

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bluebond, Sheri A.	2. Court or Organization Central District of California	3. Date of Report 03/17/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 255 E. Temple Street Suite 1534 Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Board of Trustees	Los Angeles Bankruptcy Forum
2. Trustee	Trust No. 1
3. Member, Board of Governors	American College of Bankruptcy Foundation
4. Member, Board of Governors	National Conference of Bankruptcy Judges
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

Bluebond, Sheri A.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	GlassRatner Advisory & Capital Group LLC (now known as B. Riley Financial, Inc. due to merger/acquisition) -- Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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Bluebond, Sheri A.	03/17/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Flyer Technologies, LLC, equity interest	A	Dividend	J	W					
2. Scholarshare Active Age-Based Portfolio		None	N	T					
3. Trust #1 (H)									
4. -Microsoft, common stock	A	Dividend	K	T					
5. -Wells Fargo Bank (Cash Accounts)	A	Interest	J	T					
6. -Stamps.com, common stock		None	L	T					
7. -First Eagle Global Mutual Fund	B	Dividend	K	T					
8. -Fidelity Gov't Cash Reserve fka Fidelity Municipal Money Market Fund	A	Dividend	J	T					
9. -Fidelity California Municipal Income Fund	B	Dividend	K	T	Sold (part)	03/13/20	J		
10.					Sold (part)	03/18/20	J		
11. -Fidelity Large Cap Stock Fund		None			Buy	04/13/20	J		
12.					Sold	05/14/20	J	A	
13. -Fidelity SAI US Treas Bond Index Fund	A	Dividend			Buy	05/14/20	J		
14.					Sold	06/06/20	J	A	
15. -Deutsche (DWS) Mngd Muni Bd Fd	A	Dividend	J	T					
16. -Invesco Int'l Growth Fund		None			Sold	05/13/20	J	A	
17. -Artisan Small Cap Fund Investor CL	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -Fidelity Growth Company Fund	A	Dividend	J	T	Sold (part)	03/18/20	J	A	
19.					Sold (part)	10/19/20	J	B	
20. -iShares Russell 1000 ETF	A	Dividend	K	T	Sold (part)	05/14/20	J	A	
21. -iShares TR Russell Midcap ETF	A	Dividend	J	T					
22. -iShares Russell 1000 Growth ETF	A	Dividend	J	T					
23. -iShares S&P Sm Cap 600 Value	A	Dividend			Buy (add'l)	03/20/20	J		
24.					Sold (part)	05/14/20	J	A	
25.					Buy (add'l)	08/03/20	J		
26.					Sold (part)	09/18/20	J	A	
27.					Sold	09/24/20	J	A	
28. -iShares Inc. Core MSCI Emerg Markets		None			Sold	03/20/20	J	A	
29. -iShares Russell Midcap Value Index ETF	A	Dividend	J	T					
30. -iShares TR US Treas. Bond ETF	A	Dividend	J	T	Buy (add'l)	04/03/20	J		
31.					Sold (part)	05/14/20	J	A	
32.					Sold (part)	06/08/20	J	A	
33.					Sold (part)	06/25/20	J	A	
34.					Sold (part)	09/18/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -IShares US Real Estate ETF	A	Dividend			Buy	08/03/20	J		
36.					Sold	09/24/20	J	A	
37. -IShares Edge MSCI USA Quality Factor	A	Dividend			Buy	05/14/20	J		
38.					Sold (part)	06/25/20	J	A	
39.					Sold (part)	10/19/20	J	A	
40.					Sold	12/15/20	J	A	
41. -IShares S&P Midcap 400 Value ETF	A	Dividend	J	T	Buy	06/08/20	J		
42.					Sold (part)	08/03/20	J	A	
43. -IShares TIPS Bond ETF		None			Buy	03/18/20	J		
44.					Sold	06/08/20	J	A	
45. -MFS Int'l Diversification Fund A	A	Dividend	K	T	Sold (part)	03/18/20	J	A	
46. -MFS Int'l Intrinsic Value Fund CL A	A	Dividend	K	T					
47. -T Rowe Price Tax Free High Yield	A	Dividend	J	T	Sold (part)	03/18/20	J	A	
48.					Sold (part)	06/25/20	J	A	
49.					Sold (part)	09/24/20	J	A	
50. -T Rowe Price New Era Fund	A	Dividend			Sold	03/04/20	J	A	
51. -T Rowe Price Overseas Stock I, TROIX	A	Dividend	J	T	Buy	12/16/20	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. -Vanguard Index FDS Vanguard Value	B	Dividend	L	T					
53. -Vanguard Index FDS Vanguard Growth	A	Dividend	L	T					
54. -Vanguard Real Estate ETF Fund	A	Dividend	J	T	Buy	06/08/20	J		
55.					Sold (part)	08/03/20	J	A	
56.					Buy (add'l)	09/24/20	J		
57.					Sold (part)	10/19/20	J	A	
58.					Sold (part)	11/11/20	J	A	
59. -Wells Fargo Muni Bond Fd-Admin CL	A	Dividend	J	T					
60. -Causeway Emerging Markets Fund	A	Dividend	K	T	Buy (add'l)	02/25/20	J		
61.					Sold (part)	04/13/20	J	A	
62. -Causeway Int'l Value Investor Fund	A	Dividend	J	T	Buy	04/14/20	J		
63.					Sold (part)	05/14/20	J	A	
64.					Buy (add'l)	08/03/20	J		
65.					Sold (part)	10/19/20	J	A	
66. -Vanguard Sector Index FDS Vanguard (Vanguard Fin'ls ETF)	A	Dividend	J	T	Sold (part)	03/20/20	J	A	
67. -Aberdeen Emerging Markets FD	A	Dividend	J	T	Sold (part)	02/13/20	J	A	
68.					Buy (add'l)	03/13/20	J		

