

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Manion, Daniel A.	2. Court or Organization USCA-7	3. Date of Report 02/04/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2013 to 12/31/2013
	5b. ☒ Amended Report	
7. Chambers or Office Address 301 Grant Federal Building 204 S. Main Street South Bend, IN 46601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

Name of Person Reporting	Date of Report
Manion, Daniel A.	02/04/2015

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Manion, Daniel A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

1. Key Bank checking accounts	A	Interest	K	T					
2. First Opportunity Fund	A	Dividend	J	T					
3. UBS deposit account	A	Distribution	M	T					
4. Diamonds		None	J	W					
5. Boeing Company common stock	B	Dividend	L	T					
6. Nuveen High Yield Fund	A	Interest			Sold	9/26/13	K		
7. Blackrock Muni	B	Dividend	K	T	Sold	04/26/13	J	B	
8.	B	Dividend	K	T	Buy		K		
9. Barclays Bank preferred	A	Dividend	J	T					
10. Credit Suisse preferred	A	Dividend			Sold	03/28/13	J	A	
11. Deutsche Bank preferred	A	Dividend	J	T					
12. ING Group preferred	A	Dividend	J	T					
13. Bank of America preferred	A	Dividend			Sold	05/28/13	J		
14. South Bend Redev. Bond	A	Interest	J	T					
15. Indiana St. Dev. Bond	A	Interest	J	T					
16. Chicago IL O'Hare bond	A	Interest	J	T					
17. Brownsville TX bond	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

18. Oppenheimer Steelpath	B	Distribution	K	T	Buy	03/18/13	K		
19. Nuveen Interduration	A	Int./Div.	K	T	Buy	09/26/13	K		
20. Indianapolis IN loc bond	A	Interest	J	T					
21. Indianapolis IN gas bond	A	Interest	J	T					
22. Hamilton Co. OH bond	A	Interest	J	T					
23. Kane/DeKalb Co. IL bond	B	Interest	K	T					
24. University of Akron bond	A	Interest	J	T					
25. UBS deposit account	A	Distribution	M	T					
26. IRA Items (H)									
27. -General Electric	A	Dividend			Sold	02/21/13	J	B	
28. -Davis NY Venture Fund	B	Distribution	K	T					
29. -Franklin Balance Sheet	D	Distribution	L	T					
30. -Franklin Income Fund	A	Distribution	K	T					
31. -American Mutual Fund	A	Distribution	K	T	Buy	02/20/13	J		
32.	A	Distribution	K	T	Buy	06/25/13	J		
33. -Powershares S&P 500 Fund	B	Distribution	K	T	Buy	01/04/13	J		
34.	B	Distribution	K	T	Buy	01/11/13	K		

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2. Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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35. -Lord Abbett Short Duration Income Fund (LALDX)	A	Distribution	K	T	Buy	01/25/13	J		
36.	A	Distribution	K	T	Buy (add'l)	03/26/13	J		
37.	A	Distribution	K	T	Buy (add'l)	05/02/13	J		
38. -Royce Total Return Fund (RYTRX)	B	Distribution	K	T	Buy	01/25/13	J		
39.	B	Distribution	K	T	Buy (add'l)	05/21/13	J		
40.	B	Distribution	K	T	Buy (add'l)	08/30/13	J		
41. -Vanguard Intermediate Bond Fund	A	Distribution	K	T					
42. -Wells Fargo Bond Fund	A	Distribution	J	T	Buy	01/17/13	J		
43.	A	Distribution	J	T	Buy (add'l)	03/26/13	J		
44. - Rowe T Price Growth Fund	A	Distribution	K	T	Buy	06/05/13	J		
45. - Baird Gen Mkt Fund	A	Int./Div.	K	T					trans from UBS #97
46. - SPDR Series Trust Fund	A	Distribution	J	T	Buy	06/25/13	J		
47. - Permanent Portfolio Fund	A	Distribution	J	T	Buy	05/02/13	J		
48. - State of Indiana retirement		None			Redeemed	03/20/13	J		
49. - Growth Fund America	B	Distribution	K	T					
50. - Conocophillips	A	Dividend	J	T	Sold	08/30/13	J	B	
51. - SPDR Series Trust	A	Distribution	J	T					

