

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Curiel, Gonzalo P.	2. Court or Organization U.S. District Court	3. Date of Report 08/08/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2013 to 12/31/2013
	5b. ☐ Amended Report	
7. Chambers or Office Address Schwartz Federal Courthouse 221 West Broadway Suite 2190 San Diego, CA 92101		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Urban Discovery Academy Charter School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	State of California - Salary	\$134,091.72
2. 2011	State of California - Salary	\$196,968.00
3. 2010	State of California - Salary	\$192,343.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Antitrust Institute	05/22/13 - 05/24/13	Palo Alto, CA	Educational	Transportation, Lodging, Food
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ☐ Trust # 1									
2. - First Credit Union	A	Interest	K	T					
3. - Wells Fargo	A	Interest	K	T					
4. - Maui, HI real property		None	N	W					
5. - Glendale, AZ real property parcel # 1		None	M	W					
6. - Glendale, AZ real property parcel # 2	B	Rent	M	W					
7. - Various boats		None	L	U					
8. - Various vehicles		None	K	U					
9. California Retirement System	D	Interest			Closed	01/30/13	M	E	
10. American Funds Moderate Allocation Portfolio	A	Dividend	J	T					
11. MFS Emerging Markets Portfolio	A	Dividend	J	T					
12. MFS Research International Portfolio	A	Dividend	J	T					
13. PIMCO Inflation Protected Portfolio	A	Dividend	J	T					
14. PIMCO Total Return Portfolio	A	Dividend	J	T					
15. T. Rowe Price Mid Cap Growth Fund	A	Dividend	J	T					
16. CONS Discret SEL SECT SPDR FD	A	Dividend	J	T	Buy	03/06/13	J		
17. CONS Discret SEL SECT SPDR FD	A	Dividend	J	T	Buy	03/26/13	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. CONS Discret SEL SECT SPDR FD	A	Dividend	J	T	Buy	06/25/13	J		
19. CONS Discret SEL SECT SPDR FD	A	Dividend	J	T	Buy	08/13/13	J		
20. Industrial SEL SEC SPDR FD	A	Dividend	J	T	Buy	03/06/13	J		
21. Industrial SEL SEC SPDR FD	A	Dividend	J	T	Buy	06/13/13	J		
22. Industrial SEL SEC SPDR FD	A	Dividend	J	T	Buy	06/25/13	J		
23. Industrial SEL SEC SPDR FD	A	Dividend	J	T	Buy	08/13/13	J		
24. SPDR S&P Aerospace & Defense ETF	A	Dividend	J	T	Buy	03/06/13	J		
25. SPDR S&P Aerospace & Defense ETF	A	Dividend	J	T	Buy	06/13/13	J		
26. SPDR S&P Aerospace & Defense ETF	A	Dividend	J	T	Buy	06/25/13	J		
27. SPDR S&P Aerospace & Defense ETF	A	Dividend	J	T	Buy	08/13/13	J		
28. SPDR S&P Bank ETF	A	Dividend	J	T	Buy	09/04/13	J		
29. SPDR S&P Biotech ETF	A	Dividend	J	T	Buy	03/06/13	J		
30. SPDR S&P Biotech ETF	A	Dividend	J	T	Buy	06/13/13	J		
31. SPDR S&P Biotech ETF	A	Dividend	J	T	Buy	06/25/13	J		
32. SPDR S&P Biotech ETF	A	Dividend	J	T	Sold (part)	08/13/13	J	A	
33. SPDR S&P Health Care Equipment ETF	A	Dividend	J	T	Buy	03/06/13	J		
34. SPDR S&P Health Care Equipment ETF	A	Dividend	J	T	Buy	06/13/13	J		

