

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MORROW, MARGARET M.	2. Court or Organization United States District Court, CACD	3. Date of Report 11/03/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address United States District Court 255 E. Temple Street, Ste. 770 Los Angeles, California 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Bryn Mawr College - Board of Trustees - (See Note in Part VIII)
2. Trustee	Brokerage Account #2 (Trust #1) (Part VIII, Page 17, Line 236)
3. Trustee	Administrative Account (Trust #2) (Part VIII, Page 38, Line 582)
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2014	Defined Benefit Pension Payment from State of California	\$138,470.64
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Bryn Mawr College	February 6-8	Bryn Mawr, PA	Board of Trustees Meeting	Meals
2. Bryn Mawr College	April 24-26	Bryn Mawr, PA	Board of Trustees Meeting	Meals
3. Bryn Mawr College	June 26-28	Wilmington, DE	Board of Trustees Meeting	Meals, Lodging
4. Bryn Mawr College	September 18-20	Bryn Mawr, PA	Board of Trustees Meeting	Meals
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Invesco Van Kampen Capital Growth Fund-A - IRA		None	L	T					
2. Vanguard Windsor II Fund - IRA	A	Dividend	J	T					
3. BROKERAGE ACCOUNT #1 - INDIVIDUAL RETIREMENT ACCOUNT	F	Int./Div.	P1	T					
4. - Cash equivalent - Morgan Stanley									
5. - Amgen Inc. - common stock					Sold (part)	11/03/14	J	A	
6.					Sold	11/04/14	J	A	
7. - Abbvie Inc.Com - common stock					Sold (part)	01/06/14	J	A	
8.					Sold	07/09/14	J	A	
9. - Caterpillar Inc. - common stock					Sold	08/06/14	J	A	
10. - General Electric Company - common stock									
11. - Microsoft Corporation - common stock									
12. - Royal Dutch Shell PLC ADR - common stock									
13. - AT&T Inc. - common stock									
14. - Schlumberger Ltd. - common stock									
15. - Comcast Corp CL A Special New - common stock					Sold (part)	07/09/14	J	A	
16. - Eaton Corp. - common stock									
17. - Procter & Gamble - common stock					Buy (add'l)	07/09/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Monsanto Co. - common stock									
19. - Kraft Foods Inc. - common stock									
20. - Vodafone Group PLC ADR - common stock									
21. - CVS Caremark Corp. - common stock					Sold (part)	05/19/14	J	A	
22. - Google Inc. - common stock									
23. - Coca-Cola Co. - common stock									
24. - Texas Instruments Inc. - common stock									
25. - Amazon.com Inc. - common stock									
26. - Check Point Software Tech Ltd. - common stock					Sold	10/01/14	J	A	
27. - Charles Schwab Corp. - common stock									
28. - Chevron Corp. - common stock									
29. - Freeport McMoran Copper & Gold - common stock									
30. - Juniper Networks Inc. - common stock					Sold	10/23/14	J	A	
31. - Weatherford Int'l. Ltd. - common stock									
32. - Broadcom Corp - common stock					Sold (part)	06/03/14	J	A	
33. - Akamai Technologies Inc. - common stock									
34. - American Electric Power Co. - common stock									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Anadarko Petroleum Corp. - common stock									
36. - Autodesk Inc. - common stock									
37. - Bed Bath & Beyond Inc. - common stock					Sold	03/21/14	J	A	
38. - Biogen Idec Inc. - common stock					Sold (part)	02/03/14	J	C	
39. - Blackrock Inc. - common stock									
40. - Cablevision Systems Corp. - common stock									
41. - Celgene Corp. - common stock									
42. - Covidien PLC New -- common stock									
43. - Cree Research Inc. - common stock									
44. - Ebay Inc. - common stock									
45. - Express Scripts Inc. - common stock					Sold	01/27/14	J	A	
46. - Facebook Inc. CL-A - common stock									
47. - Fluor Corp. - common stock									
48. - Forest Laboratories Inc. - common stock					Sold	07/01/14	J	B	
49. - Actavis - common stock					Spinoff (from line 48)	07/01/14	J		
50. - General Mills Inc. - common stock					Buy	11/03/14	J		
51. - HCP Incorporated - common stock									

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52. - Health Care REIT Inc. - common stock									
53. - Home Depot Inc. - common stock					Sold (part)	08/08/14	J	A	
54. - Johnson & Johnson - common stock					Sold (part)	10/09/14	J	A	
55.					Sold (part)	11/03/14	J	A	
56. - Red Hat - common stock									
57. - L-3 Communications Holdings Inc. - common stock									
58. - The Directv Group Class A - common stock									
59. - Liberty Media Hldg. Capital Series A - common stock									
60. - Liberty Media Hldg SR A Inter - common stock									
61. - Liberty Broadband Corp C RTS N/A - common stock					Buy	12/11/14	J		
62. - Nasdaq OMX Group Inc. - common stock									
63. - National Oilwell Varco Inc. - common stock									
64. - Now Inc. - common stock					Spinoff (from line 63)	05/30/14	J		
65. - Pall Corporation - common stock									
66. - Pepsico Inc. - common stock					Sold (part)	04/23/14	J	A	
67. - Qualcomm Inc. - common stock									
68. - Seagate Technology Holdings - common stock									

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69. - Realty Income Corp. -									
70. - Riverbed Tech Inc. - common stock					Sold	03/19/14	J	A	
71. - Senior Hsg PPTY TR - common stock									
72. - SPDR Gold TR Gold SHS - common stock									See Note in Part VIII
73. - TE Connectivity Ltd. New - common stock									
74. - Target Corporation - common stock					Buy	01/22/14	J		
75. - Tyco International Ltd. - common stock									
76. - Unitedhealth Group Inc. - common stock					Sold (part)	10/09/14	J	A	
77. - Vertex Pharmaceuticals - common stock									
78. - Xilinx Inc. -- common stock									
79. - Zoetis Inc. Class A - common stock									
80. - AMC Networks Inc. CL A - common stock									
81. - JP Morgan Chase BK N A Columbus Ohio DJIA Linked CD									
82. - Lord Abbett Floating Rate - mutual fund					Sold	04/17/14	J	A	
83. - Hartford World Bond 1 - mutual fund					Sold (part)	01/29/14	J	A	
84.					Buy (add'l)	07/31/14	J		
85.					Sold (part)	10/16/14	J	A	

