AO 10
Rev. 1/2014

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Thacker, Stephanie D.	U.S. Court of Appeals for the Fourth Circuit	7/22/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active Circuit Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2013 **to** 12/31/2013
7. Chambers or Office Address		
Robert C. Byrd United States Courthouse 300 Virginia Street, East Room 7404 Charleston, West Virginia 25301		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2013	self-employed attorney
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	West Virginia University	February 13, 2013	Morgantown, West Virginia	Lugar Competition	Meal expenses
2.	William & Mary School of Law	February 15 & 16, 2013	Williamsburg, Virginia	William B. Spong Invitational Moot Court Competition	Hotel and meal expenses
3.	University of Richmond	March 7, 2013	Richmond, Virginia	Barnett Moot Court Competition	Hotel and meal expenses
4.	West Virginia University	March 16, 2013	Morgantown, West Virginia	WVU National Energy & Sustainability Moot Court	Meal expenses

5.	University of the Pacific, McGeorge School of Law	April 2, 2013	Sacramento, CA	Pacific McGeorge Global Lawyering Skills II Final Four Oral Advoacy	Flights, Rental car, cabs, parking at airport
6.	University of Virginia School of Law	April 5, 2013	Charlottesville, Virginia	William Minor Lile Moot Court Competition	Meal expenses, mileage and tolls
7.	West Virginia University	April 17, 2013	Morgantown, West Virginia	2013 Ihlenfeld Lecture	Mileage
8.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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Thacker, Stephanie D.	7/22/2014

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. BB&T Account	A	Interest	L	T					
2. Oppenheimer Developing Markets A	A	Dividend			Sold	03/15/13	K	B	
3. Thornburg International Value R4	A	Dividend			Sold	03/15/13	K	B	
4. Lord Abbett Developing Growth A	A	Dividend			Sold	03/15/13	J	A	
5. Royce Total Return K	A	Dividend			Sold	03/15/13	J	A	
6. Perkins Midcap Value S	A	Dividend			Sold	03/15/13	J	A	
7. Prudential Jennison Mid Cap Growth A	A	Dividend			Sold	03/15/13	J	A	
8. Blackrock Equity Dividend A	A	Dividend			Sold	03/15/13	K	C	
9. American Century Growth A	A	Dividend			Sold	03/15/13	K	C	
10. BNY Mellon DL LG Cap Stock Ind A		None			Sold	03/15/13	K	B	
11. Pimco Total Return A	A	Dividend			Sold	03/15/13	K	A	
12. Pimco Real Return A	A	Dividend			Sold	03/15/13	K	A	
13. Templeton Global Bond A	A	Dividend			Sold	03/15/13	K	A	
14. Blackrock Multi-Asset Income	C	Dividend	L	T	Buy	04/17/13	L		
15. Janus Balanced Fund	C	Dividend	L	T	Buy	04/17/13	L		
16. Manning & Napier Pro Blend Conservative	D	Dividend	L	T	Buy	04/17/13	L		
17. Permanent Portfolio Fund	D	Dividend	L	T	Buy	04/17/13	L		

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
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18. BB&T Accounts	A	Interest	M	T					
19. Fidelity Contrafund	A	Dividend			Sold	04/17/13	K	D	
20. Fidelity Freedom 2025	A	Dividend			Sold	04/10/13	K	B	
21. Fidelity Freedom 2035	A	Dividend			Sold	04/10/13	L	B	
22. Fidelity Cash Reserves (X)	A	Dividend			Sold	04/10/13	J	A	
23. Accenture Common		None			Buy	04/17/13	J		
24. Accenture Common		None			Sold	05/09/13	J	A	
25. ACE Limited Common		None			Buy	04/10/13	J		
26. ACE Limited Common		None			Sold	05/09/13	J	A	
27. American Express Common		None			Buy	04/10/13	J		
28. American Express Common		None			Sold	05/09/13	J	A	
29. America Movil SAB DE CVADR		None			Buy	04/10/13	J		
30. America Movil SAB DE CVADR		None			Sold	05/09/13	J	A	
31. American New World Fund	A	Dividend	L	T	Buy	04/10/13	K		
32. American Water Works Common		None			Buy	04/10/13	J		
33. American Water Works Common		None			Sold	05/09/13	J	A	
34. Blackrock Equity Dividend Fund	A	Dividend	K	T	Buy	05/22/13	K		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Blackrock Strategic Income Fund	C	Dividend	M	T	Buy	04/10/13	L		
36. Caterpillar Common		None			Buy	04/10/13	J		
37. Caterpillar Common		None			Sold	05/09/13	J	A	
38. Citigroup Common		None			Buy	04/10/13	J		
39. Citigroup Common		None			Sold	05/09/13	J	A	
40. Clearbridge Small Cap Growth Fund	A	Dividend			Buy	05/22/13	K		
41. Clearbridge Small Cap Growth Fund		None			Sold	12/31/13	K	C	
42. Columbia Select Large Cap	A	Dividend	K	T	Buy	08/28/13	K		
43. Comcast New Common		None			Buy	04/10/13	J		
44. Comcast New Common		None			Sold	05/09/13	J	A	
45. Costco Wholesale Common		None			Buy	04/10/13	J		
46. Costco Wholesale Common		None			Sold	05/09/13	J	A	
47. Covidien Common		None			Buy	04/10/13	J		
48. Covidien Common		None			Sold	05/09/13	J	A	
49. CST Brands Common		None			Buy	04/10/13	J		
50. CST Brands Common		None			Sold	05/09/13	J	A	
51. CVS Caremark Common		None			Buy	04/10/13	J		

