

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Baker, Mary Gordon	2. Court or Organization U.S. District Court, District of South Carolina	3. Date of Report 05/15/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full time United States Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 85 Broad Street (29401) P.O. Box 835 Charleston South Carolina 29402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Bank of America/Retirement pension
2. 2020	Self-employed loan/banking consultant
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Regionsbank	Mortgage on Rental Property #1, Mount Pleasant, SC Charleston County (Pt VII, line 1)	K
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property #1, Mt. Pleasant,SC, Charleston County, SC	E	Rent	M	W					
2. Brokerage #1 H									
3. AT&T Common Stock	D	Dividend	M	T					
4. Bank of America Corp. Common Stock	A	Dividend	J	T					
5. IRA Bank of America Corp. Common Stock	A	Dividend	K	T					
6. BP PLC common stock	A	Dividend	J	T					
7. IRA BP PLC Common Stock	A	Dividend	J	T					
8. BB&T Common Stock n/k/a Truist	B	Dividend	K	T					
9. IRA BB&T Corp. Common Stock n/k/a Truist	A	Dividend	K	T					
10. IRA Dominion Energy common stock	A	Dividend	J	T					
11. Duke Energy Corp. Common Stock	C	Dividend	M	T					
12. IRA Duke Energy Corp. Common Stock	A	Dividend	K	T					
13. Enbridge Inc. common stock (X)	B	Dividend	K	T					
14. Frontier Communications Common Stock	A	Dividend	J	T					
15. Regions Financial Corp Common Stock	A	Dividend	J	T					
16. IRA Regions Financial Corp. Common Stock	C	Int./Div.	L	T					
17. Verizon Communications Common Stock	C	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. IRA Verizon common stock	A	Dividend	J	T					
19. Blackrock Muniassets Fund (Y)									
20. IRA Blackrock Muniassets Fund, n/k/a Blackrock Basic Value Inst.CL (Y)									
21. IRA General Electric Common Stock	A	Dividend	J	T					
22. IRA General Mills Common Stock	A	Dividend	J	T					
23. IRA General Motors Common Stock	A	Dividend	J	T					
24. IRA Glaxosmithkline Common Stock	A	Dividend	J	T					
25. IRA IBM Common Stock	A	Dividend	J	T					
26. IRA Johnson and Johnson Common Stock	A	Dividend	K	T					
27. IRA JP Morgan Chase Common Stock	A	Dividend	J	T					
28. IRA PPL Corp. Common Stock	A	Dividend	J	T					
29. IRA Procter & Gamble Co. Common Stock	A	Dividend	J	T					
30. IRA Wells Fargo Common Stock	A	Dividend	J	T					
31. IRA Kayne Anderson MLP	A	Dividend	J	T					
32. IRA Virtus Small Cap Fund	A	Dividend	L	T					
33. IRA Virtus KAR Capital Growth CL	A	Dividend	J	T					
34. Brokerage #2 H									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. First Horizon Nat'l. Corp. common stock	A	Dividend	J	T					
36. SouthState Bank common stock	A	Dividend	J	T					
37. Regions Financial Corp. Common Stock	A	Dividend	K	T					
38. Pinnacle Bank common stock	A	Dividend	K	T					
39. Brokerage #3 H									
40. Invesco QQQ ETF	A	Dividend			Sold	03/18/20	K	A	
41. AMG Managers Bond Service	A	Dividend			Sold	03/18/20	J	A	
42. Chevron common stock	A	Dividend			Sold	03/18/20	J	A	
43. Exxon Mobil common stock	A	Dividend			Sold	03/18/20	J	A	
44. Franklin Income Advisor	B	Dividend			Sold	03/18/20	K	A	
45. Granite Point Mortgage	A	Dividend			Sold	03/18/20	J	A	
46. JP Morgan Mid Cap Value Fund	A	Dividend			Sold	03/18/20	J	A	
47. Oppenheimer Main St Mid Cap Fund	A	Dividend			Sold	03/18/20	J	A	
48. Oppenheimer Rochester High Yield Mun CL	A	Dividend			Sold	03/18/20	J	A	
49. SPDR Euro Stoxx	A	Dividend	J	T					
50. Two Harbors Inv. Corp.	A	Dividend			Sold	03/18/20	J	A	
51. Brokerage #4 H									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)					