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35. SPDR S&P Health Care Equipment ETF	A	Dividend	J	T	Buy	06/25/13	J		
36. SPDR S&P Health Care Equipment ETF	A	Dividend	J	T	Buy	08/13/13	J		
37. SPDR S&P Homebuilders ETF	A	Dividend	J	T	Buy	03/06/13	J		
38. SPDR S&P Homebuilders ETF	A	Dividend	J	T	Buy	06/13/13	J		
39. SPDR S&P Homebuilders ETF	A	Dividend	J	T	Buy	06/25/13	J		
40. SPDR S&P Homebuilders ETF	A	Dividend	J	T	Buy	08/13/13	J		
41. SPDR S&P Insurance ETF	A	Dividend	J	T	Buy	03/06/13	J		
42. SPDR S&P Insurance ETF	A	Dividend	J	T	Buy	06/13/13	J		
43. SPDR S&P Insurance ETF	A	Dividend	J	T	Buy	06/25/13	J		
44. SPDR S&P Insurance ETF	A	Dividend	J	T	Buy	08/13/13	J		
45. SPDR S&P Morgan Stanley Technology ETF	A	Dividend	J	T	Buy	03/06/13	J		
46. SPDR S&P Morgan Stanley Technology ETF	A	Dividend	J	T	Buy	06/13/13	J		
47. SPDR S&P Morgan Stanley Technology ETF	A	Dividend	J	T	Buy	06/25/13	J		
48. SPDR S&P Morgan Stanley Technology ETF	A	Dividend	J	T	Buy	08/13/13	J		
49. SPDR S&P Pharmaceuticals ETF	A	Dividend	J	T	Buy	03/06/13	J		
50. SPDR S&P Pharmaceuticals ETF	A	Dividend	J	T	Buy	06/25/13	J		
51. SPDR S&P Pharmaceuticals ETF	A	Dividend	J	T	Buy	08/13/13	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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52. SPDR S&P Regional Banking ETF	A	Dividend	J	T	Buy	03/06/13	J		
53. SPDR S&P Regional Banking ETF	A	Dividend	J	T	Buy	06/13/13	J		
54. SPDR S&P Regional Banking ETF	A	Dividend	J	T	Buy	06/25/13	J		
55. SPDR S&P Regional Banking ETF	A	Dividend	J	T	Buy	08/13/13	J		
56. SPDR S&P Retail ETF	A	Dividend	J	T	Buy	03/06/13	J		
57. SPDR S&P Retail ETF	A	Dividend	J	T	Buy	06/13/13	J		
58. SPDR S&P Retail ETF	A	Dividend	J	T	Buy	06/25/13	J		
59. SPDR S&P Retail ETF	A	Dividend	J	T	Buy	08/13/13	J		
60. SPDR S&P Software & Service ETF	A	Dividend	J	T	Buy	06/13/13	J		
61. SPDR S&P Software & Service ETF	A	Dividend	J	T	Buy	06/25/13	J		
62. SPDR S&P Software & Service ETF	A	Dividend	J	T	Sold (part)	08/13/13	J	A	
63. SPDR S&P Transportation ETF	A	Dividend	J	T	Buy	03/06/13	J		
64. SPDR S&P Transportation ETF	A	Dividend	J	T	Buy	06/13/13	J		
65. SPDR S&P Transportation ETF	A	Dividend	J	T	Buy	06/25/13	J		
66. SPDR S&P Transportation ETF	A	Dividend	J	T	Buy	08/13/13	J		
67. The Technology SEL SEC SPDR	A	Dividend	J	T	Buy	03/06/13	J		
68. The Technology SEL SEC SPDR	A	Dividend	J	T	Buy	06/13/13	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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69. The Technology SEL SEC SPDR	A	Dividend	J	T	Buy	06/25/13	J		
70. The Technology SEL SEC SPDR	A	Dividend	J	T	Buy	08/13/13	J		
71. SPDR DJ Wilshire REIT ETF	A	Dividend			Buy	03/06/13	J		
72. SPDR DJ Wilshire REIT ETF	A	Dividend			Buy	06/13/13	J		
73. SPDR DJ Wilshire REIT ETF	A	Dividend			Buy	06/25/13	J		
74. SPDR DJ Wilshire REIT ETF	A	Dividend			Sold	08/13/13	J	A	
75.									
76.									
77.									
78.									
79.									
80.									
81.									
82.									
83.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Investments and Trusts

is a co-trustee and co-beneficiary of Trust # 1 which is revocable living trust funded by . As a co-trustee, shares unlimited access and full control of the trust assets.

In January 2013, I rolled over proceeds from my California Retirement System account to an IRA investment portfolio managed by Morgan Stanley. The Morgan Stanley managed tranactions are listed in Section VII, lines 16-74.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Gonzalo P. Curiel**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544