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3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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86. - Angel Oak Multi Strat Inc I - mutual fund					Buy	10/16/14	K		
87. - Ishares Russell 1000 ETF - mutual fund					Buy	10/21/14	L		
88. - Prudential High Yield Z - mutual fund					Buy	10/16/14	K		
89. - Williams Co., Inc. - common stock									
90. - Managers Bond Fund - mutual fund					Sold (part)	01/29/14	J	A	
91.					Buy (add'l)	07/31/14	J		
92. - Mallinckrodt Public Ltd. Co. - common stock									See Note in Part VIII.
93. - Blackrock Global L/S Credit I - mutual fund					Sold (part)	01/29/14	J	A	
94.					Buy (add'l)	07/31/14	J		
95. - Merck & Co. - common stock					Sold (part)	03/21/14	J	A	
96. - Nucor Corp. - common stock									
97. - Pimco Total Return - mutual fund					Sold (part)	01/29/14	J	A	
98.					Buy (add'l)	07/31/14	J		
99.					Sold	09/29/14	K	A	
100. - Sandisk Corp. - common stock									
101. - Yum Brands Inc. - common stock									
102. - TCW Total Return - mutual fund					Sold (part)	01/29/14	J	A	

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103.					Buy (add'l)	07/31/14	J		
104. - Verizon Communications - common stock					Buy (add'l)	02/21/14	J		
105.					Sold (part)	02/26/14	J		
106. - JP Morgan Strat Inc. Opp Sel - mutual fund					Sold (part)	01/29/14	J	A	
107.					Buy (add'l)	07/31/14	J		
108.					Sold (part)	10/16/14	J	A	
109.					Sold	11/05/14	K	A	
110. - Virtus Multi-Sector S/T Bond - mutual fund					Sold (part)	01/29/14	J	A	
111.					Buy (add'l)	07/31/14	J		
112. - Virtus Multi Sect Sht Trm BD 1 -					Sold (part)	01/29/14	J	A	
113.					Sold	04/17/14	K	B	
114. - Virtus Senior Floating Rate I - mutual fund					Sold (part)	01/29/14	J	A	
115.					Sold	04/17/14	K	A	
116. - Bank Deposit Sweep Program -									
117. - Lake Tahoe Calif Uni Sch Dist Go BDS - muni bond (2LT1)	B	Interest	L	U	Open				MMM personal account
118.									
119. Orchard Calif. Sch Dist G.O. Ref BDS - muni bond (5CB5)	B	Interest	K	U	Open				MMM personal account

