

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Beetlestone, Wendy	2. Court or Organization U.S. District Court - Eastern District of Pennsylvania	3. Date of Report 08/10/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address James A. Byrne U.S. Courthouse 601 Market Street Philadelphia, PA 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Adjunct Professor	University of Pennsylvania Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

Beetlestone, Wendy

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	University of Pennsylvania Law School (teaching stipend)	\$5,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	The Trustees of the University of Pennsylvania - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Beetlestone, Wendy	08/10/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Wells Fargo (cash)	A	Interest	L	T					
3. PNC Bank (cash)	A	Interest	M	T					
4. Account #1 (H)									
5. DFA Intl Real Estate Sec PTF (DFITX)	A	Dividend	L	T					
6. DFA Real Estate PT (DFREX)	B	Dividend	L	T	Buy (add'l)	12/14/20	J		
7. Account #2 (H)									
8. Vanguard Small-Cap Value Index (VISVX)		None	N	T					
9. Vanguard Value Index Fund Inv (VIVAX)		None	M	T					
10. Vanguard Total Intl Stock Index Inv (VGT SX)		None	M	T					
11. Vanguard Real Estate Index Investor (VGSIX)		None	L	T					
12. Vanguard Inter-Term Bond Index Inv (VBIIX)		None	N	T					
13. Vanguard International Value Fund (VTRIX)		None	M	T					
14. Vanguard Small - Cap Index Fund Inv (NAESX)		None	M	T					
15. Vanguard Total Bond Market Index Inst (VBTIX)		None	N	T					
16. Vanguard Emerging Markets Stock Index Inst (VEMIX)		None	L	T					
17. Vanguard Total Stock Market Index Inst Plus (VSMPX)		None	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Account #3 (H)									
19. T-C Lifecycle Index 2025-Inst (M04350I-1)	A	Dividend	J	T					
20. CREF Stock R3		None	K	T	Sold (part)	11/05/20	O		
21. CREF Global Equities R3		None	K	T					
22. T-C Intl Eq Rtmt	B	Dividend			Sold	11/05/20	M		
23. TIAA Real Estate	A	Interest	K	T	Buy (add'l)	11/05/20	K		
24. TIAA Traditional	B	Interest	M	T					
25. CREF Social Choice R3		None	O	T	Buy	11/05/20	O		
26. Account #4 (H)									
27. TD Ameritrade Deposit Account IDA12 (MMDA12) (cash)	A	Interest	K	T					
28. DFA Emerging Markets Core Equity I (DFCEX)	B	Dividend	L	T					
29. DFA Real Estate PT (DFREX)	B	Dividend	L	T					
30. DFA International Value I (DFIVX)	A	Dividend	K	T					
31. DFA US Large Co Portfolio (DFUSX)	B	Dividend	K	T					
32. Federated Hermes Govt Obligations Service (GOSXX)	A	Dividend	K	T	Buy	04/09/20	K		
33. Amazon Com Inc (AMZN)		None	M	T					
34. American Express Co (AXP)	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. AT&T (T)	A	Dividend	J	T					
36. Caterpillar Inc Del (CAT)	A	Dividend	K	T					
37. Coca Cola Co (KO)	A	Dividend	J	T					
38. Comcast Corp New Cl A SPL (CMCSA)	A	Dividend	J	T					
39. Corteva Inc (CTVA)	A	Dividend			Sold	03/30/20	J		
40. Dow Inc (DOW)	A	Dividend			Sold	03/30/20	J		
41. Dupont De Nemours (DD)	A	Dividend			Sold	03/30/20	J		
42. EBAY Inc (EBAY)	A	Dividend			Sold	03/30/20	J		
43. Emerson Elec Co (EMR)	A	Dividend	J	T					
44. Expedia Inc Del Com New (EXPE)	A	Dividend	J	T					
45. Exxon Mobil Corp (XOM)	B	Dividend	K	T					
46. Fox Corp Cl B (FOX) (Y)									
47. Intel Corp (INTC)	A	Dividend	K	T					
48. JP Morgan Chase & Co Com (JPM)	A	Dividend	J	T					
49. Kimberly Clark Corp (KMB)	A	Dividend	J	T					
50. Kraft Foods Group Inc (KHC)	A	Dividend			Sold	03/30/20	J		
51. Liberty Media SiriusXM C (LSXMK)		None			Sold	03/30/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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52. Microsoft Inc (MSFT)	A	Dividend	L	T					
53. Mondelez Intl Inc Cl A (MDLZ)	A	Dividend	J	T					
54. Occidental Pete Corp Del (OXY)	A	Dividend	J	T					
55. Paypal Holdings Inc (PYPL)		None	K	T					
56. Pepsico Inc (PEP)	A	Dividend	J	T					
57. Procter & Gamble Co (PG)	A	Dividend	J	T					
58. Raytheon Co Com New (RTN)	A	Dividend	K	T					
59. Rio Tinto PLC Spon ADR (RIO)	D	Dividend	M	T					
60. Royal Dutch Shell PLC Spon ADR A (RDSA)	A	Dividend	J	T					
61. Southern Copper Corp (SCCO)	A	Dividend			Sold	03/30/20	J		
62. Taiwan Semiconductor MF LTD Spon ADR (TSM)	A	Dividend	K	T					
63. Texas Instrs Inc (TXN)	A	Dividend	K	T					
64. Total SA Sponsored ADR (TOT)	B	Dividend	K	T					
65. WalMart Stores Inc (WMT)	A	Dividend	J	T					
66. Disney Walt Co Com Disney (DIS)	A	Dividend	K	T					
67. Account #5 (H)									
68. TD Ameritrade Deposit Account IDA12 (MMDA12) (cash)	A	Interest	K	T					

