M		
62. Central Bank Cash Account	A	Interest	J	T					
63. Simmons Bank Cash Account	A	Interest	K	T					
64. Membership Interest in Arbor Enterprises, LLC: (H)									
65. Central Bank Cash Account	A	Interest	J	T					
66. Arbor Brokerage Acct. #1: (H)									
67. - Am. Funds Int'l Growth Fund of Am.	A	Dividend	K	T					
68. - Am. Funds Europac. Fund	A	Dividend	K	T	Buy (add'l)	10/06/20	J		

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69. - Am. Funds Growth Fund of Am.	D	Dividend	M	T					
70. - Am. Funds New World Fund	A	Dividend	J	T					
71. - Am. Funds Smallcap World Fund	A	Dividend	K	T					
72. - Am. Funds Washington Mut. Fund	B	Dividend	K	T	Donated (part)				
73. - Raymond James Money Market Fund (X)	A	Interest	J	T					
74. - AmCap Fund Class A Am. Funds	A	Dividend	J	T	Buy	01/03/20	J		
75. Arbor Brokerage Acct. #2: (H)									
76. - Am. Funds Fundamental Investors Fund	A	Dividend	K	T	Donated (part)				
77. - Am. Funds Growth Fund of Am.	B	Dividend	L	T					
78. - Am. Funds Europac. Fund	A	Dividend	L	T					
79. - American Beacon Stephens Mid Cap Growth Fund	A	Dividend	K	T					
80. - American Beacon Stephens Small Cap Growth Fund	A	Dividend	J	T					
81. - Am. Funds New World Fund	A	Dividend	J	T					
82. - Stephens, Inc., Money Market Fund (X)	D	Dividend	J	T					
83. - New Perspective Fund Class A	A	Dividend	J	T	Buy	03/04/20	J		
84. Membership Interest in Wasserliebend, LLC: (H)									
85. - Developed parcel in [REDACTED] [REDACTED].		None	M	W					

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86. - Undeveloped parcel 1 in [REDACTED].		None	J	W					
87. - Undeveloped parcel 2 in [REDACTED].		None			Sold	10/30/20	J		Humphrey Clark BBC, LLC
88. - Undeveloped parcel 3 in [REDACTED].		None			Sold	10/30/20	J		Humphrey Clark BBC, LLC
89. - Undeveloped parcel 4 in [REDACTED].		None			Sold	10/30/20	J		Humphrey Clark BBC, LLC
90. - Undeveloped parcel 5 in [REDACTED].		None	J	W	Buy	08/14/20	J		Jeanne M. Castro Living Tr
91. - Undeveloped parcel 6 in [REDACTED].		None	J	W	Buy	08/24/20	J		Kathryn Burke
92. - Simmons First National Bank Cash Account		None	J	T					
93. - Humphrey Clark BBC, LLC		None	J	W					
94. Membership interest in Mitchell Williams	A	Interest	L	U					
95. Membership interest in [REDACTED] LLC [REDACTED]	A	Dividend	M	W					
96. Brokerage Acct. #11: (H)									
97. - Am. Funds Global Balanced Fund	A	Dividend	K	T					
98. - Am. Funds Europac. Fund	A	Dividend	L	T					
99. - Am. Funds Fundamental Investors Fund	A	Dividend	K	T					
100. - Am. Funds Growth Fund of Am.	D	Dividend	M	T					
101. - Am. Funds Int'l Growth & Income Fund	A	Dividend	K	T					
102. - Am. Funds Smallcap World Fund	A	Dividend	K	T					