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.									
121. San Ysidro Calif. Sch Dist CTFS Partn - muni bond (3EU1)	A	Interest	J	U	Open				MMM personal account
122.									
123. - Wells Fargo Bank - Account					Open	12/31/14	K		MMM personal account
124. - Union Bank - Account					Closed		K		See Note in Part VIII
125. - Kadison, Pfaelzer, Woodard, Quinn & Rossi Inv. Ptnrship.					Distributed	04/30/14	K	A	See Note in Part VII
126. - Apple Inc. - common stock									
127. - Astrazeneca PLC ADS - common stock					Sold	04/28/14	J	B	
128.					Buy	06/06/14	J		
129. - Bristol Myers Squibb Co. - common stock					Sold (part)	11/03/14	J	A	
130. - Dolby Class A common stock									
131. - EMC Corp. Mass - common stock									
132. - Glaxosmithkline PLC ADS - common stock									
133. - Immunogen Inc. - common stock									
134. - Isis Pharmaceuticals Inc. - common stock									
135. - Legg Mason BW Global Opp Bd F1 - mutual fund					Sold	01/29/14	K	A	
136.					Buy	04/17/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	07/31/14	J		
138. - John Hancock FDS Str Inc. Opp 1 - mutual fund					Buy (add'l)	01/29/14	J		
139.					Buy (add'l)	07/31/14	J		
140. - Mc Donalds Corp. - common stock					Buy (add'l)	04/29/14	J		
141. - Ms Buffered Plus Based on Basket - common stock					Sold	07/07/14	L	E	
142. - Towers Watson & Co CL A -- common stock					Buy	05/13/14	J		
143. - UBS Plus Based on the Value of a Basket of Equities - common stock					Buy	05/30/14	L		
144. - Twenty First Century Fox CL A - common stock					Buy	07/18/14	J		
145. - Precision Castparts Corp. - common stock					Buy	07/25/14	J		
146. - Tekla Healthcare Opp Fund - common stock					Buy	07/28/14	L		
147. - Regeneron Pharm - common stock					Buy	07/28/14	J		
148. - Philip Morris International Inc. - common stock					Buy (add'l)	02/26/14	J		
149. - PPL Corporation - common stock									
150. - Thornburg Int'l Growth I - mutual fund					Buy	02/04/14	L		
151.					Sold	10/21/14	K	A	
152. - Thermo Fisher Scientific Inc. - common stock									
153. - Ventas, Inc. - common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Visa Inc. - common stock									
155. - Walt Disney Co. Holding Co. - common stock									
156. - Unit AAM Covered Call & Income Strategies Closed end fund									See Note in Part VIII
157. - Anheuser Busch Inbev SA Spon - common stock									
158. - Blackrock Strategic Inc Opp A Prospectus - mutual fund					Sold (part)	01/29/14	J	A	
159.					Buy (add'l)	07/31/14	J		
160. - Goldman Sachs Strategic Inc A Prospectus - mutual fund					Buy (add'l)	01/29/14	J		
161.					Buy (add'l)	07/31/14	J		
162.					Sold (part)	10/16/14	J	A	
163.					Sold	11/05/14	K	A	
164. - Virtus Senior Floating Rate A - mutual fund					Sold	04/17/14	K	A	
165. - Virtus Multi Sector Fixed Inc A - mutual fund									
166. - CME Group - common stock									
167. - NetApp Inc. - common stock					Sold	07/01/14	J	A	
168. - United Parcel Service Inc. Class B - common stock									
169. - MFF Orion FT FD - managed future					Sold	03/31/14	L	A	
170. - Skybridge Multi-Advisor Hedge fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - BlackRock All-CP Energy Res A - mutual fund									See Note in Part VIII
172. - Cohen & Steers Global Realty Foc I - mutual fund									See Note in Part VIII
173. - Eaton Vance Commodity Strategy A - mutual fund									See Note in Part VIII
174. - Hartford Floating Rate I - mutual fund					Sold	01/29/14	J	A	
175. - Nuveen Preferred Sec 1 - mutual fund					Buy	04/17/14	K		
176.					Buy (add'l)	07/31/14	J		
177. - Wisdomtree Trust Japn Hedge EQ - mutual fund					Buy	11/05/14	K		
178. - ING Global Real Estate FD I - mutual fund									See Note in Part VIII
179. - PIMCO Commodity Real Ret Strat A - mutual fund									See Note in Part VIII
180. - RS Global Natural Resources A - mutual fund									See Note in Part VIII
181. - Altria Group Inc. - common stock									
182. - BCE Inc. (New) - common stock									
183. - BP PLC Ads - common stock									
184. - Linked in Corp A - common stock					Buy	03/27/14	J		
185. - Citrix Systems Inc. - common stock									
186. - Conocophillips - common stock					Sold (part)	08/18/14	J	A	
187. - Dominion Res Inc. (New) - common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - W. W. Grainger, Inc. - common stock									
189. - Duke Energy Corp. Holding Co. - common stock									
190. - Kimberly Clark Corp. - common stock									
191. - Eli Lilly & Co. - common stock					Sold	12/11/14	J	A	
192. - Halyard Health Inc. - common stock					Spinoff (from line 190)	11/13/14	J		
193. - FMC Technologies Inc. - common stock					Buy	09/24/14	J		
194. - Lorillard Inc. - common stock					Sold	07/16/14	J	B	
195. - Natl Grid Transco PLC ADS - common stock									
196. - Nuance Communications Inc. - common stock					Buy	03/14/14	J		
197. - Reynolds American Inc. - common stock									
198. - Southern Co. - common stock									
199. - Total Fina Elf SA - common stock									
200. - Unilever PLC (New) ADS - common stock					Buy (add'l)	04/29/14	J		
201. - Columbia Select LG CP Growth Z - mutual fund					Buy (add'l)	07/01/14	J		
202.					Sold (part)	11/05/14	K	D	
203. - Columbia Income Opport A - mutual fund					Sold (part)	01/29/14	J	A	
204.					Buy (add'l)	07/31/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Invesco Developing Markets A - mutual fund					Sold (part)	02/04/14	K	A	
206. - KKR Income Opportunities Fund - common stock					Sold	07/31/14	K	A	
207. - Royce Premier Service - mutual fund					Sold (part)	11/05/14	J	A	
208.					Buy (add'l)	12/18/14	J		
209. - Thornburg Int'l Value I- mutual fund									
210. - Thornburg Strategic Inc. I - mutual fund					Buy	11/05/14	K		
211. - Virtus Insight Emerging Markets I - mutual fund					Sold (part)	02/04/14	K	A	
212. - Nike Inc. B - common stock									
213. - Cameron Intl Corp - common stock									
214. - Duff & Phelps Global Equity - common stock					Sold (part)	07/31/14	L	D	
215. - Blackrock Emrg Mkt L/S EQ Inst (BLSIX) - mutual fund					Sold	03/05/14	J	A	
216. - DWS Rreef GLB Infrastrc S (TOLSX) -- mutual fund					Sold (part)	04/17/14	J	A	
217. - Gateway Fund Y (GTEYX) - mutual fund									
218. - Invesco Balanced - Risk Alloc Y (ABRYX) - mutual fund					Buy (add'l)	04/17/14	J		
219. - Managers Fremont Global Fund (MMAFX) - mutual fund					Buy (add'l)	04/17/14	J		
220. - MFS Diverse Target Return I (DVRIX) - mutual fund					Sold (part)	04/17/14	J	A	
221. - Nuveen Global Infrastructur I (FGIYX) - mutual fund					Sold (part)	04/17/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - Nuveen Total Return Bond FDI - mutual fund					Buy	01/29/14	K		
223.					Buy (add'l)	04/17/14	J		
224.					Buy (add'l)	07/31/14	J		
225. - Highland L/S Eq A aka PYXIS Long/Short Equity A - mutual fund									
226. - Highland Lg Sht Healthcare A - mutual fund					Buy	04/17/14	K		
227. - Virtus Dynamic Alphasector I (VIMNX) - mutual fund					Sold (part)	04/17/14	J	B	
228. - Hartford Floating RT HI Inc 1 - mutual fund					Buy	01/29/14	J		
229.									
230. - Morgan Stanley - Inherited IRA Account -	D	Distribution	N	T	Distributed (part)	12/31/14	N	A	
231.									
232. - Morgan Stanley - Inherited IRA Account -	A	Distribution	J	T	Distributed (part)	12/31/14	J	A	
233.									
234.									
235.									
236.									
237. BROKERAGE ACCOUNT #2 (TRUST #1)	E	Int./Div.	P1	T					
238.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. - Cash equivalent - Morgan Stanley'									
240. - Eaton Corp. - common stock									
241. - Aviva Plc ADR - common stock									
242. - Express Scripts Inc. - Common					Sold	01/27/14	J	A	
243. - General Electric Inc. - common stock									
244. - PepsiCo Inc. - common stock									
245. - Royal Dutch Shell PLC - common stock									
246. - Checkpoint Software Tech Ltd. - common stock					Sold	10/01/14	J	A	
247. - AMC Networks Inc CL A - common stock									
248. - Allianceber Muni - mutual fund					Buy	02/07/14	K		
249.					Sold (part)	03/12/14	J	A	
250.					Sold (part)	03/18/14	J	A	
251.					Sold (part)	06/13/14	J	A	
252.					Sold (part)	07/07/14	K	A	
253.					Sold (part)	10/08/14	J	A	
254.									
255. - Abbvie Inc.com - common stock					Sold (part)	01/06/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Sold	07/09/14	J	A	
257. - Astrazeneca PLC ADS - common stock					Sold	04/28/14	J	A	
258. - AT&T - common stock									
259. - Schlumberger Ltd. - common stock									
260. - Procter & Gamble - common stock					Buy (add'l)	07/09/14	J		
261. - Monsanto Company - common stock									
262. - Kraft Foods - common stock									
263. - Target Corporation - common stock					Buy	01/22/14	J		
264. - Vodafone Group PLC ADR - common stock									
265. - CVS Caremark Corporation - common stock					Sold (part)	05/19/14	J	A	
266. - Health Care REIT - common stock									
267. - Coca Cola Company - common stock									
268. - General Mills - common stock					Buy	11/03/14	J		
269. - Google Inc. - common stock									
270. - HCP Inc. - common stock									
271. - Juniper Networks Inc. - common stock					Sold	10/23/14	J	A	
272. - Qualcomm Inc. - common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. - Schwab Charles Corporation - common stock									
274. - Amazon.com Inc. - common stock									
275. - Amgen Inc. - common stock					Sold (part)	11/03/14	J	A	
276.					Sold	11/04/14	J	A	
277. - Anadarko Petroleum Corporation - common stock									
278. - BP PLC Ads - common stock									
279. - Cablevision Systems Corporation - common stock									
280. - Celgene Corporation - common stock					Sold (part)	10/09/14	J	A	
281. - Chevron Corporation - common stock									
282. - Covidien Ltd. - common stock									
283. - Mallinckrodt Public Ltd. Co. - common stock									See Note in Part VIII
284. - Freeport McMoran Copper & Gold - common stock									
285. - Microsoft Corporation - common stock									
286. - NASDAQ OMX Group, Inc. - common stock									
287. - SPDR Gold Trust - ETF									See Note in Part VIII
288. - Caterpillar, Inc. - common stock					Sold	08/06/14	J	A	
289. - Texas Instruments Inc. - common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