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52. IRA Two Harbors Invt Corp	A	Dividend	J	T					
53. IRA Granite Point Mortgage	A	Dividend	J	T					
54. IRA Oppenheimer Steelpath MLL	A	Dividend	J	T					
55. Brokerage #5 H									
56. IRA AT&T common stock	A	Dividend			Sold	03/12/20	J	A	
57. IRA BP PLC	B	Dividend			Sold	03/12/20	J	A	
58. IRA Facebook common stock	A	Dividend			Sold	03/12/20	K	D	
59. IRA Granite Point Mortgage	A	Dividend			Sold	03/12/20	J	A	
60. IRA Royal Dutch Shell Common Stock	B	Dividend			Sold	03/12/20	J	A	
61. IRA Two Harbors Invt Corp common stock	A	Dividend			Sold	03/12/20	J	A	
62. IRA SPDR Euro Stoxx 50 ETF	A	Dividend			Sold	03/12/20	K	A	
63. IRA SPDR S&P Regional Banking ETF	A	Dividend			Sold	03/12/20	J	A	
64. IRA AB Small Cap Growth Fund	A	Dividend			Sold	03/12/20	J	A	
65. IRA Diamond Hill Small Cap	A	Dividend			Sold	03/12/20	J	A	
66. IRA Federated Kaufmann Large Cap Fund	A	Dividend			Sold	03/12/20	K	D	
67. IRA Franklin Income Advisor CL Fund	E	Dividend			Sold	03/12/20	N	A	
68. IRA John Hancock Disciplined Val Mid Cap Fund	A	Dividend			Sold	03/12/20	J	A	

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69. IRA MFS Intl Value Fund	A	Dividend			Sold	03/12/20	J	A	
70. IRA James Alpha Global Real Estate Investments	A	Dividend			Sold	03/12/20	K	A	
71. IRA Oppenheimer Steelpath MLP Income Fund	B	Dividend			Sold	03/17/20	J	A	
72. IRA AIG Focused Dividend Strategy	A	Dividend			Sold	03/12/20	K	A	
73. IRA Templeton Emerging Mkts Small Cap Fund	A	Dividend			Sold	03/12/20	J	A	
74. IRA Templeton Global Bond Fund	A	Dividend			Sold	03/12/20	J	A	
75. IRA Touchstone Ultra Short Fund	A	Dividend			Sold	03/12/20	K	A	
76. IRA Vanguard Prime Money Mkt. Admiral CL	B	Dividend			Sold	03/12/20	L	A	
77. IRA Ishares S&P 500 Growth ETF	A	Dividend	K	T	Buy	03/12/20	K		
78. IRA Ishares S&P 500 Value ETF	C	Dividend	M	T	Buy	03/12/20	J		
79. IRA Ishares S&P Small Cap 600 Value ETF	A	Dividend	J	T	Buy	03/12/20	J		
80. IRA Ishares Core MSCI EAFE ETF	B	Dividend	K	T	Buy	03/12/20	K		
81. IRA Ishares Core MSCI EAFE ETF	A	Dividend	J	T	Buy (add'l)	08/25/20	J		
82. IRA Vanguard Mid Cap Value ETF	A	Dividend	K	T	Buy	03/12/20	K		
83. IRAVanguard Mid Cap Value ETF	A	Dividend	J	T	Buy (add'l)	08/25/20	J		
84. IRA Vanguard Mid Cap Growth ETF	A	Dividend	K	T	Buy	03/12/20	J		
85. IRA PIMCO 1-5 Yr U S Tips Index ETF	A	Dividend	K	T	Buy	03/12/20	K		

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86. IRA PIMCO 1-5 Yr U S Tips Index ETF	A	Dividend	J	T	Buy (add'l)	08/25/20	J		
87. IRA Invesco Intl Growth CL Y	A	Dividend	L	T	Buy	03/12/20	K		
88. IRA Invesco Intl Growth CL Y	A	Dividend	L	T	Buy (add'l)	08/25/20	L		
89. IRA Davis Global CL Y	A	Dividend	K	T	Buy	03/12/20	K		
90. IRA Dodge & Cox Income	C	Dividend	L	T	Buy	03/12/20	L		
91. IRA Dodge & Cox Income	A	Dividend	J	T	Buy (add'l)	08/25/20	J		
92. IRA Eaton Vance Short Duration Strategic Income CL I	B	Dividend	K	T	Buy	03/12/20	K		
93. IRA Eaton Vance Short Duration Strategic Income CL I	B	Dividend	K	T	Buy (add'l)	04/13/20	K		
94. IRA Eaton Vance Short Duration Strategic Income CL I	A	Dividend	J	T	Buy (add'l)	08/25/20	J		
95. IRA First Eagle Global CL I	A	Dividend	K	T	Buy	03/12/20	K		
96. IRA First Eagle Global CL I	A	Dividend	J	T	Buy (add'l)	08/25/20	J		
97. IRA Goldman Sachs Emerging Mkts Equity Instl CL	A	Dividend	K	T	Buy	03/12/20	K		
98. IRA Goldman Sachs Absolute Return Tracker CL I	A	Dividend	K	T	Buy	03/12/20	J		
99. IRA Goldman Sachs Absolute Return Tracker CL I	A	Dividend	J	T	Buy (add'l)	04/13/20	J		
100. IRA Goldman Sachs Absolute Return Tracker CL I	A	Dividend	J	T	Buy (add'l)	08/25/20	J		
101. IRA Principal Global Multi Strategy Inst CL	A	Dividend	K	T	Buy	03/12/20	K		
102. IRA Principal Global Multi Strategy Inst CL	A	Dividend	J	T	Buy (add'l)	04/13/20	J		

