AO 10
Rev. 1/2014

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Phillips, Gregory A.	United States Court of Appeals for the Tenth Circuit	12/22/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Circuit Court Judge, Active	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	**6. Reporting Period** 01/01/2013 **to** 12/31/2013
7. Chambers or Office Address 2120 Capitol Avenue O'Mahoney Federal Building, Room 2603 Cheyenne, Wyoming 82001		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Wyoming Peace Officers Standards & Training Commissioner	State of Wyoming
2.	Wyoming Attorney General	State of Wyoming
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Phillips, Gregory A.	12/22/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2013	State of Wyoming--Salary	$65,742.62
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2013	Laramie County School District #1--Salary
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Exempt				
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Phillips, Gregory A.	12/22/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Phillips, Gregory A.	12/22/2014

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Columbia Marsico Int'l Oppty MAIOX		None	J	T					
2. Federaled Kaufman Lg Cap KLCAX	A	Dividend	J	T					
3. Goldman Sachs Sm Mid Cap Growth GSMAX	A	Dividend	J	T					
4. JP Morgan US Lg Cap JLCAX	A	Dividend	J	T					
5. Lord Abbett Fundamental Eq LDFVX		None	J	T					
6. Lord Abbett Dev Gro LAGWX		None	J	T					
7. Nuveen Tradewinds Intl Value NAIGX	A	Dividend	J	T					
8. Virtus Real Estate Securities PHRAX	A	Dividend	J	T					
9. JP Morgan Core Bond PGBOX	A	Dividend	J	T					
10. Franklin/ Templeton Global Bond TPINX	A	Dividend	J	T					
11. Federated Prudent Bear BEARX		None	J	T					
12. Rydex/SGI Guggenheim L/S Commodities RYLBX		None	J	T					
13. Rydex/SGI Guggenheim Managed Futures RYMTX		None	J	T					
14. Oppenheimer Steelpath MLP Income MLPDX	A	Dividend	J	T					
15. Franklin Income FKINX	A	Dividend	J	T					
16. Columbia Marsico Int'l Oppty MAIOX		None	J	T					
17. Federaled Kaufman Lg Cap KLCAX	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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18. Goldman Sachs Sm Mid Cap Growth GSMAX	A	Dividend	J	T					
19. JP Morgan US Lg Cap JLCAX	B	Dividend	K	T					
20. Lord Abbett Fundamental Eq LDFVX		None	J	T					
21. Lord Abbett Dev Gro LAGWX		None	J	T					
22. Nuveen Tradewinds Intl Value NAIGX	A	Dividend	J	T					
23. Virtus Real Estate Securities PHRAX	A	Dividend	J	T					
24. JP Morgan Core Bond PGBOX	A	Dividend	J	T					
25. Franklin/ Templeton Global Bond TPINX	A	Dividend	J	T					
26. Federated Prudent Bear BEARX		None	J	T					
27. Rydex/SGI L/S Guggenheim Commodities RYLBX		None	J	T					
28. Rydex/SGI Guggenheim Managed Futures RYMTX		None	J	T					
29. Oppenheimer Steelpath MLP Income MLPDX	A	Dividend	J	T					
30. Franklin Income FKINX	A	Dividend	J	T					
31. Dreyfus Research Growth FD, Cl 3 (X)	A	Dividend	J	T	Sold (part)	06/11/13	J	A	
32. First Eagle Global Fd, Cl A	A	Dividend	J	T	Sold (part)	12/18/13	J	A	
33. Ivy Asset Strategy Fd, Cl A	A	Dividend	J	T	Sold (part)	01/24/13	J	A	
34. Blackrock Global Allocation Fd, Inc A	A	Dividend	J	T					

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J =$15,000 or less
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M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
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35. Franklin 529 Stable Value, Cl C		None	M	T					
36. Lifepath Index 2030	D	Int./Div.	L	T					
37. IRA #1									
38. 403(b) #1									
39. Brokerage Account #1									
40. Brokerage Account #2 (X)									
41. Wyoming Pension	B	Interest	K	T					
42. Bank of the West Cash Accounts	A	Int./Div.	M	T					
43. Mineral Royalty		None	J	W					
44.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Phillips, Gregory A.	12/22/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gregory A. Phillips

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544