290. - Weatherford International Ltd. - common stock									
291. - Xilinx Inc. - common									
292. - Yum Brands Inc. - common									
293. - Fluor Corp. - common stock									
294. - Akamai Technologies Inc. - common stock									
295. - Autodesk Delaware - common stock									
296. - Bed Bath & Beyond - common stock					Sold	03/21/14	J	A	
297. - Biogen Idec Inc. - common stock					Sold (part)	02/03/14	J	C	
298. - W. W. Grainger Inc. - common stock									
299. - Blackrock Inc. - common stock									
300. - Broadcom Corp. - common stock									
301. - Comcast Corp. CL A Special New - common stock (CMCSK)					Sold (part)	07/09/14	J	A	
302. - Williams Co. Inc. - common stock									
303. - Cree Research Inc. - common stock									
304. - Dolby Class A common stock (formerly Dolby Labs Inc.)									
305. - Ebay Inc. - common stock									
306. - Facebook Inc. CL-A - common					Buy (add'l)	12/22/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. - Actavis PLC - common stock					Spinoff (from line 308)	07/01/14	J		
308. - Forest Laboratories Inc. - common stock					Sold	07/01/14	J	B	
309. - Home Depot Inc. - common stock					Sold (part)	08/08/14	J	A	
310. - Johnson & Johnson - common stock					Sold (part)	10/09/14	J	A	
311.					Sold (part)	11/03/14	J	A	
312. - L-3 Communications Hldgs Inc. - common stock									
313. - Lorillard Inc.-- common stock					Sold	07/16/14	J	B	
314. - The Directv Group Class A - common stock									
315. - Liberty Media Corp. Hldg Capital Series A - common stock									
316. - National Oilwell Varco Inc. - common stock									
317. - Twitter, Inc. - common stock									
318. - Pall Corporation - common stock									
319. - Seagate Technology Hldgs. - common stock									
320. - TE Connectivity Ltd. New - common stock									
321. - Tyco International Ltd. - common stock									
322. - Unitedhealth Group Inc. - common stock					Sold (part)	10/09/14	J	A	
323. - Zoetis Inc., Class A - common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. - Vertex Pharmaceuticals - common stock									
325. - Nuance Communications Inc. - common stock					Buy	03/14/14	J		
326.									
327. - Kinder Morgan Incorp. - common stock					Buy	08/18/14	J		
328.									
329. - Merck & Co. Inc. - common stock									
330. - Nucor Corporation - common stock									
331. - Sandisk Corporation - common stock									
332. - Verizon Communications - common stock					Buy (add'l)	02/21/14	J		
333.					Sold (part)	02/26/14	J	A	
334. - Realty Income Corp. - common stock									
335. - Western Asst GLB CP DEF OPP FD - common stock					Sold	03/18/14	L	A	
336. - Anheuser Busch Inbev SA Spon - common stock									
337. - Allianz Se Ads - common stock									
338. - American Electric Power Co. - common stock									
339. - Apple Inc. - common stock									
340. - Blackrock National Muni A - mutual fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.									
342. - Blackrock National Muni I - mutual fund					Buy	02/07/14	K		
343.					Sold (part)	03/18/14	J	A	
344.					Sold (part)	06/13/14	J	A	
345.					Sold	07/07/14	J	A	
346. - Amer Cent CA Hi Yld Muni Inv - mutual fund					Buy	02/07/14	J		
347.					Sold (part)	03/18/14	J	A	
348.					Sold (part)	07/07/14	J	A	
349.					Sold (part)	10/08/14	J	A	
350. - Thornburg In'tl Growth I - mutual fund					Buy	02/10/14	K		
351.					Sold	10/21/14	K	A	
352. - Ishares Russell 1000 ETF - mutual fund					Buy	10/21/14	K		
353.					Buy (add'l)	12/15/14	J		
354. - Bristol Myers Squibb Co. - common stock					Sold (part)	11/03/14	J	A	
355. - Citrix Systems Inc. - common stock									
356. - Clearbridge Energy MLP FD Inc. - common stock					Buy (add'l)	12/22/14	K		
357. - EMC Corp Mass - common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. - FMC Technologies - common stock					Buy	09/25/14	J		
359. - Goldman Sachs MLP Energy Ren - mutual fund					Buy	09/25/14	K		
360. - The ADT Corporation - common stock					Buy	12/15/14	J		
361.									
362. - Glaxosmithkline PLC ADS - common stock									
363. - Immunogen Inc. - common stock									
364. - Isis Pharmaceutical Inc. - common stock									
365. - Kayne and Midstream/Energy Fund Inc. - common stock					Buy (add'l)	12/22/14	J		
366. - Mc Donalds Corp. - common stock					Buy (add'l)	04/29/14	J		
367. - Ms. Blackstone Re Debt II on LP -									
368. - MFS Muni High Income A - mutual fund					Buy	02/07/14	J		
369.					Sold (part)	03/18/14	J	A	
370.					Sold (part)	07/07/14	J	A	
371. - Nuveen Sh Dur Hi Yld Muni BD I - mutual fund					Buy	02/07/14	J		
372.					Sold (part)	03/18/14	J	A	
373.					Sold (part)	07/07/14	J	A	
374. - Nuveen Hi Yld Muni Bond I - mutual fund					Buy	02/07/14	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Sold (part)	03/18/14	J	A	
376.					Sold (part)	07/07/14	J	A	
377. - Philip Morris Int'l Inc. - common stock					Buy (add'l)	02/26/14	J		
378. - JP Morgan CA Tax Free Bond A - mutual fund					Buy	02/07/14	K		
379.					Sold (part)	03/18/14	J	A	
380.					Sold (part)	06/13/14	J	A	
381.					Sold (part)	07/07/14	J	A	
382. - Blackstone EP II Onshore - mutual fund					Buy	12/23/14	J		
383. - Linked in Corp A - common stock					Buy	03/27/14	J		
384.									
385.									
386.									
387.									
388. - PPL Corporation - common stock									
389. - Riverbed - common stock					Sold	03/19/14	J	A	
390. - Travelers Companies Inc. COM - common stock									
391. - Thermo Fisher Scientific Inc. - common stock					Sold (part)	03/27/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. - Ventas Inc. - common									
393. - Visa Inc. Class A common stock									
394. - Walt Disney Co. Holding Co. - common stock									
395.									
396. - Altria Group Inc. - common stock									
397. - BCE Inc. (New) - common stock									
398. - Blackrock CA Muni Bd I - mutual fund					Buy	02/07/14	K		
399.					Sold (part)	03/18/14	J	A	
400.					Sold (part)	06/13/14	J	A	
401.					Sold (part)	07/07/14	J	A	
402.									
403. - Towers Watson & Co. CL A - common stock					Buy	05/13/14	J		
404.									
405. - Precision Castparts Corp. - common stock					Buy	07/25/14	J		
406.									
407. - Conocophillips - common stock					Sold (part)	08/18/14	J	A	
408. - Dominion Res Inc. (New) - common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. - Duke Energy Corp Holding Co. - common stock									
410. - Kimberly Clark Corp. - common stock									
411. - Halyard Health Inc. - common stock					Spinoff (from line 410)	11/13/14	J		
412. - Eli Lilly & Co. - common stock					Sold	12/11/14	J	A	
413. - National Grid Transco PLC ADS - common stock									
414. - Reynolds American Inc. - common stock									
415. - Twenty-First Century Fox CLA - common stock					Buy	07/18/14	J		
416. - Windstream Corp. - common stock									See Note in Part VIII
417. - Unilever PLC (New) ADS - common stock									
418. - Total Fina Elf SA - common stock									
419. - Southern Co. - common stock									
420. - Columbia Select LG CP Growth Z - mutual fund					Buy (add'l)	07/01/14	J		
421.					Sold (part)	11/05/14	K	D	
422. - Columbia CA Tax Exempt Z - mutual fund					Sold	02/07/14	K	B	
423. - Invesco High Yield Muni Y - mutual fund					Sold (part)	02/07/14	K	A	
424.					Sold (part)	03/18/14	J	A	
425.					Sold (part)	07/07/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

