

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2013**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) SQUATRITO, DOMINIC J.	2. Court or Organization US DISTRICT COURT HARTFORD, CT	3. Date of Report 04/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTR. JUDGE-SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2013 to 12/31/2013
	5b. ☐ Amended Report	
7. Chambers or Office Address US DISTRICT COURT 450 MAIN STREET HARTFORD, CT 06103		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	- wages and pass through
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. People's United Bank	Mortgage and equipment notes, and revolving credit for [REDACTED]	P2
2. Connecticut Innovations Inc.	Mortgage and equipment notes for [REDACTED]	P1
3. CT Dept of Economic and Community Development	Equipment and leasehold improvement note for [REDACTED]	P1
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Fidelity Contra Fund - mutual fund	A	Dividend	J	T					
2. Bank of America - checking account	A	Interest	J	T					
3. Lincoln Financial - checking	B	Interest	J	T					
4. Wilmington Trust	A	Interest	M	T	Buy	12/11/13	M		
5. ██████████ - common stock	A	Int./Div.	P1	U					
6. ██████████ South Windsor, CT	G	Rent	P2	W					
7. John Hancock Lifestyle Conservative Fund (401k)		None	M	T					
8. Land, Windham, CT		None	K	W					
9. Cossombrato, Italy - Real Estate		None	M	W					
10. Vacation Property, South Kingston, RI		None	O	W					
11. Vacation Property, South Kingston, RI		None	O	W					
12. Coventry, CT Real Estate		None	J	W					
13. Abbott Labs - common stock	A	Dividend	K	T					
14. Abbvie Inc - common stock	A	Dividend	K	T	Spinoff (from line 13)	01/02/13	J		
15. Apple Inc - common stock	A	Dividend	K	T					
16. Chevron corp - common stock	B	Dividend	K	T					
17. Coca Cola Co - common stock	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Cummins Inc - common stock	A	Dividend	J	T					
19. Danaher Corp - common stock	A	Dividend	K	T					
20. Walt Disney Co - common stock	A	Dividend	K	T					
21. Ecolab Inc - common stock	A	Dividend	K	T					
22. Emc Corp Mass - common stock	A	Dividend	K	T					
23. Exxon Mobil Corp - common stock	A	Dividend	J	T					
24. Honeywell Intl Inc - common stock	A	Dividend	K	T					
25. Int'l Business Machines Corp - common stock	A	Dividend	K	T					
26. Illinois Tool Wks Inc - common stock	A	Dividend	K	T					
27. Itc Holdings Corp - common stock	A	Dividend	K	T					
28. Kimberly Clark Corp - common stock	A	Dividend	J	T					
29. Nextera Energy Inc - common stock	A	Dividend	K	T					
30. Omnicom Group Inc - common stock	A	Dividend	J	T					
31. Panera Bread Co - common stock		None	L	T	Buy	02/06/13	L		
32. Pepsico Inc - common stock	A	Dividend	K	T					
33. Pfizer Inc - common stock	A	Dividend	J	T					
34. Praxair Inc - common stock	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. Procter & Gamble - common stock	A	Dividend	K	T					
36. Seadrill Limited - common stock	C	Dividend	K	T					
37. Southern Co - common stock	B	Dividend	K	T					
38. Sysco Corp - common stock	A	Dividend	J	T					
39. Target Corp - common stock	A	Dividend	K	T					
40. Union Pacific Corp - common stock	A	Dividend	K	T					
41. United Technologies Corp - common stock	A	Dividend	K	T					
42. Wells Fargo & Co - common stock	A	Dividend	K	T					
43. The Williams Co Inc - common stock	A	Dividend	K	T					
44. WPX Energy Inc - common stock		None	J	T					
45. Federated Ultra Short FD	B	Dividend	M	T	Sold (part)	06/07/13	K	A	
46. Griswold CT 3.125%	A	Int./Div.	K	T					
47. Litchfield CT 2.5%	B	Int./Div.	L	T					
48. Newington CT 4.3%	B	Int./Div.	K	T					
49. Newtown CT 2.5%	A	Int./Div.	K	T					
50. Gulf Breeze FL 4.5%	B	Int./Div.	K	T					
51. Madison CT 3.0%	A	Int./Div.	K	T					

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
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(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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52. South Cent CT WTR 3.5%	B	Int./Div.	L	T					
53. Deutsche CMO - bond	A	Int./Div.	J	T					
54. Connecticut ST 2.75%	A	Int./Div.	K	T					
55. Fidelity CT Municipal Income Fund	C	Int./Div.	L	T	Sold (part)	06/14/13	L	A	
56. Hines Global Reit Inc	A	Dividend	L	T	Buy	10/31/13	L		
57. Federated Gov Obl Tx	A	Dividend	K	T					
58. Portland CT 3.5%	A	Int./Div.			Redeemed	02/01/13	K		
59. Derby CT 4.250%	B	Int./Div.			Redeemed	09/16/13	K		
60. Hartford CT 4.250%	B	Int./Div.			Redeemed	08/15/13	K		
61. Norwalk CT 4.000%	B	Int./Div.			Redeemed	07/01/13	K		
62. Federated Govt Ultra DUR IS - mutual fund	A	Dividend			Sold	06/07/13	K		
63. Vanguard High Yield Corporate Fund	B	Dividend			Sold	06/07/13	K	C	
64. Fidelity Adv Emerg Market		None			Sold	06/14/13	K		
65.									
66.									
67.									
68.									

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SQUATRITO, DOMINIC J.	04/14/2014

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69.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DOMINIC J. SQUATRITO**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544