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69. Denton Tex For Issues Dtd Pri Go Ref and Impt Bds (248866P25)	B	Interest	L	T					
70. Grapevine-Colleyville Indpt Sc Ultd Tax Sch Bds (3886403H5)	C	Interest	M	T					
71. Grapevine-Colleyville Indpt SC Ultd Tax Sch Bldg Bds 5% (3886404C5)	B	Interest	L	T					
72. Hawaii St Go Bds Call Pre-Ref (419792KV7)	C	Interest	L	T					
73. Hawaii St Go Bds (419792ME3)	C	Interest	M	T					
74. JEA FLA Elec Sys Rev Rev Bds Call Pre-Ref (46613SGT1)	B	Interest			Sold	04/01/20	L		
75. King Cnty Wash Sch Dist No 405 Ultd Tax Go And Ref Bds (495098YQ2)	C	Interest	L	T					
76. King Cnty Wash Sch Dist 411 Ultd Tax Go Ref Bds 5% (4952242D8)	B	Interest	L	T					
77. Montgomery Cnty PA Go Bds (6135794U2)	C	Interest	L	T					
78. New York NY Go Bds (64966MST7)	C	Interest	M	T					
79. Ohio State Go Ref Bds (677521EQ5)	C	Interest	L	T					
80. Putnam County Tenn Go Sch Ref Bds 5% (746673YJ5)	B	Interest	L	T					
81. Sheldon Te Indpt Sch Dist Ultd Tax Sch Bldg Ref B (8225165J5)	C	Interest	M	T					
82. State BK India Chicago ILL Cd 2.05% (856283L87)	B	Interest	M	T					
83. Texas St Go Bds (882724AX5)	D	Interest			Sold	08/03/20	M		
84. Trinity River Auth Tex Regl Rev Bds (89658HUA6)	C	Interest	M	T					
85. University Tex Univ Revs Rev Bds (9151377Q0)	C	Interest	M	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
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U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
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86. Wisconsin St Go Ref BDS CLBL 5% (97705MBT1)	C	Interest	L	T	Buy	04/02/20	L		
87. Wisconsin St Clean Wtr Rev Bds (977092WA9)	B	Interest			Sold	06/01/20	K		
88. Wylie Tex Indpt Sch Dist Ultl Tax Ref Bds 4% (983068F77)	B	Interest	L	T					
89. DFA Intl Social Core Equity Port (DSC LX)	D	Dividend	O	T					
90. DFA LTIP Inst (DRXIX)	D	Dividend	M	T	Buy	08/04/20	M		
91. DFA US Social Core Equity 2 (DFUEX)	D	Dividend	O	T					
92. DFA Intl Real Estate Sec PTF (DFITX)		None	K	T					
93. DFA Inflation Pro Sec Inst (DIP SX)	B	Dividend	M	T	Buy	08/04/20	M		
94. DFA Emerging Markets Social Core PTF (DFESX)	B	Dividend	M	T					
95. DFA Tax Mgd US Targeted Val (DTMVX)	B	Dividend			Sold	05/19/20	N		
96. DFA Five-Year Global Fixed PTF (DFGBX)		None			Sold	08/04/20	M		
97. DFA US Hi Relative Prof (DURPX)	C	Dividend	N	T	Buy	05/19/20	N		
98. Federated Hermes Govt Obligations Service (GOSXX)	A	Dividend			Buy	04/09/20	K		
99.					Sold	08/04/20	K		
100. American Water Works Co Inc New (AWK)	A	Dividend	L	T					
101. Aqua America Inc (WTR)		None			Sold	02/03/20	K		
102. BP PLC Spon ADR (BP)	C	Dividend	K	T					

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103. California Water SVC Group (CWT)	B	Dividend	L	T					
104. Essential Utilities Inc (WTRG)	A	Dividend	K	T	Buy	02/03/20	K		
105. Exxon Mobil Corp (XOM)	D	Dividend	M	T					
106. Marathon Pete Corp (MPC)	D	Dividend	M	T					
107. Middlesex Water Co (MSEX)	A	Dividend	K	T					
108. Phillips 66 (PSX)	B	Dividend	K	T					
109. Royal Dutch Shell PLC Spon ADR A (RDS.A)	D	Dividend	M	T					

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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(See Column C2)	U =Book Value	V =Other	W =Estimated		

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08/10/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Parenthetical numbers in part VII are CUSIP numbers.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Beetlestone, Wendy

Date of Report

08/10/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Wendy Beetlestone**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544