MORROW, MARGARET M.

Date of Report

11/03/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. - Managers AMG GW&K Muni Bd SVC - mutual fund					Sold (part)	02/07/14	K	A	
427.					Sold (part)	03/18/14	J	A	
428.					Sold (part)	06/13/14	J	A	
429.					Sold (part)	07/07/14	J	A	
430. - Prudential Muni Hi Inc Z - mutual fund					Sold (part)	02/07/14	J	A	
431.					Sold (part)	03/18/14	J	A	
432.					Sold (part)	07/07/14	J	A	
433. - Nationwide HM CA ITM Tax FR Bd - mutual fund					Sold (part)	02/07/14	K	A	
434.					Sold (part)	03/12/14	J	A	
435.					Sold (part)	03/18/14	J	A	
436.					Sold (part)	06/13/14	J	A	
437.					Sold (part)	07/07/14	J	A	
438.					Sold (part)	10/08/14	J	A	
439. - Invesco Developing Markets A - mutual fund					Sold (part)	02/10/14	J	A	
440. - Red Hat - common stock									
441. - Regeneron Pharm - common stock					Buy	07/18/14	J		
442. - Royce Premier Service - mutual fund					Sold (part)	11/05/14	K	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443.					Buy (add'l)	12/18/14	J		
444. - Goldman Sachs MLP Inc. Oppty Fd - mutual fund									
445. - Thornburg Int'l Value A - mutual fund					Buy (add'l)	12/15/14	J		
446. - Senior Hsg PPTY TR SBI - common stock									
447. - Virtus Insight Emerging Markets I - mutual fund					Sold (part)	02/10/14	J		
448. - NetApp Inc. - common stock					Sold	07/01/14	J	A	
449. - CME Group Inc. - common stock									
450.									
451. - Wisdomtree Trust Japn Hedge EQ - mutual fund					Buy	11/05/14	K		
452. - United Parcel Service Inc. Class B - common stock									
453. - Blackrock All CP Energy Res A - mutual fund									See Note in Part VIII
454. - Cohen & Steers Glb Rlty Foc A - mutual fund									See Note in Part VIII
455. - Eaton Vance Commodity Strat A - mutual fund									See Note in Part VIII
456. - Columbia Income Opport A - mutual fund									
457. - ING Global Real Estate A - mutual fund									See Note in Part VIII
458. - ING Global Real Estate FD I - mutual fund									See Note in Part VIII
459. - Hartford Floating Rate I - mutual fund									See Note in Part VIII

