

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lance Sr, Alan G.	2. Court or Organization Court of Appeals for Veterans Claim	3. Date of Report 05/13/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 625 Indiana NW Ste 900 Washington D.C., 20004		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. President and Stockholder	Lance Land and Livestock, Ltd.
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 01/01/91	State of Idaho - Pension upon retirement after age 55
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Rental income from a parking space	\$900.00
2. 2013	Rental income from sublease	\$3,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Pro bono Consortium Training	May 2013	Seattle, Washington	Speaking Engagement	Travel, Lodging, Airfare
2. American Legion Baseball World Series	August 2013	Shelby, North Carolina	Organizational Representative Appearance	Event Tickets
3. American Legion National Convention	August 2013	Houston, Texas	Speaking Engagement	Travel, Lodging, Airfare
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1 - Charles Schwab	B	Int./Div.	L	T					
2. -Schwab Money Market Fund	A	Interest	J	T					
3. -AT&T Inc Common Stock	A	Dividend	J	T	Buy	07/29/13	J		
4.					Buy (add'l)	12/09/13	J		
5. -Briggs & Stratton Corp Common Stock	A	Dividend	J	T					
6. -C S X Corp Common Stock	A	Dividend	J	T	Buy (add'l)	07/25/13	J		
7. -Frontier Communications Common Stock	A	Dividend			Sold	07/29/13	J		
8. -GE Common Stock	A	Dividend	J	T					
9. -Heinz HJ Co Common Stock	A	Dividend			Sold	06/10/13	J		
10. -J M Smucker Company Common Stock	A	Dividend	J	T					
11. -Johnson & Johnson, Inc Common Stock	A	Dividend	J	T					
12. -Proctor & Gamble Common Stock	A	Dividend	K	T	Buy (add'l)	07/25/13	J		
13. -Snyder-Lance, Inc Common Stock	A	Dividend	J	T					
14. -The Southern Company Common Stock	A	Dividend	J	T					
15. Lance Land & Livestock, LTD.	D	Distribution	L	U					
16. -Cash, Land, and Buildings	D	Distribution	L	U					
17. Common Stock Parks America Inc.		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. IRA #2 - Vanguard		None	M	T					
19. -Emerging Mkts Stock Index		None	K	T					
20. -Health Care Fund Inv		None	L	T					
21. Life Investors: Cash Value Life Insurance		None	K	T					
22. ING ReliaStar Life Insurance		None	J	T					
23. IRA #3 - Edward Jones		None	N	T					
24. -Model Core Plus Balanced Toward Income	D	Dividend	N	T					
25. Working Interest, Incomm Energy Group LLC, Kimball, NE	B	Royalty	J	W					
26. Public Employee Retirement System of Idaho	E	Distribution	J	T					
27. Capital One Bank	A	Interest	L	T					
28. Union First Market Bank	B	Interest	L	T					
29. Wells Fargo Bank	A	Interest	M	T					
30. Scott & Stringfellow Investment Account	A	Dividend	J	T					
31. -Mkt Vctrs Agribusn ETF	A	Dividend	J	T					
32. -Nuveen Energy MLP ETF	A	Dividend	J	T					
33. Idaho Central Credit Union	A	Interest	K	T					
34. US Series I Bonds	A	Interest	J	T					

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35. Gold		None	J	T	Buy	12/16/13	J		
36. Wadell & Reed	D	Dividend	L	T	Open	08/20/13	L		
37. -WR Advisors Core Investment A	A	Dividend	J	T	Open	08/20/13	J		
38. -WR Advisors Dividend Opportunities A	A	Dividend	J	T	Open	08/20/13	J		
39. -WR Advisors Energy A	A	Dividend	J	T	Open	08/20/13	J		
40. -Ivy Large Cap Growth A	A	Dividend	J	T	Open	08/20/13	J		
41. -Ivy Mid Cap Growth A	A	Dividend	J	T	Open	08/20/13	J		
42. -WR Advisors Science and Technology A	A	Dividend	J	T	Open	08/20/13	J		
43. -Ivy Small Cap Growth A	A	Dividend	J	T	Open	08/20/13	J		
44. -Ivy Small Cap Value A	A	Dividend	J	T	Open	08/20/13	J		
45. -WR Advisors Tax-Managed Equity A	A	Dividend	J	T	Open	08/20/13	J		
46. -WR Advisors Value A	A	Dividend	J	T	Open	08/20/13	J		
47. -Ivy Micro Cap Growth A	A	Dividend	J	T	Open	08/20/13	J		
48. -Ivy Cundill Global Value A	A	Dividend	J	T	Open	08/20/13	J		
49. -Ivy Global Equity Income A	A	Dividend	J	T	Open	08/20/13	J		
50. -Ivy International Core Equity A	A	Dividend	J	T	Open	08/20/13	J		
51. -WR Advisors Asset Strategy A	A	Dividend	J	T	Open	08/20/13	J		

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52. -WR Advisors Continental Income A	A	Dividend	J	T	Open	08/20/13	J		
53. -Ivy High Income A	A	Dividend	J	T	Open	08/20/13	J		
54. -Ivy Limited - Term Bond A	A	Dividend	J	T	Open	08/20/13	J		
55. -WR Advisors Municipal Bond A	A	Dividend	J	T	Open	08/20/13	J		
56. -WR Advisors Municipal High Income A	A	Dividend	J	T	Open	08/20/13	J		
57. -WR Advisors Cash Management A	A	Dividend	J	T	Open	08/20/13	J		
58. NARFE Premier Credit Union (Y)									
59. StellarOne Bank (Y)									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VI - The liability reported on previous reports has been removed since it is a co-signing on a child's student loans who is no longer a dependent.
2. Part VII - Line 04: Connected to line 3, this is an additional purchase of AT&T Inc Common Stock.
3. Part VII - Line 09: This stock was sold as part of a cash merger, and all funds received remained in the IRA to be reinvested.
4. Part VII - Line 23 - 24: The funds in this account are not directed by the account holder. The account holder selects a model (Model selected: Model Core Plus Balanced Toward Income) and then has no control of securities or funds held in the account.
5. Part VII - Line 25: Incoor Energy Group LLC, Kimball, NE was previously known as Holly Frontier Refining & Marketing LLC on previous reports.
6. Part VII - Line 26: This retirement benefit became active beginning May 2009. It is also reported in Part II, Agreements.
7. Part VII - Line 37 - 57: This new fund opened 8/20/13 with investments as outlined.
8. Part VII - Line 58 - This was reported on Line 35 of the previous years report. The balance of the account is now under the filing requirement.
9. Part VII - Line 59 - This was reported on Line 36 of the previous years report. The balance of the account is now under the filing requirement.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alan G. Lance Sr

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544