

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Barbadoro, Paul J.	2. Court or Organization District of New Hampshire	3. Date of Report 05/13/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address Warren Rudman U.S. Courthouse 55 Pleasant St., Room 409 Concord, NH 03301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Testamentary Trust
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2020	Trustees of Dartmouth College - Agreement for Teaching in 2021
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 3-26-2020	Trustees of Dartmouth College	\$28,050.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	New England College
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Checking-Merrimack County Savings Bank	A	Interest	J	T					
2. Savings-Merrimack County Savings Bank	A	Interest	J	T					
3. *T. Rowe Price Capital Appreciation Fund	D	Dividend	M	T					
4. *IRA Fidelity Contra Fund	D	Dividend	M	T					
5. *TIAA CREF Stock Fund	A	Dividend	L	T	Buy	01/11/20	J		
6. *TIAA CREF Global Fund	A	Dividend	K	T	Buy	01/11/20	J		
7. *TIAA CREF Growth Fund	A	Dividend	L	T	Buy	01/11/20	J		
8. *TIAA CREF Equity Index Fund	A	Dividend	L	T	Buy	01/11/20	J		
9. TIAA CREF Inflation Limited Bond Fund	A	Dividend	L	T					
10. *IRA Fidelity Low Price Stock	D	Dividend	M	T					
11. *IRA Fidelity Cash Reserves (Account A)	A	Interest	J	T					
12. *IRA Fidelity Cash Reserves (Account B)	A	Interest	J	T					
13. *T. Rowe Price Prime Reserve	A	Interest	J	T					
14. *IRA Fidelity, Fidelity Extended Market Index Premium Class	A	Dividend	M	T					
15. *IRA Fidelity Value Fund	A	Dividend	M	T					
16. *IRA Fidelity, Fidelity 500 Index Premium Class	B	Dividend	M	T	Buy	03/18/20	J		
17. *IRA Fidelity Janus Venture Fund Class T	B	Dividend	K	T	Buy	04/02/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. *Fidelity Cash Reserves (Account C)	A	Interest	J	T					
19. * [REDACTED] Testamentary Trust (Centerpoint Energy, Inc.)	A	Dividend	K	T					
20. * [REDACTED] Testamentary Trust (Manulife Financial Corp.)	A	Dividend	J	T					
21. * [REDACTED] Testamentary Trust (Wells Fargo Bank Dep. Sweep)	A	Interest	J	T					
22. *Fidelity Emerging Market Index Premium Class	B	Dividend	L	T					
23. *Wells Fargo CD (IRA)	A	Interest			Sold	01/13/20	L	B	
24. *Wells Fargo CD (IRA)	A	Interest			Sold	07/13/20	L	B	
25. *Wells Fargo CD (IRA)	B	Interest	L	T					
26. * [REDACTED] Testamentary Tr. American Funds Fundamental Inv.	A	Dividend	J	T					
27. *Allegiance Bank CD (IRA)	B	Interest	J	T	Buy	01/18/20	L		
28. * Mid First CD (IRA)	A	Interest	L	T	Buy	07/22/20	L		
29. * Roth Fidelity 500 Index (IRA)	A	Dividend	L	T	Buy	11/17/20	K		
30. * Fidelity Global ex U.S. Index (IRA)	A	Dividend	K	T	Buy	08/11/20	K		
31.									
32.									
33.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

SECTION II: AGREEMENTS

Since 2010, I have derived non-investment income from teaching. That income has been reported in Section III of my disclosure report. For each year in which I reported income derived from teaching, I have had an agreement with the Trustees of Dartmouth College to perform the teaching that resulted in the disclosed income.

SECTION VII. INVESTMENTS AND TRUSTS

1. T. Rowe Price. I reinvested all dividends and capital gain distributions.
2. IRA-Fidelity. I reinvested all dividends, capital gains, and interest.
3. TIAA-CREF Funds. [REDACTED] contributed \$230 per week to the Stock, Global, Growth, and Equity Index Funds. [REDACTED] employer contributed \$80 per week to the same accounts.
4. Fidelity Cash. I reinvested all interest.
5. [REDACTED] Testamentary Trust. All dividends and capital gains were reinvested in the Wells Fargo Advisors Bank Deposit Sweep Account.
6. I transferred all interest earned on Wells Fargo CDs to IRA Fidelity Cash Reserves (Account A).
7. Roth IRA 500 Fidelity - I reinvested all dividends and capital gain distributions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Paul J. Barbadoro**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544