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. - Pimco Comm Real Ret Strat A - mutual fund									See Note in Part VIII
461. - Metropolitan West HI YLD Bd M - mutual fund									
462. - RS Global Natural Res A - mutual fund									See Note in Part VIII
463. -- Virtus Senior Floating Rate I - mutual fund									See Note in Part VIII
464. - Nike Inc. B - common stock									
465. - Cameron International Corp. - common stock									
466. - DWS Rreef Glb Infrastrc S - mutual fund					Sold (part)	04/17/14	J	A	
467. - Blackrock Emrg Mkt L/S Eq Inst - mutual fund					Sold	03/05/14	K	A	
468. - Gateway Fund Y - mutual fund									
469. - Highland Lg Sht Healthcare A - mutual fund					Buy	04/17/14	K		
470. - Highland Long Short Equity A - mutual fund									
471. - Invesco Balanced Risk Alloc - mutual fund					Buy (add'l)	04/17/14	J		
472. - Managers Amg FQ Glb Ess Inst - mutual fund					Buy (add'l)	04/17/14	J		
473. - MFS Diverse Target Return I - mutual fund					Sold (part)	04/17/14	J	A	
474. - Nuveen Global Infrastructur I - mutual fund					Sold (part)	04/17/14	J	A	
475. - Virtus Dynamic Alphasector I - mutual fund					Sold (part)	04/17/14	J	B	
476.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. - Advent Claymore Convertible SE - closed end fund					Buy	07/16/14	J		
478. - Advent Claymore CV Secs & Incm - closed end fund					Buy	07/16/14	J		
479. - Advent/Claymore Enhanced Grw - closed end fund					Buy	07/16/14	J		
480. - Alliancebernstein Inc. FD - closed end fund					Buy	07/16/14	J		
481. - Alpine Global Premier PPTY FD - closed end fund					Buy	07/16/14	J		
482. - Alpine Total Dynamic Dividend - closed end fund					Buy	07/16/14	J		
483. - Ares Dynamic Credit Alloc FD - closed end fund					Buy	07/16/14	J		
484. - Blackrock Corp HGH Yld FD Inc. - closed end fund					Buy	07/16/14	J		
485. - Blackrock Debt Strat FD Inc. - closed end fund					Buy	07/16/14	J		
486. - Blackrock ENH Cap & FD Inc. - closed end fund					Buy	07/16/14	J		
487. - Blackrock Enhanced Equity Div - closed end fund					Buy	07/16/14	J		
488. - Blackrock Flt Rte Inc. Strat FD - closed end fund					Buy	07/16/14	J		
489. - Blackrock GBLB OPP EQTR - closed end fund					Buy	07/16/14	J		
490. - Blackrock Income Trust Inc. - closed end fund					Buy	07/16/14	J		
491. - Blackrock Intl Grwth & Inc TR - closed end fund					Buy	08/21/14	J		
492. - Blackrock Muni Assets FD Inc. - closed end fund					Buy	07/16/14	J		
493.					Sold	10/16/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494. - Blackrock Multi-Sector Inc. TR N/R - closed end fund					Buy	07/16/14	J		
495. - Blackrock Mun Inc. Qual TR - closed end fund					Buy	07/16/14	J		
496. - Blackrock Muniholdngs Q LTY II - closed end fund					Buy	07/16/14	J		
497. - Blackrock Muniholdings Investm - closed end fund					Buy	07/16/14	J		
498. - Blackrock Res & Comm Strat TR - closed end fund					Buy	07/16/14	J		
499. - Blackrock UTIL & INFRA N/R - closed end fund					Buy	07/16/14	J		
500. - Blackstone GSO Lg SH CR Inc. B - closed end fund					Buy	07/16/14	J		
501. - Blackstone/GSO Strat Credit FD N/R - closed end fund					Buy	07/16/14	J		
502. - Brookfield Mtge Opp Inc. FD - closed end fund					Buy	07/16/14	J		
503. - Calamos CV & HI Incm FD - closed end fund					Buy	07/16/14	J		
504. - Calamos Global Dynamic CEF - closed end fund					Buy	07/16/14	J		
505. - CBRE Clarion GL Real Estate - closed end fund					Buy	07/16/14	J		
506. - Cohen & Steers Infrastructure FD - closed end fund					Buy	07/16/14	J		
507. - Cohen & Steers Ltd. Dur. PFD & Inc. - closed end fund					Buy	07/16/14	J		
508. - Cohen & Steers Reit & PFD Incm - closed end fund					Buy	07/16/14	J		
509. - Doubleline Income Solutions FD - closed end fund					Buy	07/16/14	J		
510. - Duff & Phelps GLB Util Inc. FD - closed end fund					Buy	07/16/14	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. - Eaton Vance Ltd Duration FD - closed end fund					Buy	07/16/14	J		
512. - Eaton Vance Nat'l Muni Opp TR - closed end fund					Buy	07/16/14	J		
513. - Eaton Vance Risk Mngd -closed end fund					Buy	07/16/14	J		
514. - Eaton Vance Senior Income TR - closed end fund					Buy	07/16/14	J		
515. - Eaton Vance Shrt Dur Div Inc. - closed end fund					Buy	07/16/14	J		
516. - Eaton Vance Tax Adv GLBL Div -closed end fund					Buy	07/16/14	J		
517. - Eaton Vance Tax Advantaged - closed end fund					Buy	07/16/14	J		
518. - Eaton Vance Tax Advtd GIBL - closed end fund					Buy	07/16/14	J		
519. - Eaton Vance Tax MG CEF - closed end fund					Buy	07/16/14	J		
520. - Eaton Vance Tax Mgd DIV EQU FD - closed end fund					Buy	07/16/14	J		
521. - First Trust High Inc. Long/Shor N/R - closed end fund					Buy	07/16/14	J		
522. - Flaherty & Crumrine Dynamic PF - closed end fund					Buy	07/16/14	J		
