

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Barrett, Susan D.	2. Court or Organization U.S. Bankruptcy Court, GA-S	3. Date of Report 08/13/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address P. O. Box 31267 Augusta, Georgia 30903		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Executor	☐ Member (reported in VII)
2. Member	Hill Properties, LLC
3. Partner	☐ Partnership
4. Trustee	☐ Trust (reported as Family Trust in VII)
5. Trustee, Member of Board of Managers, and member of Family Aid Committee	Tuttle-Newton Home, Inc.
6. Board Member	Wheel Movement of the CSRA, Inc.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

Barrett, Susan D.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

Name of Person Reporting	Date of Report
Barrett, Susan D.	08/13/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Truist Bank Checking f/n/a Suntrust	A	Interest	J	T					
2. Truist Money Market f/n/a Suntrust	A	Interest	L	T					
3.									
4. BROKERAGE ACCT #1 (SCHWAB BROKERAGE)									
5. SCHWAB BANK (SWEEP)	A	Interest	K	T					
6. SCHWAB US TRSY MONEY INV. (SNSXX)	A	Int./Div.	L	T					
7.					Sold (part)	04/08/20	J		See Part VIII
8.					Sold (part)	02/07/20	J		See Part VIII
9.					Sold (part)	01/14/20	J		See Part VIII
10.					Sold (part)	01/13/20	K		See Part VIII
11. ABBVIE INC (ABBV)(X)		None	K	T					See Part VIII
12. ACCENTURE PLC (ACN)	A	Dividend	L	T					See Part VIII
13. AMAZON.COM INC. (AMZN)(X)		None	K	T					See Part VIII
14.					Buy (add'l)	04/08/20	K		
15. AMERICAN WATER WORKS (AWK)(X)	A	Dividend	K	T					See Part VIII
16. BLACKROCK INC (BLK)(X)	A	Dividend	K	T					See Part VIII
17. BROWN FORMAN CORP. (BFB)(X)		None	J	T					See Part VIII

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. CARNIVAL CORP (CCL)	A	Dividend			Sold	03/26/20	J		See Part VIII
19. CHURCH & DWIGHT CO. (CHD)(X)	A	Dividend	K	T					See Part VIII
20. COSTCO WHOLESALE CO (COST)(X)	A	Dividend	K	T	Buy	04/08/20	K		
21. DEERE & CO. (DE)(X)		None	L	T					See Part VIII
22. DOVER CORP. (DOV)	A	Dividend	K	T					
23. INTUIT INC (INTU)(X)		None	K	T					See Part VIII
24. JOHNSON & JOHNSON (JNJ)	A	Dividend	L	T					See Part VIII
25. MARRIOTT INTL INC. (MAR)	A	Dividend	J	T					See Part VIII
26.					Sold (part)	11/04/20	J		See Part VIII
27. MCDONALDS CORP (MCD)(X)	A	Dividend	K	T					See Part VIII
28. MICROSOFT CORP CLASS A (MSFT)	A	Dividend	M	T					See Part VIII
29. PAYCHEX INC (PAYX)(X)	A	Dividend	K	T					See Part VIII
30. SHERWIN WILLIAMS CO (SHW)	A	Dividend	K	T					
31. SCHWAB EMERGING MARKETS EQUITY ETF (SCHE)		None			Sold	04/08/20	J		SEE PART VIII
32. T ROWE PRICE GROUP (TROW)(X)	A	Dividend	J	T					See Part VIII
33. VERIZON COMMUNICATN (VZ)(X)	A	Dividend	K	T	Buy	01/13/20	K		
34. WALMART INC (WMT)	A	Dividend	K	T					See Part VIII

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. 3M (MMM)	B	Dividend	K	T					See Part VIII
36. CHARLES SCHWAB US MC ETF (SCHM)	B	Dividend	M	T					See Part VIII
37. ISHARES SELECT DIV ETF (DVY)	D	Dividend	M	T					
38. SCHWAB INT'L EQUITY ETF (SCHF)	A	Dividend	K	T					
39. SCHWAB US DIV EQUITY ETF (SCHD)	E	Dividend	O	T					See Part VIII
40. SELECT SECTOR HEALTH (XLV)(X)	A	Dividend	K	T	Buy	01/13/20	K		
41. TECHNOLOGY SELECT SECTOR (XLK) (X)	A	Dividend	K	T					See Part VIII
42.					Buy	02/07/20	J		
43. PUTNAM ULTRA SHORT DURATION INCM A (PSDTX)(X)	A	Dividend	L	T	Buy	10/21/20	L		
44. SCHWAB TOTAL STK MKT INDEX (SWTSX)	D	Dividend	O	T					
45.									
46. BROKERAGE ACCT. #2 (SCHWAB ROLLOVER IRA)									
47. SCHWAB BANK (SWEEP)	A	Interest	J	T					
48. SCHWAB US DIV. EQUITY ETF (SCHD)	D	Dividend	N	T					
49. SCHWAB TOTAL STK MKT. (SWTSX)	B	Dividend	L	T					
50.					Sold (part)	10/19/20	K	E	
51. CHARLES SCHWAB US MC ETF (SCHM)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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NONE (No reportable income, assets, or transactions.)

