

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Berman, Richard M.	2. Court or Organization U.S. District Court - S.D.N.Y.	3. Date of Report 08/10/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. District Court 500 Pearl Street New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Berman, Richard M.

Date of Report

08/10/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Apple Inc	A	Dividend	L	T	Buy (add'l)	06/22/20	J		
2.					Buy (add'l)	06/22/20	K		
3.					Buy (add'l)	06/26/20	J		
4.					Sold (part)	08/04/20	J		
5.					Buy (add'l)	09/02/20	K		
6.					Buy (add'l)	09/02/20	J		
7. Liberty Media Corp Liberty Cap Cl A	A	Dividend	K	T					
8. LM CBA Equity Income Builder Fund CL A	A	Dividend	K	T					
9. Morgan Stanley Money Market Fund	A	Interest	L	T					
10. US Treasury Inflation Index NTS CPI Non Seasonally	A	Interest	M	T					
11. SPDR S&P 500 ETF Trust	C	Dividend	N	T	Buy (add'l)	05/29/20	K		
12.					Buy (add'l)	06/09/20	J		
13.					Buy (add'l)	06/11/20	K		
14.					Buy (add'l)	07/13/20	K		
15.					Buy (add'l)	07/15/20	K		
16.					Buy (add'l)	08/31/20	L		
17.					Buy (add'l)	11/10/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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18.					Buy (add'l)	11/11/20	K		
19.					Buy (add'l)	11/16/20	M		
20.					Buy (add'l)	11/16/20	K		
21. Liberty Media Corp Liberty Cap com Ser A		None	K	T					
22. SPDR Gold Trust		None	J	T					
23. Vanguard Total Stock Index	D	Distribution	M	T					
24. Ishares Russell 2000 Index Fund	B	Dividend	M	T	Sold (part)	04/27/20	M		
25. UTS SPDR Trust Ser 1	C	Dividend	L	T					
26. TSASC Inc NY TOB Settlement Asset	C	Interest	L	T					
27. New York ST Urban Dev Corp Rev Personal Income Tax	B	Interest	M	T					
28. T-C Infl Lnkd Bond Rmtt	A	Distribution	K	T					
29. T-C Lifecycle 2020 Rmtt		None	K	T					
30. T-C Lifecycle Rmtt Inc Rmtt	A	Distribution	K	T					
31. Property Indian River County, FL	E	Rent	L	W					
32. SPDR Gold Trust		None	K	T					
33. Ishares TR Dow Jones US	A	Dividend	J	T					
34. Union Pacific corp	A	Dividend	K	T					

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(See Columns B1 and D4)

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(See Columns C1 and D3)

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K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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35. Rydex ETR TR Index Fund	A	Dividend	J	T					
36. New York State Dorm Auth Pers Inc Tax Rev 2/15/2022	B	Interest	L	T					
37. Spartan Total Market Index	A	Interest	K	T					
38. Spartan Small Cap Index	A	Interest	K	T					
39. Costco Wholesale Crp	A	Dividend	K	T					
40. Goldman Sachs Group Inc	A	Dividend	K	T					
41. Liberty Media Corporation.com	A	Dividend			Sold	04/14/20	J		
42. Liberty Media Corp Ser A	A	Dividend			Sold (part)	04/14/20	J		
43.					Sold	04/27/20	J		
44. United States Treasury Bill	B	Interest	M	T					
45. Liberty Spinco Inc Cl A	A	Dividend	J	T					
46. Invisa Inc	A	Dividend	J	T					
47. Stratasys LTD	A	Dividend	J	T					
48. Vanguard S&P 500 ETF	A	Dividend	K	T					
49. Liberty NY Dev Corp 10/1/2037	D	Interest	M	T	Sold (part)	08/13/20	M		
50. Netflix	A	Dividend	K	T	Sold (part)	04/27/20	K		
51.					Buy (add'l)	06/01/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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52.					Buy (add'l)	06/26/20	J		
53.					Buy (add'l)	06/29/20	J		
54.					Buy (add'l)	07/15/20	J		
55.					Sold (part)	12/18/20	J		
56. Vanguard TTL Stk Mkt ETF	A	Dividend	K	T					
57. US Treasury Bill 3/16/2017	A	Interest	L	T					
58. Amazon Com Inc	A	Dividend	K	T	Buy (add'l)	06/22/20	K		
59. Dunkirk NY 3/15/2031	A	Interest	M	T					
60. United States Treasury Bill 7/5/18	A	Interest	M	T					
61. European Monetary Unit Savings Dep	A	Interest	J	T					
62. Time Warner Inc	A	Dividend	J	T					
63. US Treasury Bill Matures 10/11/18	A	Interest	M	T					
64. US Treasury Bill Matures 11/15/18	A	Interest	L	T					
65. US Treasury Bill Matures 3/28/19	A	Interest	L	T					
66. US Treasury Bill Matures 1/17/19	A	Interest	M	T					
67. US Treasury Bill Matures 4/11/19	A	Interest	M	T					
68. US Treasury Bill 7/5/18	A	Interest	N	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

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NONE (No reportable income, assets, or transactions.)

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69. Yonkers NY Serial Genl Oblig Ser A 9/1/24	A	Interest	K	T					
70. Discovery Inc Ser A	A	Dividend	K	T	Sold (part)	04/14/20	J		
71.					Buy	06/01/20	K		
72.					Buy (add'l)	07/13/20	K		
73.					Buy (add'l)	12/24/20	J		
74. Vanguard SM Cap Value	A	Dividend			Sold	04/27/20	J		
75. Vanguard SM Cap Growth	A	Dividend			Sold	05/04/20	J		
76. Vanguard Energy	A	Dividend			Sold	06/22/20	J		
77. MSILF Prime	C	Dividend	N	T	Sold (part)	05/04/20	N		
78. Ishares S&P Sm Cap 600 V	A	Dividend			Sold (part)	04/27/20	J		
79.					Sold	06/17/20	J		
80. Ishares N Amer Nat Res	A	Dividend			Sold	06/17/20	J		
81. Ishares Iboxx HY Cor Bd	A	Dividend			Sold	06/17/20	K		
82. Ishares Ibonds Dec 2024 Term	A	Dividend			Sold	11/16/20	L		
83. Ishares Ibonds Dec 2025	A	Dividend			Sold	11/16/20	L		
84. Ishares Ibonds Dec 2026	A	Dividend			Sold	11/16/20	L		
85. Ishares Ibonds Dec 2027	A	Dividend			Sold	11/16/20	L		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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86. SPDR BBG Barc High Yield	A	Dividend			Sold	11/16/20	L		
87. US Treasury Bill 10/11/18	A	Interest	M	T					
88. US Treasury Bill 1/17/19	A	Interest	M	T					
89. US Treasury Bill 4/11/19	A	Interest	M	T					
90. Utility Debt Secur Auth 12/15/25	A	Interest	M	T					
91. Suffolk Cnty 10/15/22	A	Interest	M	T					
92. NYS Dorm Auth School 10/1/25	A	Interest	M	T					
93. Bridgehampton NY UFSD 3/15/24	A	Interest	M	T					
94. Bay Shore NY UFSD 1/15/24	A	Interest	L	T					
95. Suffolk Cnty NY Reg A 2/1/25	A	Interest	M	T					
96. Sales Tax Asset 10/15/26	A	Interest	M	T					
97. NYS Urban Develop 3/15/26	A	Interest	M	T					
98. Nassau Cnty Genl Oblig Reg A 1/1/28	A	Interest	L	T					
99. Battery Park Auth Rev A 11/1/28	A	Interest	L	T					
100. Yonkers NY 9/1/24	A	Interest	L	T					
101. Triborough Bridge Tunnel ref B 11/15/24	A	Interest	L	T					
102. Rensselaer Cnty 8/1/27	A	Interest			Sold	12/24/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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103. US Treasury Bill 3/28/19	A	Interest			Sold (part)	03/05/20	L		
104.					Sold	03/26/20	M		
105. US Treasury Bill 12/26/19	A	Interest			Buy (add'l)	05/05/20	M		
106.					Buy (add'l)	05/05/20	N		
107.					Sold (part)	05/20/20	L		
108.					Sold (part)	05/28/20	L		
109.					Sold (part)	06/11/20	M		
110.					Sold	06/25/20	N		
111. AT&T Inc	A	Dividend	J	T	Buy (add'l)	06/26/20	J		
112.					Buy (add'l)	06/29/20	J		
113. AO Smith Corp	A	Dividend			Sold	06/29/20	J		
114. Moodys Corp	A	Dividend			Sold	06/30/20	J		
115. Oneok Corp	A	Dividend			Sold	09/22/20	J		
116. RBC Bearings	A	Dividend			Sold	11/18/20	J		
117. Splunk Inc	A	Dividend			Sold	12/04/20	J		
118. Visa Inc	A	Dividend	J	T	Buy	06/29/20	J		
119.					Buy (add'l)	08/04/20	J		

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(See Columns B1 and D4)

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(See Columns C1 and D3)

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120.					Buy (add'l)	08/19/20	J		
121.					Buy (add'l)	09/04/20	J		
122.					Sold (part)	11/24/20	J		
123. WRGT B83SW TND	A	Dividend			Sold	11/13/20	J		
124. Xylem Inc	A	Dividend			Sold (part)	10/22/20	J		
125.					Sold	10/30/20	J		
126. Schenectady CN BE 3125 42FB15	A	Interest	L	T					
127. Ishares Core MSCI EAFE	A	Dividend			Buy	03/05/20	M		
128.					Sold (part)	04/14/20	L		
129.					Sold	04/17/20	L		
130. Ishares MSCI Emerging MKTS	A	Dividend			Buy	03/05/20	K		
131.					Sold	04/17/20	K		
132. Vanguard FTSE Emerging Markets	A	Dividend			Buy	03/05/20	K		
133.					Sold (part)	04/17/20	K		
134.					Sold	06/17/20	J		
135. Ishares S&P Mid Cap 400 V	A	Dividend			Buy	03/05/20	K		
136.					Sold	06/17/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Ishares S&P Mid Cap 400 G	A	Dividend			Buy	03/05/20	K		
138.					Sold	06/17/20	K		
139. Ishares S&P 500 Val ETF	A	Dividend			Buy	03/05/20	J		
140.					Sold	04/27/20	K		
141. Ishares Select Dividend	A	Dividend			Buy	03/05/20	L		
142.					Sold (part)	04/27/20	K		
143.					Sold	06/22/20	L		
144. SPDR S&P Metals and Mining	A	Dividend			Buy	03/05/20	J		
145.					Sold	06/17/20	J		
146. Vaneck Vectors Agribusiness	A	Dividend			Buy	03/05/20	J		
147.					Sold	06/17/20	J		
148. Vaneck Vectors ETF Steel	A	Dividend			Buy	03/05/20	J		
149.					Sold	06/17/20	J		
150. Vanguard Dividend Appreciation	A	Dividend			Buy	03/05/20	K		
151.					Sold	05/04/20	L		
152. Vanguard Mid Cap Value ETF	A	Dividend			Buy	03/05/20	J		
153.					Sold	05/04/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Berman, Richard M.