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103. IRA Principal Global Multi Strategy Inst CL	A	Dividend	J	T	Buy (add'l)	08/25/20	J		
104. IRA T Rowe Price Blue Chip Growth Investor CL	A	Dividend	L	T	Buy	03/12/20	K		
105. IRA T Rowe Price Blue Chip Growth Investor CL	A	Dividend	J	T	Buy (add'l)	08/25/20	J		
106. IRA Touchstone Mid Cap Growth CL Y	A	Dividend	J	T	Buy	03/12/20	J		
107. IRA Virtus KAR Small Cap Growth CL	A	Dividend	J	T	Buy	03/12/20	J		
108. Brokerage #6 H									
109. IRA Altria Group Inc.	A	Dividend	J	T					
110. IRA Coca Cola Co.	A	Dividend	J	T					
111. IRA Pinnacle Bank common stock	A	Dividend	K	T					
112. IRA Exxon Mobil Corp. Common Stock	A	Dividend	J	T					
113. IRA Ford Motor Co. New	A	Dividend	J	T					
114. IRA Granite Point Mortgage common stock	A	Dividend	J	T					
115. IRA Regions Financial Corp. Common Stock (Y)									
116. IRA Royal Dutch Shell PLC	A	Dividend	J	T					
117. IRA Snap common stock	A	Dividend	J	T					
118. IRA Southern Company	A	Dividend	J	T					
119. IRA ISHARES MSCI	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. IRA SPDR EURO STOXX 50 ETF	A	Dividend	J	T					
121. IRA SPDR S&P Intl.	A	Dividend	J	T					
122. IRA Two Harbors Invt Corp Common Stock	A	Dividend	J	T					
123. IRA Columbia Global Equity Value Mutual Fund	A	Dividend	K	T					
124. IRA FMI Int'l Instl CL	A	Dividend	J	T					
125. IRA Franklin Income Advisor Mutual Fund	C	Dividend	L	T					
126. IRA Growth Fund of America Mutual Fund	A	Dividend	K	T					
127. IRA Hartford Cap Appreciation Mutual Fund	A	Dividend	J	T					
128. IRA Janus Henderson Intl Oppty Mutual Fund	A	Dividend	J	T					
129. IRA Ivy Small Cap Value Mutual Fund	A	Dividend	J	T					
130. IRA Pimco Total Return Mutual Fund	A	Dividend	J	T					
131. IRA Principal Midcap CL P	A	Dividend	J	T					
132. IRA Oppenheimer Steelpath MLP Alpha Mutual Fund	A	Dividend	J	T					
133. IRA Templeton Global Bond Fund (Mutual Fund)	A	Dividend	J	T					
134. IRA Washington Mutual Investors Fund	A	Dividend	K	T					
135. IRA Vanguard Prime Money Mkt. Admiral CL	B	Dividend	L	T					
136. Brokerage #7 H									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Pinnacle Bank common stock	A	Dividend	K	T					
138. Hartford Dividend and Growth CL	C	Dividend	K	T					
139. Hartford Balanced Income LC	B	Dividend	K	T					
140. Lord Abbett Convertible CL	B	Dividend	L	T					
141. Blackrock Total Return Instl. CL	A	Dividend	J	T					
142. Fidelity Advisor Intl Cap Apprec. CL I (Y)									
143. First Eagle Global CL I	A	Dividend	K	T					
144. Jensen Quality Growth CL J	A	Dividend	L	T					
145. Lord Abbett Short Duration Income CL F	A	Dividend	J	T					
146. Parnassus Core Equity Investor CL	A	Dividend	K	T					
147. Brokerage 8H									
148. IRA JPMorgan Chase Common Stock	A	Dividend	J	T					
149. IRA I Shares US Energy ETF Common Stock	A	Dividend	J	T					
150. Brokerage #9 H									
151. IRA First Eagle Global Fund Class I	A	Dividend	K	T					
152. IRA Jensen Quality Growth Fund Class J	A	Dividend	L	T					
153. IRA Blackrock Total Return Instl. CL	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. IRA Goldman Sachs Inflation Protected Securities CL	A	Dividend	J	T	Buy	02/10/20	J		
155. IRA Lord Abbett Short Duration Income CL F	A	Dividend	J	T					
156. IRA Parnassus Core Equity Investor CL fund	A	Dividend	K	T					
157. Equity Compass Managed Account									
