

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                     |                                                                                                                                                                                                                                        |                                                                  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Barbier, Carl J.                                                                                               | <b>2. Court or Organization</b><br><br>U.S. District Court, E.D. La.                                                                                                                                                                   | <b>3. Date of Report</b><br><br>12/30/2021                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>U.S. District Judge-Active                              | <b>5a. Report Type (check appropriate type)</b><br><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final | <b>6. Reporting Period</b><br><br>01/01/2020<br>to<br>12/31/2020 |
|                                                                                                                                                                                     | <b>5b.</b> <input checked="" type="checkbox"/> Amended Report                                                                                                                                                                          |                                                                  |
| <b>7. Chambers or Office Address</b><br><br>500 Poydras Street<br>New Orleans, LA. 70130                                                                                            |                                                                                                                                                                                                                                        |                                                                  |
| <b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. |                                                                                                                                                                                                                                        |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☐ NONE (No reportable positions.)

| <u>POSITION</u>               | <u>NAME OF ORGANIZATION/ENTITY</u>     |
|-------------------------------|----------------------------------------|
| 1. Member, Board of Directors | Loyola Law Alumni Association          |
| 2. Member, Board of Directors | Federal Bar Assoc.-New Orleans Chapter |
| 3.                            |                                        |
| 4.                            |                                        |
| 5.                            |                                        |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Barbier, Carl J.

Date of Report

12/30/2021

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

| <u>DATE</u>   | <u>SOURCE AND TYPE</u>                                                      | <u>INCOME</u><br>(yours, not spouse's) |
|---------------|-----------------------------------------------------------------------------|----------------------------------------|
| 1. 01/30/2020 | Merrill Lynch Individual Retirement Account - Required Minimum Distribution | \$129,858.00                           |
| 2.            |                                                                             |                                        |
| 3.            |                                                                             |                                        |
| 4.            |                                                                             |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

| <u>DATE</u>   | <u>SOURCE AND TYPE</u>                            |
|---------------|---------------------------------------------------|
| 1. 01/30/2020 | Merrill Lynch IRA - Required Minimum Distribution |
| 2.            |                                                   |
| 3.            |                                                   |
| 4.            |                                                   |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Barbier, Carl J.         | 12/30/2021     |

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

| CREDITOR                    | DESCRIPTION  | VALUE CODE |
|-----------------------------|--------------|------------|
| 1. Lexus Financial Services | Vehicle loan | K          |
| 2.                          |              |            |
| 3.                          |              |            |
| 4.                          |              |            |
| 5.                          |              |            |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
|                                                                                                                            |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 1. Bank of America (Merrill Lynch) FIA NA Rasp (money mkt acct)                                                            | A                                      | Interest                                      | N                                              | T                                         | Buy (add'l)                                     | 12/31/20                | L                               |                                |                                                                   |
| 2.                                                                                                                         |                                        |                                               |                                                |                                           | Sold (part)                                     | 01/23/20                | M                               |                                |                                                                   |
| 3. BA CA N.A. RASP (money market account)                                                                                  | A                                      | Interest                                      | M                                              | T                                         | Buy                                             | 12/31/20                | M                               |                                |                                                                   |
| 4. Goldman Sachs Bank CD Jul 16 2020                                                                                       | B                                      | Interest                                      |                                                |                                           | Redeemed                                        | 07/16/20                | M                               |                                |                                                                   |
| 5. Bank of China (NYC) CD 12/29/20                                                                                         | C                                      | Interest                                      |                                                |                                           | Redeemed                                        | 12/29/20                | M                               |                                |                                                                   |
| 6. Ford Holdings Inc Mar 01, 2020                                                                                          | B                                      | Interest                                      |                                                |                                           | Redeemed                                        | 03/02/20                | K                               |                                |                                                                   |
| 7. Scana Corp. Ser Mtn Apr 01 2020                                                                                         | A                                      | Interest                                      |                                                |                                           | Redeemed                                        | 04/01/20                | K                               |                                |                                                                   |
| 8. El Paso Nat. Gas (Bond) Jan 15, 2022                                                                                    | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. Coca Cola Ent. Debentures Feb 01 2022                                                                                   | B                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. USX Corp May 15, 2022                                                                                                  | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. Coca Cola Ent. Inc. Debentures Sep 15 2022                                                                             | C                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. USX Marathon Grp Debentures Mar 01 2023                                                                                | C                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. Gen Motors Corp Global Bds July 15 2023                                                                                | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. USX Marathon Group July 15, 2023                                                                                       | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. Southern Union Co. Feb 01, 2024                                                                                        | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. Time Warner Cos Inc Feb 01, 2024                                                                                       | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. Entergy La. LLC Nov. 01, 2024                                                                                          | A                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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Date of Report