Date of Report

08/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. New York NYC BE 4000 40JN15	C	Interest			Buy	08/13/20	M		
155.					Sold	12/17/20	M		
156. Us Treasury Bill Mature 7/9/20 Issued 1/9/20	B	Interest	M	T	Buy	04/06/20	M		
157.					Buy (add'l)	05/05/20	M		
158. US Treasury Bill Matures 4/9/20 Issued 10/10/19	A	Interest			Buy	01/06/20	M		
159.					Sold	04/09/20	M		
160. US Treasury Bill Matures 10/8/20 Issued 10/10/19	C	Interest			Buy	07/06/20	N		
161.					Sold (part)	07/16/20	M		
162.					Sold	10/08/20	M		
163. US Treasury Bill Matures 7/9/20 Issued 1/9/20	B	Interest			Buy	01/09/20	N		
164.					Sold	07/09/20	N		
165. Microsoft Corp	A	Dividend	K	T	Buy	06/22/20	K		
166.					Buy (add'l)	06/26/20	J		
167.					Buy (add'l)	06/29/20	J		
168.					Buy (add'l)	07/09/20	J		
169.					Buy (add'l)	07/30/20	J		
170.					Sold (part)	08/04/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	08/11/20	J		
172.					Buy (add'l)	09/11/20	J		
173.					Buy (add'l)	10/22/20	J		
174.					Buy (add'l)	11/09/20	J		
175.					Buy (add'l)	11/24/20	J		
176.					Sold (part)	11/30/20	J		
177. Berkshire Hathaway	A	Dividend	K	T	Buy	07/13/20	K		
178.					Buy (add'l)	11/09/20	J		
179. Seagate Technology	A	Dividend	J	T	Buy	07/29/20	J		
180.					Sold (part)	12/30/20	J		
181. Xilinx Inc	A	Dividend			Buy	06/26/20	J		
182.					Buy	08/07/20	J		
183.					Sold (part)	10/09/20	J		
184.					Sold (part)	10/22/20	J		
185.					Sold	11/09/20	J		
186. AMC Networks Inc	A	Dividend			Buy	10/22/20	J		
187.					Sold	12/30/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Berman, Richard M.

Date of Report

08/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Metropolitan Trans Auth Issued 12/14/17 Matures 11/15/25	A	Interest	M	T	Buy	05/20/20	M		
189. Metropolitan Trans Auth Issued 8/20/12 Matures 11/15/2030	A	Interest	M	T	Buy	05/28/20	M		
190. Lululemon Athletica Inc	A	Dividend	J	T	Buy	06/01/20	J		
191.					Buy (add'l)	06/26/20	J		
192.					Sold (part)	09/04/20	J		
193. Build NYC Resource Corp Matures 8/1/2032 Issued 6/14/17	B	Interest	M	T	Buy	06/11/20	M		
194. NYC Transitional Fin Auth Matures 11/1/2037 Issued 4/12/19	B	Interest	M	T	Buy	12/17/20	M		
195. Zoom Video Communications	A	Dividend	J	T	Buy	06/01/20	J		
196. Vanguard Mid Cap Growth	A	Dividend	J	T	Buy	03/05/20	J		
197. Vanguard Growth	A	Dividend	J	T	Buy	03/05/20	J		
198. Vanguard Prime MMKT	A	Dividend	M	T	Sold (part)	06/24/20	M		
199. Unitedhealth GP	A	Dividend	J	T	Buy	07/29/20	J		
200.					Buy (add'l)	07/30/20	J		
201.					Buy (add'l)	09/11/20	J		
202. JP Morgan Chase & Co	A	Dividend	J	T	Buy	07/29/20	J		
203.					Buy (add'l)	10/13/20	J		
204. Bank of America Corp	A	Dividend	J	T	Buy	07/29/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	09/11/20	J		
206.					Buy (add'l)	10/06/20	J		
207. Johnson & Johnson	A	Dividend	J	T	Buy	07/30/20	J		
208.					Buy (add'l)	10/13/20	J		
209.					Buy (add'l)	10/22/20	J		
210. General Motors	A	Dividend	J	T	Buy	07/30/20	J		
211.					Buy (add'l)	08/11/20	J		
212.					Buy (add'l)	09/11/20	J		
213. Citigroup Inc	A	Dividend	J	T	Buy	07/30/20	J		
214.					Buy (add'l)	08/07/20	J		
215.					Buy (add'l)	08/31/20	J		
216.					Buy (add'l)	09/11/20	J		
217. Brandes International EQ	A	Dividend	K	T	Buy	07/30/20	J		
218.					Buy (add'l)	12/30/20	J		
219. Dupont De Nemours	A	Dividend	J	T	Buy	08/07/20	J		
220. Facebook Inc	A	Dividend	K	T	Buy	06/26/20	J		
221.					Buy (add'l)	08/07/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	08/11/20	J		
223.					Buy (add'l)	10/06/20	J		
224.					Buy (add'l)	12/30/20	J		
225. Chevron Corp	A	Dividend	K	T	Buy	08/07/20	J		
226.					Buy (add'l)	08/31/20	J		
227.					Buy (add'l)	10/06/20	J		
228.					Buy (add'l)	11/20/20	J		
229.					Buy (add'l)	12/30/20	J		
230. TJX COS Inc	A	Dividend	J	T	Buy	06/26/20	J		
231.					Buy (add'l)	06/29/20	J		
232.					Buy (add'l)	06/30/20	J		
233.					Buy (add'l)	07/07/20	J		
234.					Buy (add'l)	07/08/20	J		
235.					Buy (add'l)	07/09/20	J		
236.					Buy (add'l)	08/31/20	J		
237.					Buy (add'l)	10/06/20	J		
238.					Buy (add'l)	11/20/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239.					Buy (add'l)	12/29/20	J		
240. Amgen Inc	A	Dividend	J	T	Buy	10/06/20	J		
241.					Buy (add'l)	10/13/20	J		
242.					Buy (add'l)	10/22/20	J		
243. Wells Fargo & Co	A	Dividend	J	T	Buy	10/22/20	J		
244.					Buy (add'l)	12/30/20	J		
245. Virtus Kar Sm Md Cap Core	A	Dividend	J	T	Buy	10/22/20	J		
246.					Buy (add'l)	11/09/20	J		
247. Qualcomm Inc	A	Dividend	J	T	Buy	06/26/20	J		
248.					Buy (add'l)	06/29/20	J		
249.					Buy (add'l)	07/09/20	J		
250.					Sold (part)	09/14/20	J		
251.					Buy (add'l)	10/22/20	J		
252.					Buy (add'l)	11/12/20	J		
253.					Buy (add'l)	11/16/20	J		
254. Home Depot Inc	A	Dividend	J	T	Buy	06/26/20	J		
255.					Buy (add'l)	06/29/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Berman, Richard M.

Date of Report

08/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256.					Buy (add'l)	10/22/20	J		
257.					Buy (add'l)	11/09/20	J		
258.					Sold (part)	12/09/20	J		
259. Carnival CP New Paired Com	A	Dividend	J	T	Buy	10/22/20	J		
260. Broadcom Inc	A	Dividend	J	T	Buy	10/22/20	J		
261. Blackstone Group	A	Dividend	J	T	Buy	10/22/20	J		
262.					Buy (add'l)	11/09/20	J		
263. EOG Resources Inc	A	Dividend	J	T	Buy	12/30/20	J		
264. Legg Mason Clearbridge Sel I	A	Dividend	K	T	Buy	10/06/20	K		
265. Walt Disney Co Hldg	A	Dividend	J	T	Buy	10/06/20	J		
266. Allegion Pub LTD	A	Dividend	J	T	Buy	06/26/20	J		
267.					Buy (add'l)	07/01/20	J		
268.					Sold (part)	09/17/20	J		
269.					Sold (part)	10/01/20	J		
270.					Sold (part)	10/02/20	J		
271.					Sold (part)	11/06/20	J		
272.					Sold (part)	12/04/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Berman, Richard M.

