

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lawson, Jr., Roger H.	2. Court or Organization Middle District, Georgia	3. Date of Report 05/01/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Senior	5a. Report Type (check appropriate type) ☐ Nomination Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address P.O. Box 838 475 Mulberry Street Macon, Georgia 31202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 01/01/96	Georgia Retirement System for Superior Court Judges
2. _____	_____
3. _____	_____

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Lawson, Jr., Roger H.

Date of Report

05/01/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Georgia Judicial Retirement System--Retirement	\$90,262.08
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Teacher's Retirement System of Georgia--Retirement
2. 2013	First United Methodist Church--Wages
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Lawson, Jr., Roger H.

Date of Report

05/01/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Lawson, Jr., Roger H.

Date of Report

05/01/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Sunmark Bancshares, Inc.	A	Dividend	J	U					
2. Merrill Lynch IRA									
3. --Merrill Lynch - FIA Card Services	A	Interest	J	T					
4. --Merrill Lynch - Blackrock Global	A	Interest	J	T					
5. --Lincoln National Life Legacy III Plus		None	L	T					
6. Merrill Lynch									
7. --Merrill Lynch Bank USA	A	Interest	K	T					
8. --Transamerica Life Ins. Co.-Transamerica Landmark Annuity		None	L	T					
9. --Transamerica Life Ins. Co.- Transamerica Landmark Annuity		None	K	T					
10. ██████████ Hawkinsville,Pulaski County, GA		None	N	W					
11. Wells Fargo-High Yield Bond Fund A	A	Dividend	K	T					
12. Franklin Georgia Tax-Free Income Fund- Class A	A	Dividend	J	T					
13. Rental House, ██████████ Hawkinsville,Pulaski Co., GA.	C	Rent	K	W					
14. ██████████ Pulaski County, Georgia Und. Interest		None	K	W					
15. Rental House, ██████████ Hawkinsville, GA. Und. Inter	C	Rent	K	W					
16. Merrill Lynch									
17. Merrill Lynch Deposit Account	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Lawson, Jr., Roger H.

Date of Report

05/01/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --Regions Financial Corp.	A	Dividend	K	T					
19. --Pimco Income Fund Cl C		None	J	T	Buy	10/10/13	J		
20. --Tribute Pharmaceuticals Inc		None	J	T	Sold (part)	11/11/13	J		
21. --Nuveen Georgia Muni Bond Fund	D	Dividend	N	T					
22. --Virnetx Hldg Corp		None	J	T					
23. --Legg Mason Western Asset	C	Dividend	M	T					
24. Sunmark Community Bank-Accounts	A	Interest	J	T					
25. Merrill Lynch									
26. --Merrill Lynch Deposit Account	A	Interest	J	T					
27. --Abbott Laboratories Common	A	Dividend	J	T					
28. --American Intl Group Inc Common	A	Dividend	J	T					
29. --Abbvie Inc SHS	A	Dividend	J	T	Spinoff (from line 27)	01/07/13	J		
30. --AT&T Inc	A	Dividend	J	T					
31. --Automatic Data Processing Inc Common	A	Dividend	J	T					
32. --Chevron Texaco Corp Common	A	Dividend	J	T					
33. --Cintas Corp Common	A	Dividend	J	T					
34. --Coca-Cola Co. Common	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Lawson, Jr., Roger H.

Date of Report

05/01/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. --General Electric Co., Common	A	Dividend	J	T					
36. --Walt Disney Co. Common	A	Dividend	K	T					
37. --E I Dupont De Nemours & Co. Common	A	Dividend	J	T					
38. --Elephant Talk Commnet Inc		None	J	T					
39. --Exxon Mobile Corp Common	A	Dividend	J	T					
40. --Illinois Tool Works Inc.	A	Dividend	J	T					
41. --Eli Lilly & Co. Common	A	Dividend	J	T					
42. --McDonald's Corp. Common	A	Dividend	J	T					
43. --Medtronic Inc. Common	A	Dividend	J	T					
44. --Pfizer Inc. Common	A	Dividend	J	T					
45. --Proctor & Gamble Co. Common	A	Dividend	J	T					
46. --Western Asset Managed Municipals Fd C	C	Dividend	M	T					
47. --Walgreen Co. New Common	A	Dividend	J	T					
48. --Wells Fargo & Co. New Common	A	Dividend	J	T					
49. --WT 01 21American Intl		None	J	T					
50. --Legg Mason Western Asset	C	Dividend	M	T					
51. Merck and Co., Inc.	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Lawson, Jr., Roger H.