158. Aarons Inc. common stock	A	Dividend			Sold	12/15/20	J	A	
159. Abbvie Inc. common stock	A	Dividend			Sold	02/14/20	J	A	
160. Allstate Corp. common stock	A	Dividend	J	T					
161. Analog Devices Inc. common stock	A	Dividend	J	T	Buy	09/17/20	J		
162. Anthem, Inc.cmn	A	Dividend	J	T	Buy	03/18/20	J		
163. Applied Materials cmn	A	Dividend	J	T	Buy	05/19/20	J		
164. Apple Inc. common stock	A	Dividend	J	T	Buy	03/18/20	J		
165. Becton Dickinson & Co. common stock	A	Dividend			Sold	01/17/20	J	A	
166. Bristol Myers Squibb common stock	A	Dividend	J	T					
167. Cisco Systems, Inc. common stock	A	Dividend	J	T					
168. Citrix Systems Inc. common stock	A	Dividend	J	T	Buy	11/18/20	J		
169. Constellation Brands Inc. CL common stock	A	Dividend	J	T					
170. DXC Technology Co. common stock	A	Dividend			Sold	03/18/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. Diamondback Energy Inc. common stock	A	Dividend			Sold	03/13/20	J	A	
172. Dominion Energy Inc. common stock	A	Dividend			Sold	08/19/20	J	A	
173. EOG Resources Inc. common stock	A	Dividend	J	T					
174. E*Trade Financial Corp. common stock	A	Dividend	J	T					
175. East West Bancorp Inc. common stock	A	Dividend			Sold	05/19/20	J	A	
176. Expedia Group Inc. common stock	A	Dividend			Sold	06/19/20	J	A	
177. Fox Corp. CL A	A	Dividend	J	T	Buy	05/19/20	J		
178. General Dynamics Corp. common stock	A	Dividend	J	T					
179. Humana Inc. common stock	A	Dividend			Sold	01/17/20	J	A	
180. Interpublic Group Company Inc.	A	Dividend	J	T					
181. JP Morgan Chase & Co. common stock	A	Dividend	J	T					
182. Kroger Co. common stock	A	Dividend	J	T					
183. L3Harris Technologies Inc. common stock	A	Dividend	J	T	Buy	06/19/20	J		
184. Lincoln Electric Holdings Inc. common stock	A	Dividend			Sold	06/22/20	J	A	
185. Maximus Inc. common stock	A	Dividend			Sold	08/19/20	J	A	
186. Merck & Co. Inc. common stock	A	Dividend	J	T	Buy	01/17/20	J		
187. Microsoft Corp common stock	A	Dividend	J	T	Buy	03/18/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
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2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Morgan Stanley	A	Dividend	J	T	Buy	01/17/20	J		
189. Netapp Inc. common stock	A	Dividend			Sold	09/17/20	J	A	
190. Norfolk Southern Corp. common stock	A	Dividend			Sold	11/18/20	J	A	
191. Oreilly Automotive Inc. common stock	A	Dividend	J	T	Buy	11/18/20	J		
192. Prog Hldgs Inc. common stock	A	Dividend	J	T	Buy	01/17/20	J		
193. Quest Diagnostics Inc. common stock	A	Dividend	J	T	Buy	09/17/20	J		
194. Sempra Energy common stock	A	Dividend	J	T	Buy	08/19/20	J		
195. Simon Property Group Inc New common stock	A	Dividend	J	T	Buy	02/14/20	J		
196. Ralph Lauren Corp. common stock	A	Dividend			Sold	05/19/20	J	A	
197. SS&C Technologies Holdings Inc. common stock	A	Dividend			Sold	11/18/20	J	A	
198. TCF Financial Corp. New common stock	A	Dividend			Sold	02/14/20	J	A	
199. United Health Group, Inc. common stock	A	Dividend			Sold	09/17/20	J	A	
200. Verizon Communications Inc. common stock	A	Dividend	J	T					
201. VF Corp common stock	A	Dividend	J	T	Buy	02/14/20	J		
202. Lyondellbasell Industries NV ORD SHS common stock	A	Dividend	J	T					
203. Royal Caribbean Cruises Limited common stock	A	Dividend			Sold	03/13/20	J	A	
204. Werner Enterprises Inc common stock	A	Dividend	J	T	Buy	11/18/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