Date of Report

08/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. Black Knight Inc	A	Dividend	J	T	Buy	06/26/20	J		
274.					Sold (part)	10/20/20	J		
275.					Sold (part)	12/08/20	J		
276. Blackline Inc	A	Dividend	J	T	Buy	06/26/20	J		
277.					Sold (part)	06/30/20	J		
278. Brooks-Automation Inc	A	Dividend	J	T	Buy	06/26/20	J		
279.					Sold (part)	11/06/20	J		
280. CBRE Group Inc	A	Dividend	J	T	Buy	06/26/20	J		
281.					Buy (add'l)	06/29/20	J		
282.					Sold (part)	11/10/20	J		
283.					Sold (part)	11/11/20	J		
284.					Sold (part)	12/08/20	J		
285. Envestnet Inc	A	Dividend	J	T	Buy	06/26/20	J		
286.					Buy (add'l)	06/29/20	J		
287.					Sold (part)	12/08/20	J		
288. Fastenal Co	A	Dividend	J	T	Buy	06/26/20	J		
289.					Buy (add'l)	06/29/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290.					Sold (part)	11/06/20	J		
291. Mastercard Inc	A	Dividend	J	T	Buy	06/26/20	J		
292.					Buy (add'l)	06/29/20	J		
293.					Sold (part)	08/19/20	J		
294.					Sold (part)	09/04/20	J		
295.					Sold (part)	09/11/20	J		
296.					Sold (part)	09/14/20	J		
297.					Sold (part)	09/22/20	J		
298.					Sold (part)	10/29/20	J		
299.					Sold (part)	11/02/20	J		
300.					Sold (part)	12/18/20	J		
301. Pros Hldg Inc	A	Dividend			Buy	06/29/20	J		
302.					Buy (add'l)	09/18/20	J		
303.					Sold (part)	11/24/20	J		
304.					Sold (part)	12/02/20	J		
305.					Sold (part)	12/08/20	J		
306.					Sold (part)	12/16/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307.					Sold	12/23/20	J		
308. Tractor Supply Co	A	Dividend	J	T	Buy	06/26/20	J		
309.					Sold (part)	10/12/20	J		
310. Tyler Technologies Inc	A	Dividend	J	T	Buy	06/26/20	J		
311.					Sold (part)	10/22/20	J		
312. Ulta Beauty Inc	A	Dividend	J	T	Buy	06/26/20	J		
313.					Sold (part)	08/28/20	J		
314.					Sold (part)	11/09/20	J		
315.					Sold (part)	11/24/20	J		
316.					Sold (part)	12/22/20	J		
317. Verisk Analytics Inc	A	Dividend	J	T	Buy	06/26/20	J		
318.					Buy (add'l)	06/29/20	J		
319.					Sold (part)	12/03/20	J		
320.					Sold (part)	12/16/20	J		
321. Walgreens Boots Alliance Inc	A	Dividend	J	T	Buy	06/26/20	J		
322.					Buy (add'l)	07/01/20	J		
323.					Buy (add'l)	07/09/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Sold (part)	09/02/20	J		
325. Waste Connections Inc	A	Dividend	J	T	Buy	06/26/20	J		
326.					Sold (part)	12/29/20	J		
327. Abbott Laboratories	A	Dividend	J	T	Buy	06/26/20	J		
328.					Buy (add'l)	06/29/20	J		
329.					Buy (add'l)	10/12/20	J		
330. Accenture PLC Ireland	A	Dividend	J	T	Buy	06/26/20	J		
331.					Buy (add'l)	07/09/20	J		
332. Air Prod & Chem	A	Dividend	J	T	Buy	06/26/20	J		
333. Alphabet Inc	A	Dividend	J	T	Buy	06/26/20	J		
334.					Buy (add'l)	07/09/20	J		
335.					Sold (part)	08/26/20	J		
336. American Tower Reit	A	Dividend	J	T	Buy	06/26/20	J		
337.					Buy (add'l)	07/02/20	J		
338.					Buy (add'l)	11/12/20	J		
339.					Buy (add'l)	11/13/20	J		
340.					Buy (add'l)	12/16/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Berman, Richard M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
341. Amphenol Corp	A	Dividend	J	T	Buy	06/26/20	J		
342.					Buy (add'l)	07/02/20	J		
343.					Buy (add'l)	09/11/20	J		
344.					Sold (part)	09/11/20	J		
345.					Sold (part)	09/30/20	J		
346.					Sold (part)	11/09/20	J		
347.					Sold (part)	11/20/20	J		
348.					Sold (part)	12/01/20	J		
349.					Sold (part)	12/07/20	J		
350.					Sold (part)	12/18/20	J		
351. Ansys Inc	A	Dividend	J	T	Buy	06/26/20	J		
352.					Buy (add'l)	06/29/20	J		
353.					Sold (part)	08/07/20	J		
354.					Sold (part)	08/24/20	J		
355. Aptargroup Inc	A	Dividend	J	T	Buy	06/26/20	J		
356.					Sold (part)	07/21/20	J		
357.					Sold (part)	08/28/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Berman, Richard M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. Aptiv PLC	A	Dividend	J	T	Buy	06/26/20	J		
359.					Buy (add'l)	06/29/20	J		
360. Arthur Gallagher	A	Dividend	J	T	Buy	06/26/20	J		
361. Assurant Inc	A	Dividend	J	T	Buy	06/26/20	J		
362. Atlassian Corp	A	Dividend	J	T	Buy	06/26/20	J		
363.					Buy (add'l)	06/29/20	J		
364.					Sold (part)	11/06/20	J		
365.					Sold (part)	11/16/20	J		
366. Automatic Data Processing Inc	A	Dividend	J	T	Buy	06/26/20	J		
367. Becton Dickinson & Co	A	Dividend	J	T	Buy	06/26/20	J		
368. Bio-Techne Corp	A	Dividend	J	T	Buy	06/26/20	J		
369.					Buy (add'l)	07/09/20	J		
370. Bright Horizons Family Solutions	A	Dividend	J	T	Buy	06/30/20	J		
371.					Buy (add'l)	07/01/20	J		
372.					Buy (add'l)	07/07/20	J		
373. Broadridge Fin Solu LLC	A	Dividend	J	T	Buy	06/26/20	J		
374. Brooks Automation Inc	A	Dividend	J	T	Buy	06/29/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Berman, Richard M.

Date of Report

08/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. Cable One Inc	A	Dividend	J	T	Buy	06/26/20	J		
376.					Buy (add'l)	07/09/20	J		
377. Carmax Inc	A	Dividend	J	T	Buy	06/26/20	J		
378.					Buy (add'l)	06/29/20	J		
379.					Buy (add'l)	10/16/20	J		
380. Caseys General Stores Inc	A	Dividend	J	T	Buy	06/26/20	J		
381.					Buy (add'l)	07/09/20	J		
382. CDW Corporation	A	Dividend	J	T	Buy	06/26/20	J		
383.					Buy (add'l)	06/29/20	J		
384.					Buy (add'l)	10/29/20	J		
385.					Buy (add'l)	10/30/20	J		
386.					Buy (add'l)	12/22/20	J		
387. Charles Schwab	A	Dividend	J	T	Buy	06/26/20	J		
388.					Buy (add'l)	06/29/20	J		
389.					Buy (add'l)	07/01/20	J		
390.					Buy (add'l)	07/20/20	J		
391.					Buy (add'l)	10/06/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
392.					Sold (part)	08/07/20	J		
393.					Sold (part)	09/16/20	J		
394.					Sold (part)	10/30/20	J		
395.					Sold (part)	11/06/20	J		
396.					Sold (part)	11/10/20	J		
397.					Sold (part)	12/07/20	J		
398. Coca Cola Co	A	Dividend	J	T	Buy	06/26/20	J		
399. Commerce Bancshares	A	Dividend	J	T	Buy	06/26/20	J		
400. Costar Group Inc	A	Dividend	J	T	Buy	06/26/20	J		
401.					Buy (add'l)	07/09/20	J		
402. Costco Wholesale	A	Dividend	J	T	Buy	06/26/20	J		
403.					Buy (add'l)	07/09/20	J		
404. Coupa Software Inc	A	Dividend	J	T	Buy	06/26/20	J		
405. Crowdstrike Hldgs Inc	A	Dividend	J	T	Buy	10/22/20	J		
406.					Buy (add'l)	12/02/20	J		
407. Dollar Gen Corp	A	Dividend	J	T	Buy	09/02/20	J		
408. Ecolab Inc	A	Dividend	J	T	Buy	06/26/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Berman, Richard M.