Date of Report

05/01/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Merrill Lynch									
53. --Blackrock Strategic Income Opprts	A	Dividend	J	T					
54. --Blackrock Multi Asset	A	Dividend	J	T					
55. --Blackrock Equity Dividend	A	Dividend	J	T	Sold (part)	08/19/13	J	A	
56. --Blackrock Global Allocation Fund	A	Dividend	J	T					
57. --DWS Reef Global Infrast	A	Dividend	J	T	Buy	08/19/13	J		
58. --Eaton Vance Equity Strategy	A	Dividend	J	T	Buy	03/08/13	J		
59. --Doubleline Total Return	A	Dividend			Sold	02/15/13	J	A	
60. --Doubleline Core Fixed	A	Dividend			Sold	02/08/13	J	A	
61. --Franklin Rising Dividends Fund	A	Dividend	J	T	Sold (part)	08/19/13	J	A	
62. --Franklin Income Fd Adv	A	Dividend	J	T					
63. --Goldman Sachs Strategic	A	Dividend	J	T					
64. --Goldman Sachs Satellite	A	Dividend	K	T					
65. --iShares MSCI EAFE Index	A	Dividend	J	T					
66. --iShares Select	A	Dividend	J	T	Buy	02/08/13	J		
67.					Buy (add'l)	02/15/13	J		
68.					Buy (add'l)	03/22/13	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Lawson, Jr., Roger H.

Date of Report

05/01/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. --iShares S & P 100	A	Dividend	J	T	Buy	02/08/13	J		
70. --JP Morgan Income Builder		None	J	T	Buy	11/07/13	J		
71. --JP Morgan Strategic	A	Dividend	J	T	Buy	11/07/13	J		
72. --Loomis Sayles Strategic	A	Dividend	J	T					
73. --Pimco Income Fund	A	Dividend	J	T					
74. --Pimco Total Return Fund	A	Dividend			Sold	03/08/13	J	A	
75. --Pimco All Asset All	A	Dividend			Sold	11/07/13	J	A	
76. --Powershares Exchange	A	Dividend	J	T					
77. --Prudential Short	A	Dividend	J	T	Buy	08/05/13	J		
78. --SPDR Index SHS Fds	A	Dividend	J	T	Buy	02/08/13	J		
79. --Powershares CEF Inc Composite		None			Buy	01/15/13	J		
80.					Buy (add'l)	01/18/13	J		
81.					Sold	11/19/13	J	A	
82. --Alps Alerian MLP ETF		None			Buy	09/20/13	J		
83.					Sold	12/18/13	J	A	
84. --Spdr Gold Trust		None			Sold	03/27/13	J	C	
85. --Templeton Gbl Bond Fd	A	Dividend	J	T					

- | | | | | | |
|--|--|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |
| 3. Value Method Codes
(See Column C2) | | | | | |

FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Lawson, Jr., Roger H.

Date of Report

05/01/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. --The Oakmark Intl Fund	A	Dividend	J	T	Buy	04/25/13	J		
87. --Thornburg Invst Income Builder	A	Dividend	J	T					
88. --Transamerica MLP & Energy	A	Dividend	J	T	Buy	12/13/13	J		
89. --Vanguard Value ETF	A	Dividend	J	T					
90. --Vanguard Growth ETF	A	Dividend	J	T					
91. --Vanguard MSCI Emerging	A	Dividend	J	T	Buy (add'l)	01/07/13	J		
92.					Sold (part)	09/20/13	J	A	
93. --Wisdomtree Emerging Mkt	A	Dividend			Buy	02/08/13	J		
94.					Buy (add'l)	02/13/13	J		
95.					Sold	09/20/13	J	A	
96. --Yacktman Fund	A	Dividend			Sold	04/25/13	J	A	
97. --Lord Abbett Short Duration		None	J	T	Buy	11/19/13	J		
98.					Sold (part)	12/26/13	J	A	
99. --iShares TR Dow Jones	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A =\$1,000 or less
F =\$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Lawson, Jr., Roger H.

Date of Report

05/01/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 20 -- Last year the name was Stellar Pharmaceuticals. The name was changed during the year to Tribute Pharmaceuticals.

During 2013, Legg Mason Western Asset changed its name to Western Asset Managed Minicipals.

In 2012 on Page 6, Line 46 we had listed Virmtex Hldg Corp. During 2013, we realized that we actually had two "Virmtex Hldg Corp", so we combined the two and they are both considered in the Page 5, Line 22.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Lawson, Jr., Roger H.

Date of Report

05/01/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Roger H. Lawson, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544