

FINANCIAL DISCLOSURE REPORT
FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Block, Robert N.	2. Court or Organization C.D. of California	3. Date of Report 08/05/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge (full-time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final	6. Reporting Period 01/01/2015 to 07/27/2015
	5b. ☐ Amended Report	
7. Chambers or Office Address Ronald Reagan Fed. Bldg & US Courthouse 411 W. 4th St Santa Ana, California 92701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. General Partner (passive)	MSK Realty Co. (interest=approx. 1%)
2. Trustee	Trust #1
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Self-employed doctor
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MS&K Realty Co. investment (Los Angeles, California)		None	J	W					
2. Wells Fargo Bank accounts	A	Interest	M	T					
3. Prudential Financial Inc. common stock		None	L	T					
4. Citibank accounts	A	Interest	L	T					
5. BofA Beyond Banking accounts	A	Interest	K	T					
6. Capital One Bank accounts	A	Interest	J	T					
7. Chase Bank accounts	A	Interest	M	T	Open	05/18/15	M		
8. Note receivable--Bella Mar RV Park LLC		None	L	W					
9. Note Receivable--Phair Real Estate Acquisition Fund		None	K	W					
10. RETIREMENT PLAN TRUST									
11. ---BofA Cash/Money Mkt acct	A	Dividend	J	T					
12. ---Loomis Sayles Strategic Inc Fd	A	Dividend			Sold	05/01/15	K	C	
13. ---Kinder Morgan Management LLC	B	Dividend	K	T	Sold (part)	02/05/15	J	B	
14. ---Doubleline Total Return Fd	B	Dividend	L	T	Buy (add'l)	05/01/15	J		
15. ---Blackrock Global Allocation Fd	B	Dividend	M	T	Buy (add'l)	05/01/15	K		
16. ---FPA Crescent Fd	A	Dividend	M	T	Sold (part)	05/01/15	K	A	
17. ---PIMCO Income Fd	C	Dividend	M	T	Buy (add'l)	05/01/15	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. ---PIMCO All Asset Fd	B	Dividend	L	T	Sold (part)	05/01/15	L		
19. ---Highland Global Allocation Fd	C	Dividend	M	T	Buy (add'l)	05/01/15	K		
20. ---Eaton Vance All Asset Strategy Fd		None	L	T	Buy	05/01/15	L		
21. ---Principal Diversified Real Asset Fd		None	K	T	Buy	05/01/15	K		
22. BROKERAGE ACCOUNT #1									
23. ---BofA Cash/Money Mkt accts	A	Interest	K	T					
24. ---San Jose CA Rdv Agy Tax Aug 1, 15	A	Interest	K	T					
25. ---California St FSA-CR Mar 1, 17	B	Interest			Redeemed (part)	04/17/15	K		
26.					Redeemed	05/29/15	J		
27. ---San Fran Ca Cy-Co Pub Wtr Rev Ser A Nov 1, 18	B	Interest	L	T					
28. ---California St Var Purp 2016	A	Interest	K	T					
29. ---California St Var Purp 2019	A	Interest	K	T					
30. ---Riverside CA Elec Rev	A	Interest	K	T					
31. ---California St Var Purp 2021	A	Interest	K	T					
32. ---CD Discover Bank of Greenwood	A	Interest			Redeemed	01/30/15	L		
33. ---CD Bank of Baroda New York		None	M	T	Buy	05/15/15	M		
34. BROKERAGE ACCOUNT #2									