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69.					Buy (add'l)	08/03/20	J		
70.					Sold (part)	09/18/20	J	A	
71. -Oakmark Int'l Investor FD		None			Sold (part)	02/25/20	J	A	
72.					Buy (add'l)	01/08/20	J		
73.					Sold	03/13/20	J	A	
74. -Blackrock Nat'l Muni Fund	A	Dividend	J	T	Buy (add'l)	01/08/20	J		
75.					Sold (part)	03/04/20	J	A	
76.					Sold (part)	03/13/20	J	A	
77.					Sold (part)	03/18/20	J	A	
78. -Mainstay (Mackay)Tax Free Bd Cl A	A	Dividend	J	T					
79. -Strategic Adv. Tax Short Duration Fund	A	Dividend	K	T	Buy (add'l)	03/04/20	J		
80.					Buy (add'l)	04/24/20	J		
81.					Buy (add'l)	03/30/20	J		
82.					Sold (part)	03/13/20	J	A	
83.					Sold (part)	03/18/20	J	A	
84.					Sold (part)	05/14/20	J	A	
85.					Sold (part)	06/25/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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86.					Sold (part)	02/25/20	J	A	
87. -Franklin CA Tax Free Income Class I Fund	A	Dividend	K	T					
88. -DFA Emerging Mkts Core Equities Fund	A	Dividend	J	T	Buy	08/03/20	J		
89.					Sold (part)	09/18/20	J	A	
90. -Delaware Tax Free Class A	A	Dividend	J	T	Buy	08/03/20	J		
91.					Sold (part)	10/19/20	J	A	
92.					Sold (part)	11/11/20	J	A	
93.					Sold (part)	12/15/20	J	A	
94. -Dodge & Cox Int'l Stock Fund	A	Dividend	J	T	Buy	03/04/20	J		
95.					Sold (part)	04/13/20	J	A	
96. -Goldman Sachs Emerg Mrkts Equity Fund	A	Dividend	J	T	Buy	03/13/20	J		
97.					Sold (part)	05/14/20	J	A	
98. -Harbor Int'l Inst Fund	A	Dividend	J	T	Buy	06/08/20	J		
99.					Sold (part)	09/18/20	J	A	
100.					Sold (part)	10/19/20	J	A	
101. -JP Morgan Small Cap Equity Class A Fund	A	Dividend	J	T	Buy	06/08/20	J		
102.					Sold (part)	08/03/20	J	A	

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103. -LSV Value Investor Equity Fund		None			Buy	03/13/20	J		
104.					Sold (part)	04/13/20	J	A	
105.					Buy (add'l)	09/24/20	J		
106.					Sold	11/11/20	J	A	
107. -Transamerica Int'l Fund		None			Buy	01/08/20	J		
108.					Sold	02/25/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Bluebond, Sheri A.	03/17/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The entries that appear in Part VII of this report refer to my assets (with the exception of line 2, which refers to 529 accounts held for the benefit of others). I am not reporting assets that belong to my spouse, as, due to a premarital agreement, these assets are the sole financial interest and responsibility of my spouse and are not derived from any of my assets, income or activities. I, as the reporting person, do not derive or expect to derive a benefit of any kind from my spouse's assets and have no knowledge of the assets or activities related to these assets.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Bluebond, Sheri A.

Date of Report

03/17/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Sheri A. Bluebond**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544