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2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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52. - Microsoft Corp	A	Dividend	K	T	Sold	06/25/13	K	C	
53. - American Mutual Fund	A	Distribution	K	T	Buy	01/25/13	K		
54.	A	Distribution	K	T	Buy (add'l)	06/05/13	J		
55. - Harbor Intn'l	A	Distribution	J	T	Buy	05/21/13	J		
56.	A	Distribution	J	T	Buy (add'l)	12/05/13	J		
57. - Powershares S&P 500 Fund	A	Distribution	K	T					
58. - Royce Total Return Fund (RYTRX)	B	Distribution	K	T	Buy	01/25/13	J		
59.	B	Distribution	K	T	Buy (add'l)	02/04/13	J		
60. - Lord Abbett Short Duration Income Fund (LALDX)	A	Distribution	K	T	Buy	03/26/13	J		
61. - Vanguard Intermediate Term Fnd	A	Distribution	J	T					
62. -Wells Fargo Bond Fund	A	Distribution	J	T	Buy	01/17/13	J		
63.	A	Distribution	J	T	Buy (add'l)	05/02/13	J		
64. - Prudential Invt Parts, formerly 20/20 Fund (Jennison)	E	Distribution	L	T					
65. Northwestern Mutual Life Insurance (whole life policy)		None	N	T					
66. Unimproved real estate St. Joseph Cty, IN		None	L	W					
67. Baird Trust Acct (H)									
68. - Automatic Data Processing (ADP)	B	Dividend	L	T					

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69. - Target Corp. common	B	Dividend	L	T	Sold (part)	04/16/13	J	D	
70. - Kellogg Company	A	Dividend	K	T					
71. -Blackrock Inc.	B	Dividend	L	T	Buy	09/15/13	J		
72. - Express Scripps Holding		None	L	T	Buy	04/17/13	J		
73. - Factset Research Systems	A	Dividend	L	T					
74. - AT&T Inc.	B		L	T	Buy	10/04/13	L		
75. - Illinois Tool Works common	A	Dividend	L	T					
76. -Intel Corp common	A	Dividend	J	T					
77. - Varian Medical		None	L	T	Buy	04/16/13	J		
78.		None	L	T	Buy	05/06/13	J		
79. - Johnson & Johnson	B	Dividend	K	T					
80. - Procter & Gamble common	C	Dividend	L	T					
81. - Dreyfus Muni Money Market	A	Distribution	M	T					
82. - Fiserv Inc.		None	M	T					
83. - Becton Dickinson & Co.	A	Dividend	K	T					
84. - Ecolab common	A	Dividend	L	T					
85. - Patterson Companies common	B	Dividend	M	T					

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86. - Cognizant Technology		None	K	T	Buy	05/06/13	K		
87.		None	K	T	Buy (add'l)	07/29/13	J		
88. - Fastenal common	B	Dividend	L	T					
89. - Permanent Portfolio Fund	B	Dividend	K	T					
90. - Stericycle Inc.		None	K	T					
91. - Techne Corp.	A	Dividend	K	T	Buy	03/01/13	J		
92. - Powershares Global	A	Dividend			Sold	10/29/13	K		
93. - BP PLC common	C	Dividend	L	T					
94. - Century Link Inc.	C	Dividend			Sold	10/04/13	L		
95. - Valmont Industries	A	Dividend	L	T					
96. - Cisco Systems common	A	Dividend	K	T					
97. - Gilead Sciences common		None	L	T					
98. - Harley Davidson common	B	Dividend	L	T					
99. - Pepsico Inc.	B	Dividend	L	T					
100. - Emerson Electric	B	Dividend	L	T					
101. - EQT Corp.	A	Dividend	L	T					
102. - Microsoft Corp	B	Dividend	L	T					