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08/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
409. Fidelity Natl Information	A	Dividend	J	T	Buy	06/26/20	J		
410. Gilead Science	A	Dividend	J	T	Buy	06/26/20	J		
411.					Buy (add'l)	07/02/20	J		
412. Glacier Bancorp Inc	A	Dividend	J	T	Buy	06/26/20	J		
413.					Buy (add'l)	07/07/20	J		
414. Guidewire Software Inc	A	Dividend	J	T	Buy	10/20/20	J		
415.					Buy (add'l)	11/11/20	J		
416. Heico Corp	A	Dividend	J	T	Buy	06/26/20	J		
417.					Buy (add'l)	06/29/20	J		
418.					Buy (add'l)	08/25/20	J		
419. Idexx Labs	A	Dividend	J	T	Buy	06/26/20	J		
420.					Buy (add'l)	07/09/20	J		
421. Ill Tool Works Inc	A	Dividend	J	T	Buy	06/26/20	J		
422. Illumina Inc	A	Dividend	J	T	Buy	06/26/20	J		
423.					Buy (add'l)	06/29/20	J		
424.					Sold (part)	09/17/20	J		
425.					Sold (part)	11/06/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Berman, Richard M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. Intl Business Machines Corp	A	Dividend	J	T	Buy	06/26/20	J		
427. Intl Flavors & Fragrances	A	Dividend	J	T	Buy	06/26/20	J		
428. Intuit Inc	A	Dividend	J	T	Buy	09/22/20	J		
429. Intuitive Surgical Inc	A	Dividend	J	T	Buy	06/26/20	J		
430. Iqvia Holdings Inc	A	Dividend	J	T	Buy	06/26/20	J		
431.					Buy (add'l)	06/29/20	J		
432. Jack Henry & Assoc Inc	A	Dividend	J	T	Buy	06/26/20	J		
433. Johnson & Johnson	A	Dividend	J	T	Buy	06/26/20	J		
434. Kimberly Clark Corp	A	Dividend	J	T	Buy	06/26/20	J		
435.					Buy (add'l)	08/04/20	J		
436. Markel Corp	A	Dividend	J	T	Buy	06/26/20	J		
437.					Buy (add'l)	07/09/20	J		
438. Masimo Corporation	A	Dividend	J	T	Buy	12/04/20	J		
439. Mc Cormick and Co	A	Dividend	J	T	Buy	06/26/20	J		
440. McDonalds Corp	A	Dividend	J	T	Buy	06/26/20	J		
441. Merck & Co Inc	A	Dividend	J	T	Buy	06/26/20	J		
442. Nexterra Energy Inc	A	Dividend	J	T	Buy	07/09/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Berman, Richard M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443. Nike Inc	A	Dividend	J	T	Buy	06/26/20	J		
444.					Buy (add'l)	10/06/20	J		
445.					Buy (add'l)	11/30/20	J		
446.					Buy (add'l)	12/15/20	J		
447.					Buy (add'l)	12/29/20	J		
448. Okta Inc	A	Dividend	J	T	Buy	06/29/20	J		
449. Old Dominion Freight Line	A	Dividend	J	T	Buy	06/26/20	J		
450. Qalsys Inc	A	Dividend	J	T	Buy	06/26/20	J		
451. Realty Income Corp	A	Dividend	J	T	Buy	06/26/20	J		
452. Republic Services Inc	A	Dividend	J	T	Buy	06/26/20	J		
453.					Buy (add'l)	06/30/20	J		
454. Roper Technologies Inc	A	Dividend	J	T	Buy	06/26/20	J		
455.					Buy (add'l)	07/09/20	J		
456. SBA Communications Corp	A	Dividend	J	T	Buy	06/26/20	J		
457.					Buy (add'l)	07/09/20	J		
458.					Buy (add'l)	11/25/20	J		
459.					Buy (add'l)	12/29/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Berman, Richard M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
460. Sherwin Williams Company	A	Dividend	J	T	Buy	06/26/20	J		
461. Snap On Inc	A	Dividend	J	T	Buy	06/26/20	J		
462. Stericycle Inc	A	Dividend	J	T	Buy	06/26/20	J		
463.					Buy (add'l)	06/29/20	J		
464. Steris PLC	A	Dividend	J	T	Buy	06/26/20	J		
465. Stryker Corp	A	Dividend	J	T	Buy	06/26/20	J		
466.					Buy (add'l)	07/09/20	J		
467. Teleflex Inc	A	Dividend	J	T	Buy	06/26/20	J		
468.					Buy (add'l)	06/29/20	J		
469.					Buy (add'l)	07/01/20	J		
470.					Buy (add'l)	07/09/20	J		
471.					Buy (add'l)	08/25/20	J		
472.					Buy (add'l)	12/16/20	J		
473. Transdigm Group Inc	A	Dividend	J	T	Buy	06/26/20	J		
474.					Buy (add'l)	07/09/20	J		
475.					Sold (part)	08/07/20	J		
476. Twilio Inc	A	Dividend	J	T	Buy	06/26/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Berman, Richard M.

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08/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
477.					Buy (add'l)	07/09/20	J		
478. Tyler Technologies Inc	A	Dividend	J	T	Buy	07/09/20	J		
479. Union Pacific Corp	A	Dividend	J	T	Buy	06/26/20	J		
480. Veeva Sys Inc	A	Dividend	J	T	Buy	06/26/20	J		
481.					Buy (add'l)	06/29/20	J		
482. V F Corp	A	Dividend	J	T	Buy	06/26/20	J		
483. Verizon Communications	A	Dividend	J	T	Buy	06/26/20	J		
484. Vulcan Materials Co	A	Dividend	J	T	Buy	06/26/20	J		
485. Watsco Inc	A	Dividend	J	T	Buy	06/26/20	J		
486. WEC Energy Group Inc	A	Dividend	J	T	Buy	06/26/20	J		
487. Xcel Energy Inc	A	Dividend	J	T	Buy	06/26/20	J		
488. Zoetis Inc	A	Dividend	J	T	Buy	06/26/20	J		
489.					Buy (add'l)	06/29/20	J		
490. 3M Company	A	Dividend			Buy	06/26/20	J		
491.					Sold	07/17/20	J		
492. ABB Ltd	A	Dividend	J	T	Buy	06/26/20	J		
493.					Sold (part)	06/29/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494.					Sold (part)	07/01/20	J		
495. Adyen N V	A	Dividend	J	T	Buy	06/26/20	J		
496.					Buy (add'l)	06/29/20	J		
497.					Sold (part)	08/04/20	J		
498.					Sold (part)	12/21/20	J		
499. American Water Works Co	A	Dividend			Buy	06/26/20	J		
500.					Sold	08/04/20	J		
501. Advanced Micro Devices	A	Dividend	J	T	Buy	06/26/20	J		
502.					Buy (add'l)	06/29/20	J		
503.					Buy (add'l)	09/14/20	J		
504.					Sold (part)	11/11/20	J		
505.					Sold (part)	11/16/20	J		
506. Alibaba Group Hldg	A	Dividend	J	T	Buy	06/26/20	J		
507.					Sold (part)	08/07/20	J		
508.					Sold (part)	08/12/20	J		
509.					Buy (add'l)	11/11/20	J		
510.					Sold (part)	12/24/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Berman, Richard M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
511. Alnylam Pharmaceuticals Inc	A	Dividend			Buy	06/26/20	J		
512.					Buy (add'l)	07/09/20	J		
513.					Sold	11/13/20	J		
514. Amgen inc	A	Dividend	J	T	Buy	06/26/20	J		
515.					Sold (part)	10/22/20	J		
516. Arista Networks Inc	A	Dividend			Buy	06/26/20	J		
517.					Sold	08/07/20	J		
518. Astrazeneca PLC	A	Dividend			Buy	06/26/20	J		
519.					Sold (part)	07/10/20	J		
520.					Sold (part)	10/01/20	J		
521.					Sold (part)	10/02/20	J		
522.					Sold (part)	11/16/20	J		
523.					Sold (part)	11/25/20	J		
524.					Sold (part)	11/27/20	J		
525.					Sold	11/30/20	J		
526. Ball Corporation	A	Dividend	J	T	Buy	06/26/20	J		
527.					Buy (add'l)	06/30/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
528.					Sold (part)	12/03/20	J		
529.					Sold (part)	12/04/20	J		
530. Biomarin Pharmac	A	Dividend	J	T	Buy	06/26/20	J		
531.					Buy (add'l)	07/02/20	J		
532.					Sold (part)	08/20/20	J		
533.					Sold (part)	10/28/20	J		
534. Boeing Inc	A	Dividend			Buy	06/26/20	J		
535.					Sold	07/14/20	J		
536. Bok Financial Corp	A	Dividend			Buy	06/26/20	J		
537.					Buy (add'l)	07/02/20	J		
538.					Buy (add'l)	07/08/20	J		
539.					Sold (part)	08/14/20	J		
540.					Sold (part)	08/17/20	J		
541.					Sold (part)	08/19/20	J		
542.					Sold (part)	08/21/20	J		
543.					Sold	08/24/20	J		
544. Booz Allen Hamilton Hllldg	A	Dividend			Buy	06/26/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
545.					Buy (add'l)	07/09/20	J		
546.					Sold	09/14/20	J		
547. Boston Properties Inc	A	Dividend	J	T	Buy	06/26/20	J		
548.					Buy (add'l)	10/13/20	J		
549.					Buy (add'l)	10/21/20	J		
550.					Sold (part)	11/20/20	J		
551.					Sold (part)	12/07/20	J		
552. Boston Scientific Corp	A	Dividend			Buy	06/26/20	J		
553.					Sold	06/29/20	J		
554. Calavo Growers Inc	A	Dividend	J	T	Buy	06/26/20	J		
555.					Sold (part)	10/06/20	J		
556.					Buy (add'l)	12/22/20	J		
557. Charles Schwab Corp 5.95%	A	Dividend	J	T	Buy	06/26/20	J		
558.					Sold (part)	08/28/20	J		
559.					Buy (add'l)	07/17/20	J		
560. Check Point Software Tech	A	Dividend			Buy	06/26/20	J		
561.					Sold (part)	08/04/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Berman, Richard M.