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	01/13/20	J		
53. PUTNAM SHORT DURATION INCM A (PSDTX)	A	Dividend	M	T					
54.					Sold (part)	12/04/20	J		SEE PART VIII
55.					Buy (add'l)	10/20/20	K		
56.					Sold (part)	10/06/20	J		SEE PART VIII
57. SCHWAB EMERGING MARKETS (SCHE)	A	Dividend	K	T					
58.					Buy (add'l)	12/04/20	J		
59.					Buy	10/06/20	J		
60.					Sold	04/08/20	J		SEE PART VIII
61.					Buy	02/07/20	J		
62. SCHWAB INT'L EQUITY ETF (SCHF)	A	Dividend	K	T					
63. SCHWAB US BROAD MARKET (SCHB) (X)	A	Dividend	J	T	Buy	08/13/20	J		
64. SCHWAB US SMALL CAP ETF (SCHA)	A	Dividend	K	T					
65.									
66. BROKERAGE ACCT.#3 (WELLS FARGO)									
67. BANK DEPOSIT SWEEP	A	Interest	L	T					
68. CHEVRON CORP (CVX)	C	Dividend	L	T					See Part VIII

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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69. DXC TECH CO (DXC)	A	Dividend	J	T					See Part VIII
70. EQUITY RESIDENTIAL (EQR)	D	Dividend	N	T					See Part VIII
71.					Sold (part)	10/09/20	K		See Part VIII
72. HP INC. (HPQ)		None			Sold	10/30/20	J	C	See Part VIII
73. HEWLETT PACKARD ENTERPRISE CO (HPE)		None			Sold	10/30/20	J	A	See Part VIII
74. INT'L BUSINESS MACHINE CORP. (IBM)	B	Dividend	K	T					
75. MICRO FOCUS INTL PLC ADR (MFGP)		None	J	T					See Part VIII
76. PALO ALTO NETWORKS (PANW)		None	K	T					
77. PERSPECTA INC. (PRSP)	A	Dividend	J	T					\See Part VIII
78. PROCTOR & GAMBLE CO. (PG)	C	Dividend	M	T					See Part VIII
79.					Sold (part)	10/09/20	K	D	
80. ROYAL DUTCH SHELL PLC ADR CL A (RDS/A)	A	Dividend	J	T					See Part VIII
81. TRUIST FINL CORP (TFC)(X)	A	Dividend	L	T					See Part VIII
82. VERIZON COMMUNICATIONS COM (VZ)	A	Dividend	J	T					
83. VERTEX PHARMACEUTICALS INC (VRTX)(X)		None	J	T	Buy	01/13/20	J		
84.									
85. BROKERAGE ACCT #4 (SCHWAB INHERITED IRA ACCOUNT)(X)									See Part VIII

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86. CHARLES SCHWAB BANK(X)	A	Interest	J	T					See Part VIII
87. ABBOTT LABORATORIES (ABT)(X)	A	Dividend			Sold	10/29/20	K	D	See Part VIII
88.					Sold (part)	10/09/20	K	D	
89. AMERICAN WATER WORKS (AWK)(X)	A	Dividend	K	T					See Part VIII
90. COSTCO WHOLESALE CO (COST)(X)	B	Dividend	K	T					See Part VIII
91. NEXTERA ENERGY INC (NEE)(X)	A	Dividend	K	T					
92.					Buy (add'l)	11/06/20	J		
93.					Buy	09/08/20	J		
94. PAYPAL HOLDINGS INC (PYPL)(X)		None	J	T	Buy	11/06/20	J		
95. WALMART INC. (WMT)(X)		None	J	T	Buy	12/04/20	J		
96. WALT DISNEY CO (DIS)(X)		None	J	T	Buy	12/04/20	J		
97. 3M CO (MMM)(X)	A	Dividend	K	T					See Part VIII
98. CHARLES SCHWAB US MC (SCHM) (X)	A	Dividend	K	T					See Part VIII
99. ISHARES TR IS 1 5 YR IN (IGSB)(X)	A	Dividend	K	T	Buy	12/04/20	K		
100. SCHWAB US BROAD MARKET (SCHB) (X)	A	Dividend	L	T					See Part VIII
101. SCHWAB US DIV (SCHD)(X)	C	Dividend	M	T					See Part VIII
102. PUTNAM ULTRA SHORT DUR (PSDTX) (X)	A	Dividend	L	T					

