

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Durkin, Thomas M.	2. Court or Organization U.S. District Court - Northern District of Illinois	3. Date of Report 08/11/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2016 to 12/31/2016
	5b. ☐ Amended Report	
7. Chambers or Office Address Dirksen Federal Building 219 S. Dearborn Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Durkin, Thomas M.

Date of Report

08/11/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Fall 2016	John Marshall Law School - teaching	\$3,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	rental income from condominium in [REDACTED]; negative \$14,374 after depreciation
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Durkin, Thomas M.	08/11/2017

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Durkin, Thomas M.

Date of Report

08/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CD American Express Centuri	A	Interest			Redeemed	02/16/16	M	A	
2. CD General Electric Capital Bank - 0.7%	A	Interest			Redeemed	06/14/16	K	A	
3. CD General Electric Capital Bank - 1.0%	B	Interest	M	T					
4. IShares Russell 1000 Growth	B	Dividend	M	T	Sold (part)	1/28/16	J	B	
5. IShares Russell 1000 Value	A	Dividend			Sold	02/17/16	K	A	
6. IShares Russell 1000 Value	C	Dividend	M	T	Buy	11/25/16	M		
7. IShares Russell Midcap Growth	B	Dividend	L	T	Sold (part)	01/28/16	J	A	
8. IShares Russell Midcap Value	B	Dividend	M	T	Sold (part)	01/28/16	J		
9. IShares Gold Trust		None			Sold	01/28/16	K	A	
10. IShares Silver Trust		None			Sold	01/28/16	J	A	
11. Chase accounts (various cash accounts)	A	Interest	K	T					
12. Northern Trust account (cash account)		None	J	T					
13. Nikrud LLC		None			Closed	01/01/16	J	A	
14. Investco American Franchise Fund	A	Dividend			Sold	03/01/16	K	A	
15. Merrill Lynch Bank Deposit Program (cash account)	A	Interest	J	T					
16. Grapevine Texas Muni Bond	A	Interest			Sold	02/16/16	K	A	
17. Aiken Cnty SC Cons Sch Bond	A	Interest			Sold	03/18/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

Name of Person Reporting	Date of Report
Durkin, Thomas M.	08/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Eagle Garfld CO SD RE 50J Bond (0.5%)	A	Interest			Sold	04/14/16	K	A	
19. Richland Cnty SC Muni Bond	A	Interest			Redeemed	03/01/16	K	A	
20. Eagle Garfld Co SD RE50J Bond	A	Interest			Sold	04/14/16	K	A	
21. CD Key Bank Natl Assn, Cleveland 0.45%	A	Interest			Redeemed	02/19/16	L	A	
22. CD Sallie Mae Bank/Salt	A	Interest			Redeemed	11/07/16	M	A	
23. CD Goldman Sachs Bk USA (1.0%) (two CD's)	B	Interest	N	T					
24. CD Discover Bank, Greenwood (1.45%)	B	Interest	M	T					
25. Cook Cnty Ill Sch Dist 83 Municipal Bond	A	Interest			Sold	03/18/16	K	A	
26. ISHARES S&P Small Cap 600 Growth	A	Dividend	L	T	Sold (part)	01/28/16	J	A	
27. ISHARES S&P Small Cap 600 Value	A	Dividend	L	T	Sold (part)	01/28/16	J	A	
28. Washington St Motor Bond	A	Interest			Sold	03/08/16	K	A	
29. Colorado St Brd Gov Unv Bond	B	Interest			Sold	02/01/16	L	A	
30. Oklahoma St Wtr Res Brd Bond	A	Interest	K	T					
31. Atlanta GA RF AGC Bond	B	Interest	K	T					
32. Galveston Cnty Tex Pass Bond	A	Interest	K	T					
33. Franklin Moderate Allocation	A	Dividend			Sold	03/01/16	J	A	
34. JPM IT COR BD 1 (part of annuity)		None	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Durkin, Thomas M.

Date of Report

08/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. NW NVIT MNY MKT 1 (part of annuity)		None	L	T					
36. JPM IT Intrepd MDCAP I (part of annuity)		None	J	T					
37. JPM IT US EQ I (part of annuity)		None	K	T					
38. CD Compass Bank, Birmingham, AL (1.4%)	B	Interest	M	T					
39. CD Ally Bank, Midvale VT (1.25%)	B	Interest	M	T					
40. CD Goldman Sachs BK USA, New York, NY (1.25%)	B	Interest	M	T					
41. CD Discover BK, Greenwood, DE (1.2%)	A	Interest	L	T					
42. Wisdomtree Trust Japan Hedged Equity FD	B	Dividend	K	T	Sold (part)	12/15/16	K	B	
43. Iowa Fin Authority Rev Revolving FD	A	Interest	K	T					
44. Honolulu, Hawaii City & Cnty Ser B RF	A	Interest			Sold	02/01/16	L	A	
45. CD Ally Bank 1.3%	A	Interest	M	T	Buy	02/10/16	M		
46. CD Key Bank NA 1.35%	A	Interest	L	T	Buy	02/10/16	L		
47. CD Merchantile Commercial 1.3%	A	Interest	L	T	Buy	06/08/16	K		
48. CD Belmont Savings Bank 1.4%	B	Interest	L	T	Buy	03/09/16	L		
49. CD Capital One Bank USA 1.55%	A	Interest	M	T	Buy	10/31/16	M		
50. I Shares Select Dividend EFT	A	Dividend			Buy	02/17/16	K		
51. I Shares Select Dividend EFT	A	Dividend			Sold	11/21/16	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting	Date of Report
Durkin, Thomas M.	08/11/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Durkin, Thomas M.

Date of Report

08/11/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas M. Durkin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544