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. ---BofA Cash/Money Mkt accts	A	Interest	J	T					
36. ---Energy Transfer Partners LP	B	Dividend	L	T					
37. ---Kinder Morgan Management LLC	C	Dividend	L	T					
38. ---Magellan Midstream Partners LP	A	Dividend	K	T					
39. ---Plains All Amern PIPL LP	B	Dividend	K	T					
40. ---William Partners LP	A	Dividend			Sold	05/05/15	K		
41. ---Buckeye Partners LP	B	Dividend	K	T					
42. ---Apple Inc.	A	Dividend	L	T					
43. ---Atlas Pipeline Partners LP	A	Dividend			Merged (with line 44)	03/03/15	K		
44. ---Targus Resources Partners LP	A	Dividend			Sold	05/05/15	K		
45. ---Capital Senior Living		None			Sold	05/05/15	K	B	
46. ---CD Discover Bank of Greenwood	A	Interest			Redeemed	01/30/15	K		
47. ---SPDR Blackstone/GSO Senior Loan ETF	A	Dividend	L	T	Buy	05/05/15	L		
48. ---SPDR Regional Bank ETF	A	Dividend	L	T	Buy	05/05/15	K		
49. ---CD Bank of India New York		None	K	T	Buy	05/07/15	K		
50. BROKERAGE ACCOUNT #4									
51. ---BofA Cash/Money Mkt accts	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. ---Blackrock Global Alloc Fd	A	Dividend	K	T					
53. ---FPA Crescent Fd	A	Dividend	K	T	Sold (part)	05/01/15	J	A	
54. ---PIMCO Income Fd	A	Dividend	K	T					
55. ---PIMCO All Asset Fd	A	Dividend	K	T	Sold (part)	05/01/15	K		
56. ---Highland Global Allocation Fd	A	Dividend	K	T					
57. ---Eaton Vance All Asset Strategy Fd		None	K	T	Buy	05/01/15	K		
58. ---Principal Diversified Real Asset Fd		None	J	T	Buy	05/01/15	J		
59. BROKERAGE ACCOUNT #5									
60. ---BofA Cash/Money Mkt accts	A	Interest	J	T					
61. ---Blackrock Global Alloc Fd	A	Dividend	L	T					
62. ---FPA Crescent Fd	A	Dividend	K	T	Sold (part)	05/01/15	K	A	
63. ---PIMCO Income Fd	B	Dividend	L	T					
64. ---PIMCO All Asset Fd	A	Dividend	K	T	Sold (part)	05/01/15	K		
65. ---Highland Global Allocation Fd	A	Dividend	L	T					
66. ---Eaton Vance All Asset Strategy Fd		None	K	T	Buy	05/01/15	K		
67. ---Principal Diversified Real Asset Fd		None	K	T	Buy	05/01/15	K		
68. IRA ACCOUNT #1									

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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69. ---FIA Card Services RASP acct	A	Interest	J	T					
70. ---Powershares ETF Trust	A	Dividend	K	T					
71. IRA ACCOUNT #2									
72. ---FIA Card Services RASP acct	A	Interest	J	T					
73. ---Western Asset Middle Mkt Inc Fd	B	Dividend	K	T					
74. IRA ACCOUNT #3									
75. ---FIA Card Services RASP acct	A	Interest	J	T					
76. ---Blackrock Global Allocation Fd	A	Dividend	K	T					
77. ---FPA Crescent Fd	A	Dividend	K	T	Sold (part)	05/01/15	K	A	
78. ---PIMCO Income Fd	A	Dividend	K	T					
79. ---PIMCOAll Asset Fd	A	Dividend	K	T	Sold (part)	05/01/15	K		
80. ---Highland Global Allocation Fd	A	Dividend	K	T					
81. ---Eaton Vance All Asset Strategy Fd		None	K	T	Buy	05/01/15	K		
82. ---Principal Diversified Real Asset Fd		None	J	T	Buy	05/01/15	J		
83. BROKERAGE ACCT #6									
84. ---BofA cash/money market acct	A	Interest	K	T					
85. ---University CA Rev 2015	A	Interest			Redeemed	05/15/15	K		

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. ---California St Var Purp 2016	A	Interest	K	T					
87. ---California St Dept Water 2017	A	Interest	K	T					
88. ---CD Discover Bank of Greenwood	A	Interest			Redeemed	01/30/15	L		
89. ---CD Bank of Baroda New York		None	M	T	Buy	05/12/15	M		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. All of the listed investments except for Item No. 6 are held in the living trust my wife and I have established. (See also Part I, Item No. 2).

Part VII. Except as indicated, there were no gains realized on any of the sales or redemptions reported. To avoid confusion when comparing this final report to my 2014 annual report, I kept the account titles (e.g., Brokerage Acct #1, etc.) the same as in the annual report. This again meant skipping from Brokerage Acct #2 to Brokerage Acct #4, because no assets were held during the year in Brokerage Acct #3.

Part VII, Item No. 1. As I have previously reported, the building in which MS&K Realty held an ownership interest was sold in 2005; and a portion of the sales proceeds was held back as a preferred equity interest, and remained to be paid by the buyer and distributed to the MS&K Realty partners. My current best estimate of my share of the remaining future distributions is reported in Column C. Because my investment has not been entirely liquidated, I have continued to list my partnership position in Part I (see Item No. 1).

Part VII, Item Nos. 8 and 9. These entries represent private commercial real estate investments.

Part VII, Item Nos. 11, 23, 35, 51, 60, 69, 72, 75, and 84. These entries represent cash accounts and money market funds that function essentially as bank accounts for particular brokerage accounts. They sometimes are referred to as "core" accounts. Consistent with my previous reports, I have not reported as separate transactions each deposit of interest or dividends into these "core" accounts, or each time proceeds from the sale or redemption of another investment was deposited into these "core" accounts, or each time funds were withdrawn from a "core" account to purchase another investment.

Part. VII, Item Nos. 43 and 44. After Atlas Pipeline Partners LP was acquired by Targus Resources Partners LP, my investment in the former was exchanged on 03/03/15 for an investment in the latter. The sale of that investment on 05/05/15 is reflected in Column D.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert N. Block**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544