

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CABAN, MILDRED	2. Court or Organization BANKRUPTCY COURT-PUERTO RICO	3. Date of Report 07/09/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. POST OFFICE AND COURTHOUSE BUILDING 300 RECINTO SUR, SUITE 109 SAN JUAN, PR 00901		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2. AMERICAN BANKRUPTCY INSTITUTE	07/12-15/2012	Bretton Woods, NH	LEGAL SEMINAR (SPEAKER)	Transportation, meals and lodging
3. NATIONAL CONFERENCE OF BANKRUPTCY JUDGES	10/24-27/2012	San Diego, CA	ANNUAL CONFERENCE	Hotel
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Banco Popular de Puerto Rico accounts	B	Interest	L	T					
2. Goldman Antonetti & Cordova, P.S.C., Profit Sharing Plan		None			Closed	08/31/12	L		
3. AIG American General Universal Life Policy		None	J	T					
4. Goldman Antonetti & Cordova, PSC Employees Retire & Savings		None			Closed	03/12/12	M		
5. Oriental Group DGI (Unit Investment Trust, no control)		None	M	T	Buy (add'l)	08/31/12	K		
6.	D	Int./Div.			Sold (part)	11/28/12	K		
7. Oriental Group IRA FACIL (Unit Inv. Trust, no control)(X)		None			Open	3/16/12	K		
8.	A	Int./Div.			Closed	11/26/12	J		
9. Oriental Group IRA CD (Unit Investment Truste, no control)(X)	A	Interest	K	T	Open	3/14/12	K		
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) PART II, GOLDMAN ANTONETTI & CORDOVA, P.S.C. PROFIT SHARING AGREEMENT WITH FORMER LAW FIRM WAS TERMINATED ON 8/31/2012 (Y).
- 2) PART VII, COLUMN A, LINE 2, PAGE 4 - NO CONTROL.
- 3) PART VII, COLUMN D, LINE 2, PAGE 4 - CLOSED ON 8/31/2012 AND ALL FUNDS WERE TRANSFERRED TO ORIENTAL DGI (LINE 5) (ROLL OVER).
- 4) PART VII, COLUMN A, LINE 4, PAGE 4-I DID NOT CONTROL THE SELECTION OF ASSETS OR CATEGORY OF RISK. THEREFORE, I PROVIDED THE SPECIFIC NAME OF THE FUND AND DID NOT LIST THE UNDERLYING ASSETS.
- 5) PART VII, COLUMN D, LINE 4, PAGE 4- CLOSED ON 3/12/2012 AND ALL FUNDS WERE TRANSFERRED TO ORIENTAL DGI (LINE 5), ORIENTAL IRA FACIL (LINE 6) AND ORIENTAL IRA CD (LINE 7) (ROLL OVER).
- 6) PART VII, COLUMN A, LINE 5, PAGE 4 - ACCORDING TO THE CHIEF COMPLIANT OFFICER OF ORIENTAL, THE IRA IS A COMMINGLED UNIT INVESTMENT TRUST OR A COMMINGLED TRUST, WHICH I HAVE NO CONTROL OF THE INVESTMENTS AND THE RISK CATEGORY.
- 7) PART VII, COLUMN D, LINE 5, PAGE 4-ROLL OVER FROM LINES 2 (8/31/2012) AND 4 (3/16/2012).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ MILDRED CABAN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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