Date of Report

08/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
562.					Sold	09/11/20	J		
563. Chewy Inc	A	Dividend	J	T	Buy	09/11/20	J		
564.					Buy (add'l)	10/01/20	J		
565.					Buy (add'l)	10/02/20	J		
566.					Sold (part)	12/30/20	J		
567. Chubb LTD	A	Dividend	J	T	Buy	06/26/20	J		
568.					Buy (add'l)	06/29/20	J		
569.					Buy (add'l)	07/01/20	J		
570.					Buy (add'l)	07/06/20	J		
571.					Sold (part)	07/21/20	J		
572.					Buy (add'l)	08/06/20	J		
573.					Buy (add'l)	09/11/20	J		
574.					Sold (part)	10/29/20	J		
575.					Sold (part)	10/30/20	J		
576.					Sold (part)	11/06/20	J		
577. Danaher Corporation	A	Dividend	J	T	Buy	06/26/20	J		
578.					Sold (part)	08/04/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
579.					Sold (part)	11/16/20	J		
580.					Sold (part)	12/23/20	J		
581. Sirona	A	Dividend	J	T	Buy	06/26/20	J		
582.					Sold (part)	06/30/20	J		
583.					Buy (add'l)	07/02/20	J		
584.					Buy (add'l)	07/06/20	J		
585.					Buy (add'l)	07/07/20	J		
586.					Buy (add'l)	07/08/20	J		
587.					Buy (add'l)	07/09/20	J		
588.					Sold (part)	08/31/20	J		
589.					Buy (add'l)	09/24/20	J		
590.					Sold (part)	12/01/20	J		
591. Dexcom Inc	A	Dividend	J	T	Buy	06/26/20	J		
592.					Sold (part)	12/24/20	J		
593. Diageo PLC Spon	A	Dividend	J	T	Buy	06/26/20	J		
594.					Buy (add'l)	06/30/20	J		
595.					Buy (add'l)	08/10/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
596.					Buy (add'l)	08/31/20	J		
597.					Sold (part)	11/06/20	J		
598.					Sold (part)	11/10/20	J		
599.					Sold (part)	12/10/20	J		
600. Eli Lilly & Co	A	Dividend			Buy	06/26/20	J		
601.					Sold (part)	08/04/20	J		
602.					Sold (part)	08/26/20	J		
603.					Sold	10/02/20	J		
604. Epiroc Aktiebolag ADR	A	Dividend			Buy	06/26/20	J		
605.					Buy (add'l)	06/30/20	J		
606.					Sold (part)	07/01/20	J		
607.					Sold	08/05/20	J		
608. Essential Utils Inc	A	Dividend	J	T	Buy	06/26/20	J		
609.					Sold (part)	08/31/20	J		
610.					Buy (add'l)	07/06/20	J		
611.					Buy (add'l)	07/07/20	J		
612.					Buy (add'l)	09/10/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
613.					Buy (add'l)	11/06/20	J		
614.					Buy (add'l)	12/30/20	J		
615. Essemstial Utils Inc	A	Dividend	J	T	Buy	06/26/20	J		
616.					Sold (part)	08/27/20	J		
617. Everest Re Group LTD	A	Dividend	J	T	Buy	06/26/20	J		
618.					Buy (add'l)	07/09/20	J		
619.					Sold (part)	08/04/20	J		
620.					Buy (add'l)	10/30/20	J		
621.					Buy (add'l)	11/06/20	J		
622.					Buy (add'l)	12/08/20	J		
623. Exact Sciences Corp	A	Dividend	J	T	Buy	06/26/20	J		
624.					Sold (part)	09/17/20	J		
625.					Buy (add'l)	10/26/20	J		
626.					Buy (add'l)	11/11/20	J		
627. Fleetcor Technologies	A	Dividend			Buy	06/26/20	J		
628.					Sold	10/26/20	J		
629. Globus Medical Inc	A	Dividend	J	T	Buy	06/26/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
630.					Buy (add'l)	07/06/20	J		
631.					Sold (part)	08/06/20	J		
632.					Sold (part)	09/10/20	J		
633.					Sold (part)	12/01/20	J		
634.					Sold (part)	12/07/20	J		
635.					Sold (part)	12/29/20	J		
636. Goldman Sachs Corp	A	Dividend			Buy	06/26/20	J		
637.					Buy (add'l)	07/09/20	J		
638.					Sold (part)	09/17/20	J		
639.					Sold (part)	10/15/20	J		
640.					Sold	10/29/20	J		
641. Graco Inc	A	Dividend			Buy	06/26/20	J		
642.					Sold (part)	10/22/20	J		
643.					Sold	12/14/20	J		
644. Grubhub Inc	A	Dividend	J	T	Buy	06/26/20	J		
645.					Buy (add'l)	06/30/20	J		
646.					Sold (part)	09/14/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Berman, Richard M.

Date of Report

08/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
647. Henkel AG & Co KGAA	A	Dividend	J	T	Buy	06/26/20	J		
648.					Sold (part)	09/30/20	J		
649.					Buy (add'l)	07/01/20	J		
650.					Buy (add'l)	07/06/20	J		
651. Honeywell International Inc	A	Dividend			Buy	06/26/20	J		
652.					Sold	06/29/20	J		
653. Humana Inc	A	Dividend			Buy	06/26/20	J		
654.					Buy (add'l)	07/09/20	J		
655.					Sold	12/01/20	J		
656. Las Vegas Sands Corporation	A	Dividend			Buy	06/26/20	J		
657.					Sold	08/07/20	J		
658. Lyft Inc	A	Dividend			Buy	06/26/20	J		
659.					Buy (add'l)	06/29/20	J		
660.					Sold	10/26/20	J		
661. Kering S A ADR	A	Dividend	J	T	Buy	06/26/20	J		
662.					Sold (part)	12/09/20	J		
663.					Sold (part)	12/14/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Berman, Richard M.

Date of Report

08/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
664. Lindsay MFG Co	A	Dividend	J	T	Buy	06/26/20	J		
665.					Sold (part)	08/18/20	J		
666.					Sold (part)	11/13/20	J		
667.					Sold (part)	12/10/20	J		
668.					Sold (part)	12/18/20	J		
669. Merit Med Syst	A	Dividend	J	T	Buy	06/26/20	J		
670.					Buy (add'l)	06/29/20	J		
671.					Buy (add'l)	07/07/20	J		
672.					Sold (part)	07/17/20	J		
673.					Sold (part)	08/31/20	J		
674.					Sold (part)	09/10/20	J		
675.					Buy (add'l)	09/24/20	J		
676.					Sold (part)	11/05/20	J		
677.					Sold (part)	12/07/20	J		
678.					Sold (part)	12/10/20	J		
679. Motorola Solutions Inc	A	Dividend	J	T	Buy	06/26/20	J		
680.					Buy (add'l)	07/01/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
681.					Buy (add'l)	07/07/20	J		
682.					Sold (part)	11/30/20	J		
683.					Sold (part)	12/01/20	J		
684. Mueller Water Prod Inc Ser A	A	Dividend	J	T	Buy	06/26/20	J		
685.					Buy (add'l)	07/08/20	J		
686.					Buy (add'l)	07/09/20	J		
687.					Sold (part)	08/06/20	J		
688.					Buy (add'l)	09/10/20	J		
689.					Buy (add'l)	10/22/20	J		
690.					Buy (add'l)	11/18/20	J		
691.					Buy (add'l)	12/29/20	J		
692. Northern Trust Co	A	Dividend	J	T	Buy	06/26/20	J		
693.					Buy (add'l)	07/01/20	J		
694.					Buy (add'l)	07/09/20	J		
695.					Buy (add'l)	07/22/20	J		
696.					Buy (add'l)	11/06/20	J		
697.					Sold (part)	12/08/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
698. Nvidia Corporation	A	Dividend	J	T	Buy	06/26/20	J		
699.					Buy (add'l)	06/29/20	J		
700.					Sold (part)	11/16/20	J		
701.					Sold (part)	12/16/20	J		
702. On Semiconductor Corp	A	Dividend			Buy	06/26/20	J		
703.					Buy (add'l)	07/06/20	J		
704.					Sold (part)	10/21/20	J		
705.					Sold	12/10/20	J		
706. Oshkosh Corp	A	Dividend			Buy	06/26/20	J		
707.					Buy (add'l)	07/07/20	J		
708.					Sold (part)	11/03/20	J		
709.					Sold (part)	11/04/20	J		
710.					Sold (part)	11/05/20	J		
711.					Sold (part)	11/06/20	J		
712.					Sold (part)	11/09/20	J		
713.					Sold (part)	11/10/20	J		
714.					Sold (part)	11/11/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Berman, Richard M.