12/30/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. Union Carbide Deben 6/1/25                                                                                             | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 19. BellSouth Telecommunications Oct 01, 2025                                                                              | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 20. Frontier Communications Nov 01,2025 (Formerly Citizens Utility)                                                        | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 21. Ford Motor Co. Nov 15, 2025                                                                                            | B                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 22. Burlington Resources Inc Feb 15, 2026                                                                                  | B                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 23. Petro Canada Jun 15, 2026                                                                                              | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 24. Indiana Bell Tel Debentures Aug 15 2026                                                                                | D                                      | Interest                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 25. Morgan Stanley Sub Ser GMTN Sept 08, 2026                                                                              | B                                      | Interest                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 26. Bristol Meyers Squibb Nov 15 2026                                                                                      | B                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 27. Hydro Quebec Deb Ser MBIA Jan 15, 2027                                                                                 | B                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 28. Phillip Morris Cos Inc Jan. 15, 2027                                                                                   | B                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 29. Eli Lilly & Co March 15, 2027                                                                                          | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 30. Wal-Mart Stores, Inc Apr 05 2027                                                                                       | B                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 31. CSX Corp May 01 2027                                                                                                   | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 32. GTE California Inc May 15 2027                                                                                         | B                                      | Interest                               |                                                |                                    | Sold                                      | 04/24/20         | K                        | A                       |                                                            |
| 33. Hershey Foods Corp Aug 15, 2027                                                                                        | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 34. Seagull Energy Corp Sr. Notes 9/15/27                                                                                  | C                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Barbier, Carl J.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
|                                                                                                                            |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 35. Puget Sound Energy Inc. Dec 01, 2027                                                                                   | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 36. Anheuser-Busch Cos Inc Dec 15 2027                                                                                     | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 37. Pioneer Natural Resource Jan 15, 2028                                                                                  | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 38. Sears Roebuck Acceptance Jan. 15, 2028                                                                                 | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 39. Verizon Florida (formerly GTE Florida)<br>Feb. 01, 2028                                                                | C                                      | Interest                                      |                                                |                                           | Sold                                            | 04/27/20                | L                               |                                |                                                                   |
| 40. GTE North Inc. Feb. 15, 2028                                                                                           | B                                      | Interest                                      |                                                |                                           | Sold                                            | 04/27/20                | J                               |                                |                                                                   |
| 41. Kinder Morgan Inc. Mar. 01, 2028 (formerly<br>KN Engergy)                                                              | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 42. Occidental Petroleum Apr 01 2028                                                                                       | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 43. Bell Atlantic Corp Apr 15, 2028                                                                                        | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 44. GTE Corporation Apr 15, 2028                                                                                           | D                                      | Interest                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 45. Bell South Telecom Inc Jun 01 2028                                                                                     | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 46. Georgia-Pacific Group Jun 01 2028                                                                                      | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 47. Heinz (HJ) Co July 15 2028                                                                                             | C                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 48. United Utilities PLC Aug 15, 2028                                                                                      | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 49. Petro Canada Deben 11/15/28                                                                                            | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 50. Bell Atlantic Penn Dec 01, 2028                                                                                        | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 51. Duke Energy Corp Dec. 01, 2028                                                                                         | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
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| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                            |   |          |   |   |  |  |  |  |  |
|--------------------------------------------|---|----------|---|---|--|--|--|--|--|
| 52. Burlington Resources Mar 01, 2029      | B | Interest | K | T |  |  |  |  |  |
| 53. Conoco Inc Apr 15, d2029               | B | Interest | K | T |  |  |  |  |  |
| 54. Archer-Daniels-Midland May 01 2029     | B | Interest | K | T |  |  |  |  |  |
| 55. Norfolk Southern Corp May 17, 2029     | B | Interest | K | T |  |  |  |  |  |
| 56. Amerada Hess Corp Bonds Oct 01 2029    | C | Interest | L | T |  |  |  |  |  |
| 57. Dow Chemical Co Nov 01, 2029           | B | Interest | K | T |  |  |  |  |  |
| 58. New England Tel & Tel Co. Nov 15 2029  | B | Interest | K | T |  |  |  |  |  |
| 59. New Jersey Bell Tel Co Nov 15, 2029    | B | Interest | K | T |  |  |  |  |  |
| 60. Apache Fin Canada Corp Dec 15, 2029    | B | Interest | K | T |  |  |  |  |  |
| 61. Wal Mart Stores Bonds Feb 15 2030      | C | Interest | L | T |  |  |  |  |  |
| 62. Burlington North Santa Fe Aug 15, 2030 | A | Interest | K | T |  |  |  |  |  |
| 63. Bell Telephone Co PA Dec 15, 2030      | A | Interest | J | T |  |  |  |  |  |
| 64. Dominion Res Cap TR III Jan 15, 2031   | B | Interest | K | T |  |  |  |  |  |
| 65. Amerada Hess Corp Aug 15, 2031         | C | Interest | L | T |  |  |  |  |  |
| 66. Gen. Electric Cap Corp Mar 15, 2032    | B | Interest | L | T |  |  |  |  |  |
| 67. Gen. Electric Cap Corp Jul 15, 2032    | B | Interest | K | T |  |  |  |  |  |
| 68. Texaco Capital Inc Aug 01, 2032        | A | Interest | J | T |  |  |  |  |  |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
|                                                                                                                            |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 69. Florida Power Corp Mar 01, 2033                                                                                        | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 70. Wisconsin Electric Power May 15, 2033                                                                                  | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 71. Florida Power & Light Apr 01, 2034                                                                                     | A                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 72. Gen. Electric Cap Corp Jun 15, 2034                                                                                    | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 73. Bell South Corp Nov. 15, 2034                                                                                          | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 74. Goldman Sachs Group Jun 15, 2035                                                                                       | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 75. Goldman Sachs Group Oct 1, 2037                                                                                        | C                                      | Interest                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 76. St. Gabriel La Pub Impt May 01 29                                                                                      | A                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 77. Jefferson PH LA Hosp Svc Jan 1 31                                                                                      | C                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 78. La. LCL GVT ENV CDA RV Sep 01 32                                                                                       | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 79. San Jose Calif Arpt Rev Mar 01 41                                                                                      | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 80. La LCL GVT ENV CDA RV Mar 01 42                                                                                        | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 81. Louisiana Pub Facs Auth Jul 01 42                                                                                      | A                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 82. Washington St Motor Aug 01 42                                                                                          | A                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 83. Louisiana LCL GVT Mar 01 47                                                                                            | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 84. Met Pea Il Dedicated ST Jun 15 50                                                                                      | B                                      | Interest                                      |                                                |                                           | Redeemed                                        | 06/15/20                | K                               | A                              |                                                                   |
| 85. American Airls Group Inc.                                                                                              | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Barbier, Carl J.