523. - Global High Income DLR FND INC. - closed end fund					Buy	07/16/14	J		
524. - John Hancock Premium DIV FD - closed end fund					Buy	07/16/14	J		
525. - New Am High Income FD Inc New - closed end fund					Buy	07/16/14	J		
526. - Nexpoint Credits Strategies FD - closed end fund					Buy	07/16/14	J		
527. - Nuve 56873 - closed end fund					Buy	07/16/14	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528.					Sold	09/26/14	J	A	
529. - Nuve ABF53 TND - closed end fund					Buy	07/16/14	J		
530.					Sold	09/26/14	J	A	
531. - Nuve AVN 70 TND - closed end fund					Buy	07/16/14	J		
532.					Sold	09/26/14	J	A	
533. - Nuve AXF88 TND - closed end fund					Buy	07/16/14	J		
534.					Sold	09/26/14	J	A	
535. - Nuveen Diversified Currency Op - closed end fund					Buy	07/16/14	J		
536.					Sold	08/21/14	J	A	
537. - Nuveen QLTY Income Muni FND - closed end fund					Buy	07/16/14	J		
538.					Sold	10/16/14	J	A	
539.									
540. - Nuveen AMT FREE Muni Inc FD - closed end fund					Buy	07/16/14	J		
541.									
542. - Nuveen Credit Strat Inc FD - closed end fund					Buy	07/16/14	J		
543. - Nuveen Div Adv Muni FD 2 - closed end fund					Buy	07/16/14	J		
544. - Nuveen Div Adv Muni FD - closed end fund					Buy	07/16/14	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. - Nuveen Divid Adv Muni FD 3 - closed end fund					Buy	07/16/14	J		
546. - Nuveen Divid Adv Muni Inc FD - closed end fund					Buy	07/16/14	J		
547. - Nuveen Float Rate Incm Fund - closed end fund					Buy	07/16/14	J		
548. - Nuveen Global High Income - closed end fund					Buy	07/16/14	J		
549. - Nuveen Muni Advantage Fund Inc - closed end fund					Buy	09/26/14	J		
550. - Nuveen Muni Market Opp FD Inc. - mutual fund					Buy	07/16/14	J		
551. - Nuveen Muni Oppty IND Inc - closed end fund					Buy	07/16/14	J		
552. - Nuveen Nasdaq 100 Dynamic OVC - closed end fund					Buy	07/16/14	J		
553. - Nuveen Perf Plus Mun FD - closed end fund					Buy	07/16/14	J		
554. - Nuveen PFD Income Opp FD - closed end fund					Buy	07/16/14	J		
555. - Nuveen Pre Inc Muni FD 2 Inc - closed end fund					Buy	07/16/14	J		
556. - Nuveen Premier Municipal In FD - closed end fund					Buy	07/16/14	J		
557. - Nuveen Premium Inc Mun FD Inc - closed end fund					Buy	07/16/14	J		
558. - Nuveen Qual Muni Fund Inc - closed end fund					Buy	07/16/14	J		
559. - Nuveen Qual PFD Inc FD - closed end fund					Buy	07/16/14	J		
560. - Nuveen Real Asst Incme Grw FND - closed end und					Buy	07/16/14	J		
561. - Nuveen S&P 500 Buy-Write Inc. - closed end fund					Buy	07/16/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. - Nuveen Senior Income Fund - closed end fund					Buy	07/16/14	J		
563. - Nuveen Short Duratin CR OPP FD N/A - closed end fund					Buy	07/16/14	J		
564. - Nuveen Tax-Advantaged Div CEF - closed end fund					Buy	10/16/14	J		
565. - Prudential SHRT Dur High Y1 FD - closed end fund					Buy	07/16/14	J		
566. - Putnam Managed Muni Income TR - closed end fund					Buy	07/16/14	J		
567. - Putnam Muni Opty TR - closed end fund					Buy	07/16/14	J		
568. - TCW Strategic Income FD Inc - closed end fund					Buy	07/16/14	J		
569. - Voya Global Equity Dividend - closed end fund					Buy	07/16/14	J		
570. - Voya Risk Managed Nat Res FD - closed end fund					Buy	07/16/14	J		
571. - Wells Fargo ADV GLB DIV OPP FD - closed end fund					Buy	07/16/14	J		
572. - Wells Fargo Advantage Income - closed end fund					Buy	07/16/14	J		
573. - Wells Fargo Advantage Multi - closed end fund					Buy	07/16/14	J		
574. - Western Asset Emerg Mkts FD - closed end fund					Buy	07/16/14	J		
575. - Western Asset GBL PTNRS Inc FD - closed end fund					Buy	10/16/14	J		
576. - Western Asset Global High FD - closed end fund					Buy	07/16/14	J		
577. - Western Asset Mkts FD II Inc. - closed end fund					Buy	07/16/14	J		
578. - Western Asset Mngd High Inc FD - closed end fund					Buy	07/16/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. - Western Asset WLDWD Income FD - closed end fund					Buy	07/16/14	J		
580.									
581.									
582. - Real Property, Nantucket County, Massachusetts									
583.									
584. ADMINISTRATIVE ACCOUNT (TRUST #2)	F	Int./Div.	P1	T	Open	12/31/14	P1		
585.									
586. - Edison International - common stock									
587. - Exelon Corp. - common stock									
588. - Marathon Oil Corp. - common stock									
589. - Marathon Petroleum Corp - common stock									
590. - United States Steel Corp. - common stock									
591.									
592. - California State GO Ref Bds Series - muni. bond (PR95)	A	Interest			Redeemed	03/03/14	K	A	
593. - North City West Calif Sch Facs Fing - muni. bond (0DK2)	D	Interest			Redeemed	09/02/14	L	A	
594. - San Jacinto Calif Uni Sch Dist - muni. bond (2CV0)	D	Interest			Redeemed	09/02/14	M	A	
595. - Calaveras Uni Sch Dist Calif. G O - muni. bond (4EJ5)	D	Interest							