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52. CVS Caremark Common		None			Sold	05/09/13	J	A	
53. Diageo Common		None			Buy	04/10/13	J		
54. Diageo Common		None			Sold	05/22/13	J	A	
55. Dreyfus Appreciation Fund	A	Dividend			Buy	05/22/13	K		
56. Dreyfus Appreciation Fund		None			Sold	12/31/13	K	C	
57. FedEx Corp Common		None			Buy	04/10/13	J		
58. FedEx Corp Common		None			Sold	05/09/13	J	A	
59. Home Depot Common		None			Buy	04/10/13	J		
60. Home Depot Common		None			Sold	05/09/13	J	A	
61. Honeywell International Common		None			Buy	04/10/13	J		
62. Honeywell International Common		None			Sold	05/09/13	J	A	
63. IBM Common		None			Buy	04/10/13	J		
64. IBM Common		None			Sold	05/09/13	J	A	
65. Intuit Inc Common		None			Buy	04/10/13	J		
66. Intuit Inc Common		None			Sold	05/09/13	J	A	
67. iShares Russell 1000 Growth ETF	A	Dividend	J	T	Buy	05/22/13	J		
68. iShares Russell 1000 Value ETF	A	Dividend	J	T	Buy	05/22/13	J		

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69. John Hancock Classic Value Fund	A	Dividend	K	T	Buy	05/22/13	K		
70. Marathon Oil Common		None			Buy	04/10/13	J		
71. Marathon Oil Common		None			Sold	05/09/13	J	A	
72. McDonalds Corp Common		None			Buy	04/10/13	J		
73. McDonalds Corp Common		None			Sold	05/09/13	J	A	
74. McKesson Corp Common		None			Buy	04/10/13	J		
75. McKesson Corp Common		None			Sold	05/09/13	J	A	
76. MFS Value Fund	A	Dividend	K	T	Buy	05/22/13	K		
77. Microsoft Corp Common		None			Buy	04/10/13	J		
78. Microsoft Corp Common		None			Sold	05/09/13	J	A	
79. Monsanto Co Common		None			Buy	04/10/13	J		
80. Monsanto Co Common		None			Sold	05/09/13	J	A	
81. Nike Common		None			Buy	04/10/13	J		
82. Nike Common		None			Sold	05/09/13	J	A	
83. Oracle Common		None			Buy	04/10/13	J		
84. Oracle Common		None			Sold	05/09/13	J	A	
85. Pioneer Strategic Income Fund	C	Dividend	M	T	Buy	04/10/13	L		

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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86. Prudential Jennison Value Fund	A	Dividend			Buy	05/22/13	K		
87. Prudential Jennison Value Fund		None			Sold	11/27/13	K	C	
88. Schlumberger LTD Common		None			Buy	04/10/13	J		
89. Schlumberger LTD Common		None			Sold	05/09/13	J	A	
90. T. Rowe Price Common		None			Buy	04/10/13	J		
91. T. Rowe Price Common		None			Sold	05/09/13	J	A	
92. TCW Select Equities Fund	A	Dividend	K	T	Buy	05/22/13	K		
93. Thermo Fisher Scientific Common		None			Buy	04/10/13	J		
94. Thermo Fisher Scientific Common		None			Sold	05/09/13	J	A	
95. United Technologies Common		None			Buy	04/10/13	J		
96. United Technologies Common		None			Sold	05/09/13	J	A	
97. Valero Energy Common		None			Buy	04/10/13	J		
98. Valero Energy Common		None			Sold	05/09/13	J	A	
99. Victory Large Cap Growth Fund	A	Dividend			Buy	05/22/13	K		
100. Victory Large Cap Growth Fund		None			Sold	11/27/13	K	C	
101. Visa Inc Common		None			Buy	04/10/13	J		
102. Visa Inc Common		None			Sold	05/09/13	J	A	

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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103. Wells Fargo Common		None			Buy	04/10/13	J		
104. Wells Fargo Common		None			Sold	05/09/13	J	A	
105. Wisconsin Energy		None			Buy	04/10/13	J		
106. Wisconsin Energy		None			Sold	05/09/13	J	A	
107. Wells Fargo Advantage Small Cap Value Fund	A	Dividend	K	T	Buy	05/22/13	K		
108. Blackrock Global Allocation Fund	A	Dividend	J	T	Buy	04/17/13	K		
109. Pioneer Multi-Asset Real Return Fund	A	Dividend	J	T	Buy	04/17/13	K		
110. American Century Moderate A (Y)									
111. American Century Aggressive A (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Thacker, Stephanie D.	7/22/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stephanie D. Thacker

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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