

# FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                     |                                                                                                                                                                                                                                        |                                                                  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Manning, Julie A.                                                                                              | <b>2. Court or Organization</b><br><br>U.S. Bankruptcy Court, Connecticut                                                                                                                                                              | <b>3. Date of Report</b><br><br>03/18/2014                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>U.S. Bankruptcy Judge--Full Time                        | <b>5a. Report Type (check appropriate type)</b><br><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input checked="" type="checkbox"/> Initial <input type="checkbox"/> Annual <input type="checkbox"/> Final | <b>6. Reporting Period</b><br><br>01/01/2012<br>to<br>12/31/2013 |
|                                                                                                                                                                                     | <b>5b.</b> <input checked="" type="checkbox"/> Amended Report                                                                                                                                                                          |                                                                  |
| <b>7. Chambers or Office Address</b><br><br>United States Bankruptcy Court, District of Connecticut<br>157 Church Street<br>18th Floor<br>New Haven, CT 06510                       |                                                                                                                                                                                                                                        |                                                                  |
| <b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. |                                                                                                                                                                                                                                        |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

### POSITION

### NAME OF ORGANIZATION/ENTITY

|                                                     |                                                                    |
|-----------------------------------------------------|--------------------------------------------------------------------|
| 1. Partner--January 1, 1999 through August 31, 2013 | Shipman & Goodwin, LLP, One Constitution Plaza, Hartford, CT 06013 |
| 2.                                                  |                                                                    |
| 3.                                                  |                                                                    |
| 4.                                                  |                                                                    |
| 5.                                                  |                                                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

### DATE

### PARTIES AND TERMS

|             |                                                                                      |
|-------------|--------------------------------------------------------------------------------------|
| 1. Pre 2000 | Shipman & Goodwin LLP Profit Sharing Retirement Plan (former law firm) with Vanguard |
| 2.          |                                                                                      |
| 3.          |                                                                                      |

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Manning, Julie A.

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                                                                                       | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------|
| 1. 2011     | Shipman & Goodwin, LLP -- gross income from partnership                                                      | \$406,471.00                           |
| 2. 2012     | Shipman & Goodwin, LLP -- gross income from partnership                                                      | \$422,978.00                           |
| 3. 2013     | Shipman & Goodwin, LLP -- estimated gross income from partnership,<br>remains subject to professional review | \$487,957.76                           |
| 4.          |                                                                                                              |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>        |
|-------------|-------------------------------|
| 1. 2011     | <input type="text"/> --salary |
| 2. 2012     | <input type="text"/> --salary |
| 3. 2013     | <input type="text"/> --salary |
| 4.          |                               |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1. EXEMPT     |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Manning, Julie A.        | 03/18/2014     |

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

|    | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. | EXEMPT        |                    |              |
| 2. |               |                    |              |
| 3. |               |                    |              |
| 4. |               |                    |              |
| 5. |               |                    |              |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

|    | <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|----|-----------------|--------------------|-------------------|
| 1. |                 |                    |                   |
| 2. |                 |                    |                   |
| 3. |                 |                    |                   |
| 4. |                 |                    |                   |
| 5. |                 |                    |                   |

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Name of Person Reporting

Manning, Julie A.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                        | C.<br>Gross value at end<br>of reporting period |                                    | D.<br>Transactions during reporting period |                  |                          |                         |                                                            |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------|-------------------------------------------------|------------------------------------|--------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                    | (1)                                             | (2)                                | (1)                                        | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.,<br>div., rent,<br>or int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.,<br>buy, sell,<br>redemption)   | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                |   |           |   |   |        |  |  |  |  |
|------------------------------------------------|---|-----------|---|---|--------|--|--|--|--|
| 1. Peoples United Bank accounts- [REDACTED]    | A | Interest  | L | T | Exempt |  |  |  |  |
| 2. Peoples United Bank accounts- [REDACTED]    | B | Interest  | O | T |        |  |  |  |  |
| 3. CHET 529 accounts-- [REDACTED]              | A | Int./Div. | L | T |        |  |  |  |  |
| 4. --Principal Plus Interest Option-no control |   |           |   |   |        |  |  |  |  |
| 5. CHET 529 Accounts [REDACTED]                | B | Int./Div. | L | T |        |  |  |  |  |
| 6. --Principal Plus Interest Option-no control |   |           |   |   |        |  |  |  |  |
| 7. Vanguard Account-- [REDACTED]               | E | Int./Div. | O | T |        |  |  |  |  |
| 8. --Vanguard Small-Cap Growth Index Fund      |   |           |   |   |        |  |  |  |  |
| 9. --Retirement Savings Trust                  |   |           |   |   |        |  |  |  |  |
| 10. --Vanguard Selected Value Fund             |   |           |   |   |        |  |  |  |  |
| 11. --Vanguard Target Retirement [REDACTED]    |   |           |   |   |        |  |  |  |  |
| 12. --Vanguard Wellington Fund                 |   |           |   |   |        |  |  |  |  |
| 13. --Vanguard Total Bond Market Index Fund    |   |           |   |   |        |  |  |  |  |
| 14. Vanguard Account [REDACTED]                | E | Int./Div. | O | T |        |  |  |  |  |
| 15. --Vanguard Small-Cap Growth Index Fund     |   |           |   |   |        |  |  |  |  |
| 16. --Retirement Savings Trust                 |   |           |   |   |        |  |  |  |  |
| 17. --Vanguard Selected Value Fund             |   |           |   |   |        |  |  |  |  |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