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103.					Sold (part)	12/04/20	K		See Part VIII
104.					Buy (add'l)	10/19/20	L		
105.					Buy	09/08/20	L		
106. TECHNOLOGY SELECT SECTOR SPDR ETF IV (XLK)(X)	A	Dividend			Sold	10/09/20	K	E	See Part VIII
107.									
108. MEMBERSHIP INTEREST IN HILL PROPERTIES, LLC		None							See Part VIII
109.									
110. [REDACTED] INS. PSHIP (LIFE INS. POL- CASH VALUE & SMALL BANK ACCT. AT	C	Interest	J	T	Distributed	07/28/20	N		See Part VIII
111. TRUIST F/N/A SUNTRUST)									
112.									
113. TRUSTEE OF FAMILY TRUST CHECKING ACCOUNT AT TRUIST BANK F/N/A SUNTRUST		None	J	T					
114.									
115. [REDACTED] TRUST ACCT. #1 (TRUSTEE) (SCHWAB BROKERAGE ACCT.)									
116. BANK SWEEP ACCT.	A	Interest	K	T	Distributed (part)	10/20/20	N		
117. ABBVIE, INC. (ABBV)	C	Dividend			Distributed	10/20/20	L		
118.					Buy (add'l)	01/09/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Barrett, Susan D.

Date of Report

08/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119. AMAZON.COM INC (AMZN)(X)		None			Distributed	10/20/20	K		
120.					Buy	04/17/20	K		
121. BLACKROCK, INC. (BLK)	A	Dividend			Distributed	10/20/20	L		
122. DEERE & CO. (DE)	B	Dividend			Distributed	10/20/20	L		
123.					Sold (part)	06/30/20	J	A	
124. JOHNSON & JOHNSON (JNJ)	B	Dividend			Distributed	10/20/20	L		
125.					Sold (part)	06/30/20	J	A	
126. MARRIOTT INTL INC. (MAR)	A	Dividend			Distributed	10/20/20	K		
127. MC DONALDS CORP (MCD)	B	Dividend			Distributed	10/20/20	L		
128.					Sold (part)	01/09/20	K	D	
129. MICROSOFT CORP (MSFT)	B	Dividend			Distributed	10/20/20	M		
130.					Sold (part)	06/16/20	J	B	
131. PAYCHEX INC (PAYX)	B	Dividend			Distributed	10/20/20	L		
132.					Sold (part)	01/09/20	J	C	
133. PUTNAM SHORT DURATION (PSDTX)	B	Dividend			Sold	10/19/20	N	A	
134.					Buy (add'l)	08/05/20	L		
135.					Sold (part)	01/17/20	J		See Part VIII

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Barrett, Susan D.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136.					Sold (part)	01/09/20	J		See Part VIII
137. SCHWAB US TRSY MONEY INV. (SNSXX)	A	Dividend			Sold	08/05/20	K		See Part VIII
138. SCHWAB US DIV EQUITY ETF (SCHD)	D	Dividend			Distributed	10/20/20	M		
139. CHARLES SCHWAB US MC ETF (SCHM)	A	Dividend			Distributed	10/20/20	K		
140. STARBUCKS CORP (SBUX)(X)	A	Dividend			Sold	05/19/20	K		See Part VIII
141.					Buy	01/09/20	K		
142. TECHNOLOGY SELECT SECTOR SPDR ETF (XLK)	A	Dividend			Distributed	10/20/20	L		
143. TRUIST FINL CORP. (TFC)	A	Dividend			Sold	04/15/20	K		See Part VIII
144.					Sold (part)	01/09/20	J	B	
145. 3M (MMM)	B	Dividend			Distributed	10/20/20	L		
146.					Sold (part)	06/16/20	J	A	
147. WALMART INC (WMT)(X)	A	Dividend			Distributed	10/20/20	K		
148.					Buy	01/09/20	K		
149.									
150. TRUST ASSET #2 (WELLS FARGO BROKERAGE ACCT.)									
151. BANK DEPOSIT SWEEP	A	Interest	K	T	Distributed (part)	10/29/20	J		
152. CHEVRON (CVX)	B	Dividend			Distributed	10/29/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Barrett, Susan D.