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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596. - California St G O Bds Ser. 2008 - muni. bond (AZ80)	B	Interest							
597. - Modesto Calif IRR Dist CTFS Partn Cops -	C	Interest			Redeemed	07/01/14	L	A	
598. - Real Property, Los Angeles County, California					Sold	04/07/14	O	G	

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART I - POSITIONS

Page 1, line 1: I am a member of the Board of Trustees. There are no assets to report.

PART VII - INVESTMENTS AND TRUSTS

BROKERAGE ACCOUNT #1 -- INDIVIDUAL

Page 8, line 72: This asset was sold on December 31, 2012 with a value of "J" and a gain of "A," but was inadvertently not reported on my 2012 FDR.

Page 9, line 92: This asset was sold on July 9, 2013 with a value of "J" and a gain of "A," but was inadvertently not reported on my 2013 FDR.

Page 11, line 123: This account was inadvertently listed on my 2013 FDR as "MMM Personal Account." It was [REDACTED] checking account and was closed upon their deaths in February 2012. The funds were transferred into the [REDACTED] Administrative Trust Account.

Page 11, line 124: The April 30, 2014 date for distribution for this account is an approximate date.

Page 13, line 155: This asset was sold on January 11, 2012 with a value of "L" and a gain of "A," but was inadvertently not reported on my 2012 FDR.

Page 13, line 170: This asset was sold on December 31, 2012 with a value off "J" and a gain of "A," but was inadvertently not reported on my 2012 FDR.

Page 14, line 171: This asset was sold on December 31, 2012 with a value of "J" and a gain of "A," but was inadvertently not reported on my 2012 FDR.

Page 14, line 172: This asset was sold on December 31, 2012 with a value of "J" and a gain of "A," but was inadvertently not reported on my 2012 FDR.

Page 14, line 177: This asset was sold on December 31, 2012 with a value of "J" and a gain of "B," but was inadvertently not reported on my 2012 FDR.

Page 14, line 178: This asset was sold on December 31, 2012 with a value of "J" and a gain of "A," but was inadvertently not reported on my 2012 FDR.

Page 14, line 179: This asset was sold on December 31, 2012 with a value of "J" and a gain of "A," but was inadvertently not reported on my 2012 FDR.

BROKERAGE ACCOUNT #2 -- (TRUST #1)

Page 20, line 283: This asset was sold on July 9, 2013 with a value of "J" and a gain of "A," but was inadvertently not reported on my 2013 FDR.

Page 20, line 285: This asset was inadvertently reported as partially sold on January 3, 2013 with a value of "J" and a gain of "A" on my 2013 FDR. The sale, however, was a final sale.

Page 28, line 414: This asset was sold on June 13, 2013 with a value of "J" and a gain of "A," but it was inadvertently not reported on my 2013 FDR.

Page 30, line 451: This asset was sold on January 3, 2013 with a value of "J" and a gain of "A," but it was inadvertently not reported on my 2013 FDR.

Page 30, line 452: This asset was sold on January 3, 2013 with a value of "J" and a gain of "A," but it was inadvertently not reported on my 2013 FDR.

Page 30, line 453: This asset was sold on January 3, 2013 with a value of "J" and a gain of "A," but it was inadvertently not reported on my 2013 FDR.

Page 30, line 455: This asset was sold on December 16, 2011 with a value of "J" and a gain of "A," but it was inadvertently not reported on my 2011 FDR.

Page 30, line 456: This asset was inadvertently reported as partially sold on January 3, 2013 with a value of "J" and a gain of "B" on my 2013 FDR. The sale, however, was a final sale.

Page 30, line 457: This asset was inadvertently reported as partially sold on January 3, 2013 with a value of "K" and a gain of "A" on my 2013 FDR. The sale, however, was a final sale.

Page 30, line 458: This asset was inadvertently reported as partially sold on January 3, 2013 with a value of "K" and a gain of "A" on my 2013 FDR. The sale, however, was a final sale.

Page 31, line 460: This asset was inadvertently reported as partially sold on January 3, 2013 with a value of "J" and a gain of "A" on my 2013 FDR. The sale, however, was a final sale.

FINANCIAL DISCLOSURE REPORT

Page 41 of 42

Name of Person Reporting

MORROW, MARGARET M.

Date of Report

11/03/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Page 31, line 461: This asset was inadvertently reported as partially sold on January 3, 2013 with a value of "K" and a gain of "A" on my 2013 FDR. The sale, however, was a final sale.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ MARGARET M. MORROW**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544