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103. - Am. Funds Washington Mutual Fund	B	Dividend	L	T					
104. Brokerage Acct. #12: (H)									
105. - Am. Funds Int'l Growth & Income Fund	A	Dividend	K	T					
106. - Am. Funds Europac. Fund	A	Dividend	L	T	Buy (add'l)	10/06/20	J		
107. - Am. Funds Growth Fund of Am.	D	Dividend	M	T					
108. - Am. Funds Smallcap World Fund	A	Dividend	K	T					
109. - Am. Funds Washington Mut. Fund	C	Dividend	L	T					
110. - Raymond James Money Market Fund (X)	A	Interest	J	T					
111. - Am. Funds Amcap Fund Class A	B	Dividend	K	T	Buy	01/03/20	K		
112. Other Bank Accts.: (H)									
113. Other Bank Acct. - Simmons #1 Cash Account		None			Closed				
114. Other Bank Acct. - Simmons #2 Cash Account		None	J	T					
115. Other Bank Acct. - Simmons #3 Cash Account		None	J	T					
116. Other Bank Acct. - Simmons #4 Cash Account		None	J	T					
117. Other Bank Acct. - Bank of Am. #1 Cash Account		None	J	T					
118. Brokerage Acct. #13: (H)									
119. Vanguard 500 Index Fund Investor Class	A	Dividend	J	T	Buy (add'l)	03/09/20	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Stephens, Inc., Money Market Fund (X)	A	Dividend	J	T					
121. Brokerage Acct. #14: (H)									
122. Vanguard 500 Index Fund Investor Class	A	Dividend	J	T	Buy	03/09/20	J		
123. Stephens, Inc., Money Market Fund (X)	A	Dividend	J	T					
124. Brokerage Acct. #15: (H)									
125. Index Funds S&P 500 Equal Weight	A	Dividend	J	T	Buy (add'l)	12/07/20	J		
126.					Buy (add'l)	09/03/20	J		
127.					Buy (add'l)	03/02/20	J		
128. IShares Core MSCI Emerging ETF	A	Dividend	J	T	Sold (part)	09/04/20	J		
129. Brokerage Acct. #16: (H)									
130. - Vanguard Conservative Growth Portfolio		None			Buy	01/06/20	J		
131.					Buy (add'l)	03/13/20	M		
132.					Buy (add'l)	03/19/20	J		
133.					Buy (add'l)	03/27/20	M		
134.					Sold (part)	03/27/20	M		
135.					Buy (add'l)	04/17/20	J		
136.					Buy (add'l)	05/19/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Sold	05/28/20	M		
138. - Vanguard Growth Portfolio		None	L	T	Buy	03/27/20	M		
139.					Sold (part)	05/28/20	M		
140.					Buy (add'l)	05/28/20	L		
141.					Buy (add'l)	06/19/20	J		
142.					Buy (add'l)	07/17/20	J		
143.					Buy (add'l)	08/19/20	J		
144.					Buy (add'l)	09/18/20	J		
145.					Buy (add'l)	10/19/20	J		
146.					Buy (add'l)	11/05/20	J		
147. - Vanguard Income Portfolio		None	M	T	Buy	05/28/20	M		
148.					Buy (add'l)	06/19/20	J		
149.					Buy (add'l)	07/17/20	J		
150.					Buy (add'l)	08/19/20	J		
151.					Buy (add'l)	09/18/20	J		
152.					Buy (add'l)	10/19/20	J		
153.					Buy (add'l)	11/05/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Baker, Kristine G.

Date of Report

07/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Brokerage Acct. #17: (H)									
155. - Vanguard Conservative Growth Portfolio		None	L	T	Buy	01/06/20	J		
156.					Buy (add'l)	02/19/20	M		
157.					Buy (add'l)	03/19/20	J		
158.					Sold (part)	03/27/20	M		
159.					Buy (add'l)	03/27/20	K		
160.					Buy (add'l)	04/17/20	J		
161.					Buy (add'l)	05/19/20	J		
162.					Buy (add'l)	06/19/20	J		
163.					Buy (add'l)	07/17/20	J		
164.					Buy (add'l)	08/19/20	J		
165.					Buy (add'l)	08/28/20	J		
166.					Buy (add'l)	09/18/20	J		
167.					Buy (add'l)	10/19/20	J		
168.					Buy (add'l)	11/19/20	J		
169.					Buy (add'l)	12/18/20	J		
170. - Vanguard Growth Portfolio		None	M	T	Buy	03/27/20	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	04/17/20	J		
172.					Buy (add'l)	05/19/20	J		
173.					Buy (add'l)	06/19/20	J		
174.					Buy (add'l)	07/17/20	J		
175.					Distributed (part)	08/12/20	J		
176.					Buy (add'l)	08/19/20	J		
177.					Buy (add'l)	08/28/20	J		
178.					Buy (add'l)	09/18/20	J		
179.					Buy (add'l)	10/19/20	J		
180.					Buy (add'l)	11/19/20	J		
181.					Buy (add'l)	12/18/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Baker, Kristine G.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 48: This Brokerage Acct. #8 was closed and assets were transferred into Brokerage Acct. #16 at line 129.

Line 60: This Brokerage Acct. #10 was closed and assets were transferred into Brokerage Acct. #17 at line 154.

Line 66: This Arbor Brokerage Account #1 has assets held by Arbor Enterprises, LLC, of which the filer is a member.

Line 75: This Arbor Brokerage Account #2 has assets held by Arbor Enterprises, LLC, of which the filer is a member.

Line 113: This account was closed and assets were transferred into Cash Account at line 117.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Baker, Kristine G.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kristine G. Baker**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544