Date of Report

08/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153. DXC TECHNOLOGY CO (DXC)	A	Dividend			Distributed	10/29/20	J		
154. EQUITY RESIDENTIAL (EQR)	E	Dividend			Distributed	10/29/20	N		
155. HP INC (HPQ)	B	Dividend			Distributed	10/29/20	K		
156. HEWLETT PACKARD ENTERPRISES CO. (HPE)	A	Dividend			Distributed	10/29/20	J		
157. MICRO FOCUS INTL PLC ADR (MFGP)	A	Dividend			Distributed	10/29/20	J		
158. PERSPECTA, INC. (PRSP)	A	Dividend			Distributed	10/29/20	J		
159. PROCTOR & GAMBLE (PG)	D	Dividend			Distributed	10/29/20	N		
160. ROYAL DUTCH SHELL PLC ADR CL A (RDS/A)	A	Dividend			Distributed	10/29/20	J		
161. TRUIST FINL CORP. (TFC) F/K/A SUNTRUST BANKS INC (STI)	C	Dividend			Distributed	10/29/20	M		
162.									
163. ESTATE ACCOUNTS(X)									
164. TRUIST CHECKING F/N/A SUNTRUST (Estate)(X)		None	K	T					
165. TRUIST CHECKING F/N/A SUNTRUST (Decedent)(X)		None	K	T					
166.									
167. ESTATE BROKERAGE ACCOUNT #1 (SCHWAB)(X)									
168. CHARLES SCHWAB BANK (SWEEP)(X)	A	Interest	J	T					
169. ACCENTURE PLC (ACN)(X)	A	Dividend			Distributed	10/19/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
170. AMER. WATER WORKS (AWK)(X)		None			Distributed	10/19/20	L		
171. BROWN FORMAN CORP (BFB)(B)	A	Dividend			Distributed	10/19/20	K		
172.					Sold (part)	09/15/20	J	A	
173. CHURCH & DWIGHT CO (CHD)(X)		None			Distributed	10/19/20	M		
174. DEERE & CO (DE)(X)	A	Dividend			Distributed	10/19/20	L		
175. INTUIT INC (INTU)(X)	A	Dividend			Distributed	10/19/20	M		
176. T ROWE PRICE GROUP (TROW)(X)	A	Dividend			Distributed	10/19/20	K		
177.									
178. ESTATE BROKERAGE ACCOUNT #2 (WELLS FARGO)(X)									
179. WELLS FARGO BANK DEPOSIT (X)	A	Interest	L	T					
180. CITIBANK N.A. BANK DEPOSIT (X)	A	Interest	M	T					
181. BANK OF NEW YORK MELLON DEPOSIT(S) (X)	A	Interest	M	T					
182. ABBOTT LABS (ABT)(X)	A	Dividend	M	T					
183. ABBIVE INC (ABBV)(X)	B	Dividend	M	T					
184. AT&T INC (T)(X)	A	Dividend	K	T					
185. CHEVRON CORPORATION (CVX)(X)	B	Dividend	L	T					
186. CHUBB LTD (CB)(X)	A	Dividend	M	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
187. COCA-COLA COMPANY (KO)(X)	D	Dividend	O	T					
188. DUKE ENERGY CORP COM NEW (DUK)(X)	B	Dividend	M	T					
189. ENBRIDGE INC (ENB)(X)	A	Dividend	K	T					
190. EQUITY RESIDENTIAL (EQR)(X)	A	Dividend	J	T					
191. GLOBAL PAYMENTS INC (GPN)(X)	A	Dividend	N	T					
192. JOHNSON & JOHNSON (JNJ)(X)	B	Dividend	N	T					
193. LINCOLN NATL CORP IND (LNC)(X)	A	Dividend	K	T					
194. MARSH AND MCLENNAN COMPANIES INC (MMC)(X)	A	Dividend	M	T					
195. NORFOLK SOUHERN CORP (NSC)(X)	A	Dividend	L	T					
196. SOUTHERN COMPANY/THE (SO)(X)	B	Dividend	M	T					
197. TRUIST FINL CORP (TFC)(X)	A	Dividend	L	T					
198. VERIZON COMMUNICATIONS COM (VZ)(X)	A	Dividend	L	T					
199. WABTEC (WAB)(X)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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Name of Person Reporting

Barrett, Susan D.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII (Lines 7-10, 18, 26, 31, 54, 56, 60, 71, 103, 135-137, 140, 143). There is no gain to report.

Section VII (Lines 11-13, 15-17, 19, 21, 23-25, 27-29, 32, 34-36, 39, 41, 68-70, 72-73, 75, 77-78, 80-81, 85-90, 97-98, 100-101, 106) -- Recieved some/all via a distribution as disclosed elsewhere in this Report.

Section VII (Line 108). I own a membership interest in this entity, but it did not have any activity in the reporting period.

Section VII (Line 110)--Partnership owned a life insurance policy that matured this year and the proceeds were distributed to the partners in accordance with the terms of the partnership agreement There is no gain to report on the distribution. .

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Name of Person Reporting

Barrett, Susan D.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Susan D. Barrett**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544