Date of Report

08/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
715.					Sold (part)	11/12/20	J		
716.					Sold (part)	11/13/20	J		
717.					Sold (part)	11/16/20	J		
718.					Sold	11/17/20	J		
719. Paypal Hldgs Inc	A	Dividend	J	T	Buy	06/26/20	J		
720.					Buy (add'l)	06/29/20	J		
721.					Buy (add'l)	07/14/20	J		
722.					Sold (part)	08/04/20	J		
723.					Sold (part)	08/10/20	J		
724.					Buy (add'l)	11/04/20	J		
725.					Sold (part)	12/18/20	J		
726. Pernod Ricard SA Unspon	A	Dividend			Buy	06/26/20	J		
727.					Buy (add'l)	06/29/20	J		
728.					Buy (add'l)	07/07/20	J		
729.					Sold (part)	08/27/20	J		
730.					Sold (part)	09/10/20	J		
731.					Sold (part)	12/01/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
732.					Sold	12/11/20	J		
733. Phillips 66 Com	A	Dividend	J	T	Buy	06/26/20	J		
734.					Buy (add'l)	07/02/20	J		
735.					Buy (add'l)	07/06/20	J		
736.					Buy (add'l)	07/07/20	J		
737.					Buy (add'l)	07/09/20	J		
738.					Buy (add'l)	07/14/20	J		
739.					Sold (part)	10/19/20	J		
740. Reinsurance Group of America	A	Dividend	J	T	Buy	06/26/20	J		
741.					Sold (part)	06/29/20	J		
742. Ringcentral Inc	A	Dividend			Buy	06/26/20	J		
743.					Sold	11/16/20	J		
744. Rockwell Automation Inc	A	Dividend	J	T	Buy	06/26/20	J		
745.					Sold (part)	10/22/20	J		
746. Ross Stores Inc	A	Dividend	J	T	Buy	06/26/20	J		
747.					Buy (add'l)	06/29/20	J		
748.					Buy (add'l)	07/08/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
749.					Sold (part)	08/04/20	J		
750. Salesforce.com Inc	A	Dividend	J	T	Buy	06/26/20	J		
751.					Sold (part)	08/04/20	J		
752.					Sold (part)	08/26/20	J		
753.					Buy (add'l)	09/25/20	J		
754. Sarepta Therapeutics Inc	A	Dividend	J	T	Buy	06/26/20	J		
755.					Buy (add'l)	08/27/20	J		
756.					Sold (part)	11/06/20	J		
757. Schneider Elec SA Unsp	A	Dividend			Buy	06/26/20	J		
758.					Sold (part)	06/29/20	J		
759.					Sold (part)	07/22/20	J		
760.					Sold	10/06/20	J		
761. Servicenow Inc	A	Dividend	J	T	Buy	06/26/20	J		
762.					Buy (add'l)	07/09/20	J		
763.					Sold (part)	12/24/20	J		
764. Snowflake Inc	A	Dividend	J	T	Buy	11/06/20	J		
765.					Sold (part)	11/11/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
766.					Buy (add'l)	12/04/20	J		
767. Starbucks Corp	A	Dividend			Buy	06/26/20	J		
768.					Sold (part)	08/04/20	J		
769.					Sold (part)	08/07/20	J		
770.					Sold (part)	09/16/20	J		
771.					Sold	09/17/20	J		
772. Tencent Hldgs LTD	A	Dividend			Buy	06/26/20	J		
773.					Buy (add'l)	06/29/20	J		
774.					Sold (part)	08/04/20	J		
775.					Sold (part)	08/07/20	J		
776.					Buy (add'l)	10/29/20	J		
777.					Sold (part)	12/24/20	J		
778.					Sold	12/28/20	J		
779. Tesla Inc	A	Dividend			Buy	06/26/20	J		
780.					Sold (part)	07/14/20	J		
781.					Sold (part)	11/06/20	J		
782.					Sold (part)	11/11/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
783.					Sold (part)	11/13/20	J		
784.					Sold (part)	11/16/20	J		
785.					Sold (part)	11/30/20	J		
786.					Sold (part)	12/08/20	J		
787.					Sold (part)	12/15/20	J		
788.					Sold (part)	12/18/20	J		
789.					Sold	12/30/20	J		
790. Thermo Fisher Scientific	A	Dividend	J	T	Buy	06/26/20	J		
791.					Sold (part)	11/11/20	J		
792. Travelers Companies Inc	A	Dividend	J	T	Buy	06/26/20	J		
793.					Buy (add'l)	06/29/20	J		
794.					Buy (add'l)	07/01/20	J		
795.					Buy (add'l)	07/06/20	J		
796.					Buy (add'l)	07/09/20	J		
797.					Sold (part)	07/16/20	J		
798.					Buy (add'l)	10/01/20	J		
799.					Sold (part)	10/20/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
800.					Sold (part)	10/21/20	J		
801.					Sold (part)	11/16/20	J		
802. Twitter Inc	A	Dividend	J	T	Buy	06/26/20	J		
803.					Buy (add'l)	06/29/20	J		
804.					Buy (add'l)	06/30/20	J		
805.					Sold (part)	07/08/20	J		
806.					Buy (add'l)	11/11/20	J		
807. Uber Technologies Inc	A	Dividend	J	T	Buy	06/26/20	J		
808.					Sold (part)	08/04/20	J		
809.					Sold (part)	08/20/20	J		
810.					Buy (add'l)	12/21/20	J		
811. Vertex Pharmaceuticals	A	Dividend	J	T	Buy	06/26/20	J		
812.					Sold (part)	09/15/20	J		
813. Werner Enterprises Inc	A	Dividend	J	T	Buy	06/26/20	J		
814.					Sold (part)	07/13/20	J		
815.					Sold (part)	09/01/20	J		
816.					Buy (add'l)	11/10/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
817.					Buy (add'l)	12/14/20	J		
818. Wright Medical Group	A	Dividend	J	T	Buy	06/26/20	J		
819.					Buy (add'l)	06/29/20	J		
820.					Buy (add'l)	07/07/20	J		
821.					Sold (part)	11/03/20	J		
822. Abiomed Inc	A	Dividend	J	T	Buy	06/26/20	J		
823. Adobe Inc	A	Dividend	J	T	Buy	06/26/20	J		
824. Air Prod & Chem Inc	A	Dividend	J	T	Buy	06/26/20	J		
825.					Buy (add'l)	06/30/20	J		
826. Alcon Inc	A	Dividend	J	T	Buy	06/26/20	J		
827.					Buy (add'l)	06/30/20	J		
828.					Buy (add'l)	07/07/20	J		
829.					Buy (add'l)	07/09/20	J		
830. Align Technology	A	Dividend	J	T	Buy	11/06/20	J		
831.					Buy (add'l)	11/11/20	J		
832. Allegion Pub LTD Co	A	Dividend	J	T	Buy	06/26/20	J		
833. Alphabet Inc CL C	A	Dividend	J	T	Buy	06/26/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Berman, Richard M.

Date of Report

08/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
834. Amazon Com Inc	A	Dividend	J	T	Buy	06/26/20	J		
835.					Buy (add'l)	07/09/20	J		
836. American Tower REIT COM	A	Dividend	J	T	Buy	06/26/20	J		
837. Ameriprise Fincl Inc	A	Dividend	J	T	Buy	06/26/20	J		
838.					Buy (add'l)	07/09/20	J		
839. Amtek Inc New	A	Dividend	J	T	Buy	07/01/20	J		
840. Amphenol corp New CL A	A	Dividend	J	T	Buy	11/13/20	J		
841. Apple Inc	A	Dividend	J	T	Buy	06/26/20	J		
842. Aramark Holdings Corporation	A	Dividend	J	T	Buy	06/20/20	J		
843.					Buy (add'l)	07/02/20	J		
844.					Buy (add'l)	07/06/20	J		
845.					Buy (add'l)	07/07/20	J		
846.					Buy (add'l)	07/09/20	J		
847. ASML Holding NV NY Reg New	A	Dividend	J	T	Buy	06/26/20	J		
848. Autodesk Inc Delaware	A	Dividend	J	T	Buy	06/26/20	J		
849. Avalonbay Comm Inc	A	Dividend	J	T	Buy	06/26/20	J		
850.					Buy (add'l)	12/18/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Berman, Richard M.

Date of Report

08/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
851. Avista Corp	A	Dividend	J	T	Buy	10/29/20	J		
852.					Buy (add'l)	11/06/20	J		
853.					Buy (add'l)	12/07/20	J		
854. Baxter Intl Inc	A	Dividend	J	T	Buy	08/04/20	J		
855.					Buy (add'l)	11/11/20	J		
856.					Buy (add'l)	12/07/20	J		
857.					Buy (add'l)	12/10/20	J		
858. Becton Dickinson & Co Dep REPSTG PFD B	A	Dividend	J	T	Buy	06/26/20	J		
859.					Sold (part)	07/08/20	J		
860.					Buy (add'l)	08/21/20	J		
861.					Buy (add'l)	09/16/20	J		
862.					Buy (add'l)	12/29/20	J		
863. Beiersdorf AG Unspons ADR	A	Dividend	J	T	Buy	06/26/20	J		
864.					Buy (add'l)	06/29/20	J		
865.					Buy (add'l)	06/30/20	J		
866.					Buy (add'l)	07/01/20	J		
867.					Buy (add'l)	07/06/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
868.					Buy (add'l)	07/07/20	J		
869.					Buy (add'l)	07/09/20	J		
870.					Buy (add'l)	07/13/20	J		
871.					Buy (add'l)	10/28/20	J		
872.					Buy (add'l)	11/06/20	J		
873.					Buy (add'l)	12/07/20	J		
874.					Buy (add'l)	12/30/20	J		
875. Berkley W R Corp	A	Dividend	J	T	Buy	09/01/20	J		
876. Biogen Inc Com	A	Dividend	J	T	Buy	07/07/20	J		
877. Biomarin Pharmac SE	A	Dividend	J	T	Buy	07/16/20	J		
878. Blackstone Group Inc CL A	A	Dividend	J	T	Buy	06/26/20	J		
879. Booking Holdings Inc	A	Dividend	J	T	Buy	06/26/20	J		
880. Cabot Oil & Gas Corp A	A	Dividend	J	T	Buy	06/26/20	J		
881.					Buy (add'l)	07/01/20	J		
882. Cal Maine Foods Inc	A	Dividend	J	T	Buy	06/26/20	J		
883.					Buy (add'l)	07/02/20	J		
884.					Buy (add'l)	07/22/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
885.					Buy (add'l)	08/20/20	J		
886.					Buy (add'l)	08/25/20	J		
887.					Buy (add'l)	09/01/20	J		
888.					Buy (add'l)	12/29/20	J		
889.					Buy (add'l)	12/31/20	J		
890. Calif Water Svc GP Del	A	Dividend	J	T	Buy	06/26/20	J		
891.					Buy (add'l)	07/09/20	J		
892.					Buy (add'l)	08/28/20	J		
893.					Buy (add'l)	09/28/20	J		
894. Capital One Financial Corp	A	Dividend	J	T	Buy	06/26/20	J		
895.					Buy (add'l)	07/01/20	J		
896.					Buy (add'l)	07/07/20	J		
897.					Buy (add'l)	12/23/20	J		
898.					Buy (add'l)	12/24/20	J		
899. Carvana Co CL A	A	Dividend	J	T	Buy	06/26/20	J		
900.					Buy (add'l)	08/07/20	J		
901.					Buy (add'l)	10/14/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Berman, Richard M.