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2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
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3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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205. Amdocs Ltd	A	Dividend	J	T	Buy	08/19/20	J		
206. Brokerage # 10H									
207. Ishares S&P 500 Growth ETF	A	Dividend	J	T	Buy	03/19/20	J		
208. Ishares S&P 500 Growth ETF	A	Dividend	J	T	Buy (add'l)	08/25/20	J		
209. Ishares S&P 500 Value ETF	A	Dividend	J	T	Buy	03/19/20	J		
210. Ishares S&P Small Cap 600 Value ETF	A	Dividend	J	T	Buy	03/19/20	J		
211. Ishares Core MSCI EAFE ETF	A	Dividend	J	T	Buy	03/19/20	J		
212. Ishares Core MSCI EAFE ETF	A	Dividend	J	T	Buy (add'l)	08/25/20	J		
213. Vanguard Mid Cap Value ETF	A	Dividend	J	T	Buy	03/19/20	J		
214. Vanguard Mid Cap Growth ETF	A	Dividend	J	T	Buy	03/19/20	J		
215. Pimco 1-5 YR U S Tips Index ETF	A	Dividend	J	T	Buy	03/19/20	J		
216. Pimco 1-5 YR U S Tips Index ETF	A	Dividend	J	T	Buy (add'l)	04/13/20	J		
217. Pimco 1-5 YR U S Tips Index ETF	A	Dividend	J	T	Buy (add'l)	08/25/20	J		
218. Invesco Intl Growth CL Y	A	Dividend	J	T	Buy	03/19/20	J		
219. Invesco Intl Growth CL Y	A	Dividend	J	T	Buy (add'l)	08/25/20	J		
220. Davis Global CL Y	A	Dividend	J	T	Buy	03/19/20	J		
221. Dodge & Cox Income	A	Dividend	K	T	Buy	03/19/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Dodge & Cox Income	A	Dividend	J	T	Buy (add'l)	04/13/20	J		
223. Dodge & Cox Income	A	Dividend	J	T	Buy (add'l)	08/25/20	J		
224. Eaton Vance Short Duration Strategic Income CL I	A	Dividend	J	T	Buy	03/19/20	J		
225. Eaton Vance Short Duration Strategic Income CL I	A	Dividend	J	T	Buy (add'l)	04/13/20	J		
226. Eaton Vance Short Duration Strategic Income CL I	A	Dividend	J	T	Buy (add'l)	08/25/20	J		
227. Vanguard Mid Cap Growth ETF	A	Dividend	J	T	Buy	03/19/20	J		
228. First Eagle Global CL I	A	Dividend	J	T	Buy	03/19/20	J		
229. Goldman Sachs Emerging Markets Equity Instl CL	A	Dividend	J	T	Buy	03/19/20	J		
230. Goldman Sachs Absolute Return Tracker CL I	A	Dividend	J	T	Buy	03/19/20	J		
231. Goldman Sachs Absolute Return Tracker CL I	A	Dividend	J	T	Buy (add'l)	04/13/20	J		
232. Goldman Sachs Absolute Return Tracker CL I	A	Dividend	J	T	Buy (add'l)	08/25/20	J		
233. Principal Global Multi Strategy Instl. CL	A	Dividend	J	T	Buy	03/19/20	J		
234. Principal Global Multi Strategy Instl. CL	A	Dividend	J	T	Buy (add'l)	04/13/20	J		
235. Principal Global Multi Strategy Inst. CL	A	Dividend	J	T	Buy (add'l)	08/25/20	J		
236. T Rowe Price Blue Chip Growth Investor CL	A	Dividend	J	T	Buy	03/19/20	J		
237. Virtus KAR Small Cap Growth CL I	A	Dividend	J	T	Buy	03/19/20	J		
238. Albemarle Supper Club LLC, Charleston, SC Charleston County	A	Rent	J	W					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. Stifel Nicholas & Co. 68 Insured Bank Deposit 8 cash-equivalent	B	Dividend	M	T					
240. MefLife \$ Market Account	A	Interest	K	T					
241. Pinnacle accounts	A	Interest	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Baker, Mary Gordon

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I

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Name of Person Reporting

Baker, Mary Gordon

Date of Report

05/15/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Mary Gordon Baker**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544