Date of Report

12/30/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. Merrill Lynch BIF (CMA) Tax-Exempt Fund                                                                                | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 87. Goldman Sachs Group Oct 1, 2037                                                                                        | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 88. Metro Transportation Authority Nov 15, 2022                                                                            | B                                      | Interest                                      | K                                              | T                                         | Buy                                             | 05/22/20                | K                               |                                |                                                                   |
| 89. St Gabriel La Pub Impt May 01 37                                                                                       | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 90. LA Pub Facs Auth Rev Loyola Proj Oct 01 41                                                                             | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 91. Merrill Lynch Bank USA RASP (money market)                                                                             | A                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 92. Goldman Sachs Bank CD Jul16 2020                                                                                       | A                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 93. Bank of China (NYC) CD Dec 29, 2020                                                                                    | A                                      | Interest                                      |                                                |                                           | Redeemed                                        | 12/29/20                | K                               | A                              |                                                                   |
| 94. Goldman Sachs Group Aug 15, 2025                                                                                       | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 95. Morgan Stanley Sub Ser GMTN Sept 08, 2026                                                                              | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 96. Phillip Morris Cos Inc Jan 15, 2027 (Bonds)                                                                            | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 97. GTE North Inc. Bond Feb. 15, 2028                                                                                      | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 98. General Elec Cap Corp July 15, 2032                                                                                    | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 99. Bell South Corp Nov 15, 2034                                                                                           | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 100. LA LCL GVT ENV CDA RV Nov 01 31                                                                                       | A                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 101. Central Plains Energy Sep 01 42                                                                                       | A                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 102. Vanguard Ttl Stk Mkt Index Fund                                                                                       | E                                      | Dividend                                      | P1                                             | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. Vanguard Prime MM Fund                                                                                                | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 104. Capital One Bank-- checking/savings/money market                                                                      | C                                      | Interest                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

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P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Barbier, Carl J.

Date of Report

12/30/2021

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Barbier, Carl J.

Date of Report

12/30/2021

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Carl J. Barbier**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544