Date of Report

08/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
902.					Buy (add'l)	10/22/20	J		
903.					Buy (add'l)	10/26/20	J		
904. CBRE Group Inc CL A	A	Dividend	J	T	Buy	07/22/20	J		
905. CDW Corporation	A	Dividend	J	T	Buy	08/04/20	J		
906.					Buy (add'l)	09/11/20	J		
907.					Buy (add'l)	09/14/20	J		
908. Charles Schwab New	A	Dividend	J	T	Buy	11/16/20	J		
909. Chipotle Mexican Grill Inc Com	A	Dividend	J	T	Buy	06/26/20	J		
910. Cincinnati Financial Ohio	A	Dividend	J	T	Buy	07/02/20	J		
911.					Buy (add'l)	07/07/20	J		
912. Coca Cola Co	A	Dividend	J	T	Buy	06/26/20	J		
913.					Buy (add'l)	06/30/20	J		
914.					Buy (add'l)	07/06/20	J		
915.					Buy (add'l)	07/09/20	J		
916. Cognizant Tech Solutions CL A	A	Dividend	J	T	Buy	11/16/20	J		
917.					Buy (add'l)	12/16/20	J		
918. Commerce Bancshares	A	Dividend	J	T	Buy	06/26/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
919.					Buy (add'l)	07/06/20	J		
920.					Buy (add'l)	07/08/20	J		
921. Cooper Co Inc New	A	Dividend	J	T	Buy	06/26/20	J		
922.					Buy (add'l)	09/11/20	J		
923. Corteva Inc	A	Dividend	J	T	Buy	06/26/20	J		
924.					Buy (add'l)	07/08/20	J		
925. Costco Wholesale Corp New	A	Dividend	J	T	Buy	06/26/20	J		
926.					Buy (add'l)	07/09/20	J		
927. Costar Group Inc	A	Dividend	J	T	Buy	06/26/20	J		
928. Coupa Software Inc	A	Dividend	J	T	Buy	06/26/20	J		
929. Crowdstrike Hldgs Inc CL A	A	Dividend	J	T	Buy	06/26/20	J		
930.					Sold (part)	12/31/20	J		
931. Cullen Frost Bankers inc	A	Dividend	J	T	Buy	06/26/20	J		
932.					Buy (add'l)	07/07/20	J		
933.					Buy (add'l)	12/29/20	J		
934.					Buy (add'l)	12/30/20	J		
935.					Buy (add'l)	12/31/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
936. Deer & Co	A	Dividend	J	T	Buy	12/16/20	J		
937. East West Bancorp	A	Dividend	J	T	Buy	06/26/20	J		
938.					Buy (add'l)	07/06/20	J		
939.					Buy (add'l)	07/08/20	J		
940.					Buy (add'l)	07/09/20	J		
941. Elanco Animal Health Inc	A	Dividend	J	T	Buy	06/26/20	J		
942.					Buy (add'l)	07/01/20	J		
943.					Buy (add'l)	07/02/20	J		
944. Enphase Energy	A	Dividend	J	T	Buy	10/28/20	J		
945. Equinix Inc	A	Dividend	J	T	Buy	06/26/20	J		
946. Equity Comwlth Com SH Ben Int	A	Dividend	J	T	Buy	06/26/20	J		
947.					Buy (add'l)	07/02/20	J		
948.					Buy (add'l)	07/06/20	J		
949.					Buy (add'l)	07/07/20	J		
950.					Buy (add'l)	07/08/20	J		
951.					Buy (add'l)	07/09/20	J		
952.					Buy (add'l)	09/18/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
953.					Buy (add'l)	10/02/20	J		
954.					Buy (add'l)	10/21/20	J		
955.					Buy (add'l)	11/09/20	J		
956. Equity Lifestyle Properties	A	Dividend	J	T	Buy	06/26/20	J		
957. Estee Lauder Co Inc CL A	A	Dividend	J	T	Buy	06/26/20	J		
958.					Buy (add'l)	11/13/20	J		
959. Facebook Inc CL A	A	Dividend	J	T	Buy	06/26/20	J		
960.					Buy (add'l)	06/29/20	J		
961.					Buy (add'l)	08/04/20	J		
962.					Buy (add'l)	08/07/20	J		
963.					Buy (add'l)	08/26/20	J		
964.					Buy (add'l)	12/11/20	J		
965.					Buy (add'l)	12/15/20	J		
966. Farfetch LTD CL A	A	Dividend	J	T	Buy	12/17/20	J		
967.					Buy (add'l)	12/24/20	J		
968. Fedex Corp	A	Dividend	J	T	Buy (add'l)	11/16/20	J		
969. Fidelity Natl Information SE	A	Dividend	J	T	Buy	06/26/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
970. Fiserv Inc Wisconsin	A	Dividend	J	T	Buy	06/26/20	J		
971.					Buy (add'l)	06/29/20	J		
972.					Buy (add'l)	07/06/20	J		
973.					Buy (add'l)	07/09/20	J		
974. Genl Dynamics Corp	A	Dividend	J	T	Buy	06/26/20	J		
975.					Buy (add'l)	07/01/20	J		
976.					Buy (add'l)	07/09/20	J		
977. Global Payment Inc	A	Dividend	J	T	Buy	06/26/20	J		
978.					Buy (add'l)	07/08/20	J		
979.					Buy (add'l)	07/09/20	J		
980. Godaddy Inc	A	Dividend	J	T	Buy	12/04/20	J		
981. Guardant Health Inc	A	Dividend	J	T	Buy	06/26/20	J		
982. HCA Healthcare Inc	A	Dividend	J	T	Buy	11/16/20	J		
983. Healthcare Realty Trust Incorp	A	Dividend	J	T	Buy	06/26/20	J		
984.					Buy (add'l)	06/30/20	J		
985.					Buy (add'l)	07/02/20	J		
986.					Buy (add'l)	08/18/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
987.					Buy (add'l)	08/19/20	J		
988.					Buy (add'l)	11/20/20	J		
989.					Buy (add'l)	12/07/20	J		
990.					Buy (add'l)	12/10/20	J		
991.					Buy (add'l)	12/18/20	J		
992. ICU Medical Inc	A	Dividend	J	T	Buy	06/26/20	J		
993.					Buy (add'l)	11/10/20	J		
994.					Buy (add'l)	12/15/20	J		
995. Idacorp Inc	A	Dividend	J	T	Buy	10/06/20	J		
996. Intuitive Surgical Inc	A	Dividend	J	T	Buy	06/26/20	J		
997. Johnson Ctls Intl PLC	A	Dividend	J	T	Buy	06/26/20	J		
998.					Buy (add'l)	07/01/20	J		
999.					Buy (add'l)	07/07/20	J		
1000. JP Morgan Chase & Co	A	Dividend	J	T	Buy	05/29/20	J		
1001.					Buy (add'l)	06/02/20	J		
1002.					Buy (add'l)	07/02/20	J		
1003. Kering S A ADR New	A	Dividend	J	T	Buy	06/29/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1004.					Buy (add'l)	09/04/20	J		
1005.					Buy (add'l)	09/18/20	J		
1006. Kimberly Clark Corp	A	Dividend	J	T	Buy	11/10/20	J		
1007. Lam Research Corporation	A	Dividend	J	T	Buy	06/26/20	J		
1008. Lennar Corporation	A	Dividend	J	T	Buy	06/26/20	J		
1009.					Buy (add'l)	07/01/20	J		
1010. Liberty Global PLC CL A	A	Dividend	J	T	Buy	06/09/20	J		
1011. Lowes Companies	A	Dividend	J	T	Buy	11/16/20	J		
1012. LVMH Moet Hennessy Louis Vuitt	A	Dividend	J	T	Buy	09/14/20	J		
1013.					Buy (add'l)	09/17/20	J		
1014.					Buy (add'l)	12/01/20	J		
1015.					Buy (add'l)	12/08/20	J		
1016.					Buy (add'l)	12/11/20	J		
1017. Markel Corp (Holding Co)	A	Dividend	J	T	Buy	06/26/20	J		
1018. Marketaxess Holdings Inc	A	Dividend	J	T	Buy	06/26/20	J		
1019. Martin Marietta Materials	A	Dividend	J	T	Buy	06/26/20	J		
1020.					Buy (add'l)	07/09/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1021.					Buy (add'l)	09/17/20	J		
1022.					Buy (add'l)	09/18/20	J		
1023. Match Group Inc	A	Dividend	J	T	Buy	07/07/20	J		
1024.					Buy (add'l)	07/13/20	J		
1025.					Buy (add'l)	07/14/20	J		
1026.					Buy (add'l)	07/15/20	J		
1027.					Buy (add'l)	11/13/20	J		
1028.					Buy (add'l)	11/16/20	J		
1029.					Buy (add'l)	12/16/20	J		
1030.					Buy (add'l)	12/24/20	J		
1031. Mckesson Corp	A	Dividend	J	T	Buy	06/26/20	J		
1032.					Buy (add'l)	11/13/20	J		
1033. Medtronic PLC Shs	A	Dividend	J	T	Buy	06/26/20	J		
1034.					Buy (add'l)	07/09/20	J		
1035. Mercadolibre Inc	A	Dividend	J	T	Buy	06/26/20	J		
1036. Metlife Incorporated	A	Dividend	J	T	Buy	06/26/20	J		
1037.					Buy (add'l)	07/01/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1038. Metlife Inc 4.75% F	A	Dividend	J	T	Buy	06/26/20	J		
1039.					Buy (add'l)	07/02/20	J		
1040. Metlife Inc 5.62%	A	Dividend	J	T	Buy	06/26/20	J		
1041.					Buy (add'l)	06/30/20	J		
1042. Michelin Compagnie	A	Dividend	J	T	Buy	11/03/20	J		
1043.					Buy (add'l)	11/04/20	J		
1044.					Buy (add'l)	11/05/20	J		
1045.					Buy (add'l)	11/06/20	J		
1046.					Buy (add'l)	11/09/20	J		
1047.					Buy (add'l)	11/10/20	J		
1048.					Buy (add'l)	11/11/20	J		
1049.					Buy (add'l)	11/12/20	J		
1050.					Buy (add'l)	11/13/20	J		
1051.					Buy (add'l)	11/16/20	J		
1052.					Buy (add'l)	11/17/20	J		
1053. Microchip Technology Inc	A	Dividend	J	T	Buy	06/26/20	J		
1054.					Buy (add'l)	07/08/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1055. Middlesex Water Co	A	Dividend	J	T	Buy	06/26/20	J		
1056. Mission Produce Inc	A	Dividend	J	T	Buy	10/01/20	J		
1057.					Buy (add'l)	12/07/20	J		
1058.					Buy (add'l)	12/10/20	J		
1059. Mitsubishi UFJ Fincl Grp	A	Dividend	J	T	Buy	06/26/20	J		
1060.					Buy (add'l)	06/29/20	J		
1061.					Buy (add'l)	06/30/20	J		
1062.					Buy (add'l)	07/01/20	J		
1063.					Buy (add'l)	07/07/20	J		
1064.					Buy (add'l)	07/08/20	J		
1065.					Buy (add'l)	07/09/20	J		
1066. Moderna Inc	A	Dividend	J	T	Buy	06/26/20	J		
1067. Monster Beverage Corp	A	Dividend	J	T	Buy	06/26/20	J		
1068. Morgan Stanley	A	Dividend	J	T	Buy	06/26/20	J		
1069.					Buy (add'l)	06/30/20	J		
1070.					Buy (add'l)	07/21/20	J		
1071.					Buy (add'l)	12/16/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1072. MSCI Inc	A	Dividend	J	T	Buy	06/26/20	J		
1073. MTS Systems Corp	A	Dividend	J	T	Buy	12/10/20	J		
1074.					Buy (add'l)	12/16/20	J		
1075.					Buy (add'l)	12/23/20	J		
1076.					Buy (add'l)	12/28/20	J		
1077.					Buy (add'l)	12/29/20	J		
1078. Nestle Spon ADR	A	Dividend	J	T	Buy	06/26/20	J		
1079.					Buy (add'l)	07/02/20	J		
1080. Norfolk Southern Corp	A	Dividend	J	T	Buy	06/26/20	J		
1081. Northrop Grumman CP	A	Dividend	J	T	Buy	12/28/20	J		
1082. Novartis AG	A	Dividend	J	T	Buy	06/26/20	J		
1083.					Buy (add'l)	06/30/20	J		
1084. O'Reilly Automotive Inc	A	Dividend	J	T	Buy	06/26/20	J		
1085. Parker Hannifin Corp	A	Dividend	J	T	Buy	06/26/20	J		
1086.					Buy (add'l)	07/09/20	J		
1087. Peloton Interactive Inc	A	Dividend	J	T	Buy	09/17/20	J		
1088.					Buy (add'l)	09/18/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1089. Pinterest Inc	A	Dividend	J	T	Buy	11/11/20	J		
1090. Pioneer Natural Resources Co	A	Dividend	J	T	Buy	06/26/20	J		
1091. PNC Finl Svcs	A	Dividend	J	T	Buy	06/26/20	J		
1092.					Buy (add'l)	07/02/20	J		
1093.					Buy (add'l)	07/09/20	J		
1094. Procter & Gamble	A	Dividend	J	T	Buy	08/10/20	J		
1095.					Buy (add'l)	08/11/20	J		
1096. RPM Inc	A	Dividend	J	T	Buy	06/26/20	J		
1097.					Buy (add'l)	07/09/20	J		
1098. S&P Global Inc	A	Dividend	J	T	Buy	06/26/20	J		
1099. Safran	A	Dividend	J	T	Buy	11/17/20	J		
1100.					Buy (add'l)	11/18/20	J		
1101.					Buy (add'l)	11/27/20	J		
1102.					Buy (add'l)	12/01/20	J		
1103.					Buy (add'l)	12/28/20	J		
1104. Sanderson Farms	A	Dividend	J	T	Buy	06/26/20	J		
1105.					Buy (add'l)	06/29/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1106.					Buy (add'l)	07/01/20	J		
1107.					Buy (add'l)	07/22/20	J		
1108.					Buy (add'l)	08/05/20	J		
1109.					Buy (add'l)	12/21/20	J		
1110.					Buy (add'l)	12/31/20	J		
1111. Seattle Genetics Inc	A	Dividend	J	T	Buy	06/26/20	J		
1112.					Buy (add'l)	06/29/20	J		
1113. Shopify Inc	A	Dividend	J	T	Buy	06/26/20	J		
1114.					Buy (add'l)	11/30/20	J		
1115. SJW Group	A	Dividend	J	T	Buy	06/26/20	J		
1116.					Buy (add'l)	06/29/20	J		
1117.					Buy (add'l)	07/07/20	J		
1118.					Buy (add'l)	09/10/20	J		
1119.					Buy (add'l)	12/21/20	J		
1120. Smith & Nephew PLC	A	Dividend	J	T	Buy	06/29/20	J		
1121.					Buy (add'l)	06/30/20	J		
1122.					Buy (add'l)	07/09/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1123.					Buy (add'l)	09/22/20	J		
1124.					Buy (add'l)	10/21/20	J		
1125.					Buy (add'l)	11/03/20	J		
1126. Snap Inc	A	Dividend	J	T	Buy	07/08/20	J		
1127.					Buy (add'l)	07/17/20	J		
1128.					Buy (add'l)	11/02/20	J		
1129.					Buy (add'l)	11/06/20	J		
1130. Sony Corp	A	Dividend	J	T	Buy	06/29/20	J		
1131.					Buy (add'l)	06/30/20	J		
1132. Spotify Technology	A	Dividend	J	T	Buy	06/26/20	J		
1133.					Buy (add'l)	10/22/20	J		
1134.					Buy (add'l)	10/26/20	J		
1135.					Buy (add'l)	11/11/20	J		
1136.					Buy (add'l)	12/11/20	J		
1137. Square Inc	A	Dividend	J	T	Buy	09/17/20	J		
1138.					Buy (add'l)	09/25/20	J		
1139.					Buy (add'l)	10/02/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Berman, Richard M.