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103. - McCormick & Co.	A	Dividend	L	T					
104. - Wells Fargo Muni Bond	A	Dividend	L	T	Buy	10/29/13	L		
105. - General Electric	A	Dividend	K	T					
106. - Harris Corp.	B	Dividend	K	T	Sold (part)	04/13/13	J	D	
107. General Electric	B	Dividend	K	T					
108. Pfizer Inc.	A	Dividend	J	T					
109. NYSE Euronext	A	Dividend			Sold	11/13/13	K	D	
110. Peabody Energy Corp	A	Dividend			Sold	12/11/13	J		
111. Corning	A	Dividend	K	T					
112. Limelight Networks		None	J	T					
113. Dupont	B	Dividend	K	T					
114. Frontier Communications	A	Dividend	J	T					
115. JM Smucker	A	Dividend	J	T					
116. Ohio State Higher EDL FAC REV BE/R/ Fund	A	Interest	K	T					
117. UBS custodial accts:Fidelity Adv. dividend growth	A	Int./Div.	J	T					
118. Alliance College Bound 529 Plan 1: CBF Moderate growth (1996-1998)		None	L	T					
119. Alliance College Bound 529 Plan 2: CBF Moderate growth (1990-1992)		None	L	T					

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. American Funds College America Plan		None	M	T	Redeemed (part)	01/15/13	J		w/draw tuition payment
121.					Redeemed (part)	07/12/13	K		/w/draw tuition payment
122.					Redeemed (part)	12/15/13	J		w/draw tuition payment
123.					Redeemed (part)	01/04/13	J		w/draw tuition payment
124.					Redeemed (part)	08/13/13	J		w/draw tuition payment
125. - American Balance Fund 529A									
126. - Growth Fund of America 529A									
127. College Choice Advisor Plan: 2016 Enrollment		None	K	T	Buy	12/11/13	J		
128. Ford Motor Co. [REDACTED]	A	Dividend	K	T	Buy	03/08/13	J		
129. John Deere [REDACTED]	A	Dividend			Buy	03/08/13	J		
130. John Deere [REDACTED]	A	Dividend			Sold	04/13/13	J		
131. US Charitable Gift Fund	C	Distribution	M	T					
132. Notre Dame Federal Credit Union savings [REDACTED]	A	Dividend	K	T					
133. FT Franklin Fed interim mutual fund [REDACTED]	C	Interest	M	T					
134. Energy Transfers Partners [REDACTED]	B	Int./Div.	K	T					
135. Fernandina Beach FL muni bond [REDACTED]	A	Interest			Sold	09/01/13	K		
136. Cape Coral FL muni bond [REDACTED]	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Kane McHenry muni bond	B	Interest	K	T					
138. Indiana St Fin Auth muni bond	A	Interest	J	T					
139. Washoe County Nev muni bond	A	Interest			Sold	09/26/13	L	B	
140. Nuveen high yield municipal bond fund	B	Interest			Sold				
141. John Deere		None			Buy	04/19/13	K		
142.					Sold	04/26/13	K	A	
143. Intercontinental Exchange (ICE)	A	Dividend	K	T	Buy	11/15/13	K		
144. Philip Morris (PM)	A	Dividend	J	T	Buy	03/08/13	J		
145. Raytheon Co. (RTN)	A	Dividend	K	T	Buy	03/08/13	K		
146. GE Cap Fixed Rate CD	A	Int./Div.	L	T	Buy	09/30/13	L		
147. United States Treasury		None	K	T	Buy	09/30/13	K		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting	Date of Report
Manion, Daniel A.	02/04/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 103: Self-directed charitable foundation (value donated to charity reduced corpus in fund)

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Name of Person Reporting

Manion, Daniel A.

Date of Report

02/04/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Daniel A. Manion**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544