Manning, Julie A.

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03/18/2014

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                        | C.<br>Gross value at end<br>of reporting period |                                    | D.<br>Transactions during reporting period |                  |                          |                         |                                                            |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------|-------------------------------------------------|------------------------------------|--------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                    | (1)                                             | (2)                                | (1)                                        | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.,<br>div., rent,<br>or int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.,<br>buy, sell,<br>redemption)   | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. --Vanguard Target Retirement                                                                                            |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 19. --Vanguard Wellington Fund                                                                                              |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 20. --Vanguard Total Bond Market Index Fund                                                                                 |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 21. ING Savings Plan                                                                                                        | D                                       | Int./Div.                              | K                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 22. --Pimco Total Return Fund                                                                                               |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 23. --ING Target Index Solution                                                                                             |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 24. --Equity Index Non-Lendable Fund M                                                                                      |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 25. --ING Small Cap Opportunities Prt I                                                                                     |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 26. --ING Real Estate Fund I                                                                                                |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 27. ING Savings Plan                                                                                                        | D                                       | Int./Div.                              | L                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 28. --Pimco Total Return Fund                                                                                               |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 29. --Vanguard Total Bond Market Inverstment Fund                                                                           |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 30. --ING Target Index Solution                                                                                             |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 31. --Equity Index Non-Lendable Fund M                                                                                      |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 32. --SSgA Russ Sm/Md Cap Index Fun                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 33. --ING Small Cap Opportunities Prt I                                                                                     |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 34. --ING Real Estate Fund I                                                                                                |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                        |   |          |   |   |  |  |  |  |  |
|--------------------------------------------------------|---|----------|---|---|--|--|--|--|--|
| 35. --Vanguard International Growth Fund               |   |          |   |   |  |  |  |  |  |
| 36. Fidelity Rollover IRA- [REDACTED]                  | D | Dividend | N | T |  |  |  |  |  |
| 37. --Mutual Funds-Pimco Total Return Investment Fund  |   |          |   |   |  |  |  |  |  |
| 38. --Fidelity Cash Reserves (FDRXX)                   |   |          |   |   |  |  |  |  |  |
| 39. Fidelity Rollover IRA [REDACTED]                   | D | Dividend | N | T |  |  |  |  |  |
| 40. --Stocks-General Electric Company                  |   |          |   |   |  |  |  |  |  |
| 41. --Stocks-Peoples United Bank                       |   |          |   |   |  |  |  |  |  |
| 42. --Stocks-SPDR S&P 500 EFT Trust                    |   |          |   |   |  |  |  |  |  |
| 43. --Stocks-SPDR SER TR S&P Dividend                  |   |          |   |   |  |  |  |  |  |
| 44. --Stocks-United Parcel Service                     |   |          |   |   |  |  |  |  |  |
| 45. --Mutial Funds--Pimco Total Return Investment Fund |   |          |   |   |  |  |  |  |  |
| 46. --Fidelity Cash Reserves (FDRXX)                   |   |          |   |   |  |  |  |  |  |
| 47.                                                    |   |          |   |   |  |  |  |  |  |
| 48.                                                    |   |          |   |   |  |  |  |  |  |
| 49.                                                    |   |          |   |   |  |  |  |  |  |
| 50.                                                    |   |          |   |   |  |  |  |  |  |
| 51.                                                    |   |          |   |   |  |  |  |  |  |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                        | C.<br>Gross value at end<br>of reporting period |                                    | D.<br>Transactions during reporting period |                  |                          |                         |                                                            |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------|-------------------------------------------------|------------------------------------|--------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                    | (1)                                             | (2)                                | (1)                                        | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.,<br>div., rent,<br>or int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.,<br>buy, sell,<br>redemption)   | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52.                                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 53.                                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Julie A. Manning**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544