Date of Report

08/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1140.					Buy (add'l)	11/02/20	J		
1141.					Buy (add'l)	11/16/20	J		
1142.					Buy (add'l)	11/17/20	J		
1143.					Buy	12/24/20	J		
1144. SS&C Technologies Hldgs Inc	A	Dividend	J	T	Buy	06/26/20	J		
1145. Steris PLC	A	Dividend	J	T	Buy	06/26/20	J		
1146.					Buy (add'l)	07/08/20	J		
1147. Sun Communities Inc	A	Dividend	J	T	Buy	06/26/20	J		
1148.					Buy (add'l)	07/09/20	J		
1149. Synopsys Inc	A	Dividend	J	T	Buy	06/26/20	J		
1150. Taiwan Smcndctr MFG Co	A	Dividend	J	T	Buy	11/25/20	J		
1151.					Buy (add'l)	12/16/20	J		
1152.					Buy (add'l)	12/17/20	J		
1153. Target Corp	A	Dividend	J	T	Buy	12/31/20	J		
1154. TD Ameritrade Holding Corp	A	Dividend	J	T	Buy	06/26/20	J		
1155. Teladoc Health Inc	A	Dividend	J	T	Buy	06/26/20	J		
1156.					Buy (add'l)	07/09/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Berman, Richard M.

Date of Report

08/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1157. Texas Instruments	A	Dividend	J	T	Buy	06/26/20	J		
1158. The Boston Beer Co Inc	A	Dividend	J	T	Buy	08/13/20	J		
1159. The Technology Sel Sec SPDR	A	Dividend			Buy	05/29/20	J		
1160.					Sold	06/17/20	K		
1161. Townebank VA	A	Dividend	J	T	Buy	06/26/20	J		
1162.					Buy (add'l)	07/02/20	J		
1163.					Buy (add'l)	07/09/20	J		
1164. Trade Desk Inc	A	Dividend	J	T	Buy	06/26/20	J		
1165. Transunion	A	Dividend	J	T	Buy	11/25/20	J		
1166.					Buy (add'l)	11/27/20	J		
1167. Tyson Foods Inc	A	Dividend	J	T	Buy	07/01/20	J		
1168.					Buy (add'l)	07/07/20	J		
1169.					Buy (add'l)	07/09/20	J		
1170. UMB Financial Corp	A	Dividend	J	T	Buy	06/26/20	J		
1171.					Buy (add'l)	07/07/20	J		
1172.					Buy (add'l)	11/10/20	J		
1173. Universal Health Services	A	Dividend	J	T	Buy	06/26/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Berman, Richard M.

Date of Report

08/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1174. US Bancorp	A	Dividend	J	T	Buy	06/26/20	J		
1175.					Buy (add'l)	06/29/20	J		
1176.					Buy (add'l)	06/30/20	J		
1177.					Buy (add'l)	07/01/20	J		
1178.					Buy (add'l)	07/02/20	J		
1179.					Buy (add'l)	07/06/20	J		
1180.					Buy (add'l)	07/07/20	J		
1181.					Buy (add'l)	07/08/20	J		
1182.					Buy (add'l)	07/09/20	J		
1183. US Bancorp PFD B	A	Dividend	J	T	Buy	06/26/20	J		
1184.					Buy (add'l)	06/29/20	J		
1185.					Buy (add'l)	07/17/20	J		
1186.					Buy (add'l)	12/07/20	J		
1187.					Buy (add'l)	12/30/20	J		
1188. US Bancorp 6.5% FLTS 1/15/22	A	Interest	J	T	Buy	06/26/20	J		
1189.					Buy (add'l)	06/29/20	J		
1190. Valley Natl Bancorp	A	Dividend	J	T	Buy	06/26/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1191.					Buy (add'l)	07/02/20	J		
1192.					Buy (add'l)	07/06/20	J		
1193.					Buy (add'l)	07/07/20	J		
1194.					Buy (add'l)	07/08/20	J		
1195.					Buy (add'l)	07/09/20	J		
1196. Varian Medical Sys Inc	A	Dividend	J	T	Buy	06/26/20	J		
1197.					Buy (add'l)	09/10/20	J		
1198.					Buy (add'l)	11/06/20	J		
1199.					Buy (add'l)	11/11/20	J		
1200.					Buy (add'l)	12/01/20	J		
1201.					Buy (add'l)	12/07/20	J		
1202. Wayfair Inc	A	Dividend	J	T	Buy	07/09/20	J		
1203. White Mountain GRP Bermuda	A	Dividend	J	T	Buy	06/26/20	J		
1204.					Buy (add'l)	07/09/20	J		
1205. Workday Inc	A	Dividend	J	T	Buy	09/25/20	J		
1206. Zillow Group	A	Dividend	J	T	Buy	09/17/20	J		
1207.					Buy (add'l)	10/22/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1208.					Buy (add'l)	10/26/20	J		
1209.					Buy (add'l)	11/12/20	J		
1210. Zynga Inc	A	Dividend	J	T	Buy	05/29/20	J		
1211.									
1212.									
1213.									
1214.									
1215.									
1216.									
1217.									
1218.									
1219.									
1220.									
1221.									
1222.									
1223.									
1224.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1225.									
1226.									
1227.									
1228.									
1229.									
1230.									
1231.									
1232.									
1233.									
1234.									
1235.									
1236.									
1237.									
1238.									
1239.									
1240.									
1241.									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1242.									
1243.									
1244.									
1245.									
1246.									
1247.									
1248.									
1249.									
1250.									
1251.									
1252.									
1253.									
1254.									
1255.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Berman, Richard M.

Date of Report

08/10/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Original report did not include information on Triborough Bridge Ref B 11/15/2025. It has been added to Line 87.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Berman, Richard M.

Date of Report

08/10/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard M. Berman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544