

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2016**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) King, Robert B.	2. Court or Organization U.S. Court of Appeals, 4th Circuit	3. Date of Report 05/1/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2016 to 12/31/2016
	5b. ☐ Amended Report	
7. Chambers or Office Address 300 Virginia Street, East Suite 7602 Charleston, WV 25301		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Vice Chairman, Board of Directors	WVU College of Law Alumni Association
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

Name of Person Reporting	Date of Report
King, Robert B.	05/1/2017

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
King, Robert B.	05/1/2017

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

King, Robert B.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

1. TimeWarner Inc. (common)	B	Dividend	L	T					
2. Proctor & Gamble Co. (common)	C	Dividend	L	T					
3. Intel Corp. (common)	C	Dividend	J	T					
4. Morgan Stanley Co. (common)	A	Dividend	L	T					
5. Starbucks Corp. (common)	B	Dividend	L	T					
6. Legg Mason Clearbridge Aggressive Growth Fund	A	Dividend	L	T					
7. E.I. DuPont De Nemours & Co. (common)	A	Dividend	J	T					
8. Chemours		None	J	T					
9. Cisco Systems	A	Dividend	J	T	Buy	01/21/16	J		
10. (H) Mineral interests, Tyler County, WV See below a - k		None							
11. - a. HG Energy, successor to East Resources, Inc.		None	J	W					
12. - b. Ergon Oil Purchasing	A	Royalty	J	W					
13. - c. CNX Gas, successor to Consol Gas Co.		None	J	W					
14. - d. Jay-Bee Production	A	Royalty	J	W					
15. - e. BD Oil Gathering Corp.	A	Royalty	J	W					
16. - f. Texas Keystone, Inc.		None	J	W					
17. - g. Petroedge Energy (X)		None	J	W					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

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05/1/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - h. Postrock Eastern Production		None	J	W					
19. - i. American Energy - Marcellus		None	J	W					
20. - j. Artero Resources Corp.	D	Royalty	J	W					
21. - k. Ascent Resources, LLC	A	Royalty	J	W					
22. - l. Diversified Oil & Gas	A	Royalty	J	W					
23. - m. EQT Production	A	Royalty	J	W					
24. Mineral Interests, Doddridge County, WV		None	J	W					
25. Mineral Interests, Wetzel County, WV		None	J	W					
26. Time Warner Cable Inc. (common) See Note/Part VIII	A	Dividend			Sold	05/18/16	K	A	
27. Charter Communications / See Note/Part VIII	A	Distribution	L	T	Buy	05/18/16	K		
28. Time Inc. (common)	A	Dividend	K	T	Buy	01/21/16	J		
29. Apple Inc.	A	Dividend	K	T					
30. Pepsico	A	Dividend	K	T					
31. American Century Equity Income Fund	A	Dividend	L	T					
32. Blackrock Global Allocation Fund	A	Dividend	L	T					
33. Prudential Global Real Estate Fund Class 2	A	Dividend	K	T					
34. Hess Corp.	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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35. Kraft Foods	A	Dividend	K	T					
36. Facebook		None	K	T					
37. Lazard Int'l Equity Fund	A	Dividend	K	T					
38. Ishares S&P Global Health Care Sector ETF	A	Dividend	J	T					
39. Ishares Trust SHS ETF	A	Dividend	J	T					
40. Ishares MSCI USA ESG Select ETF	A	Dividend	J	T					
41. Vanguard Div. Apprec. ETF	A	Dividend	J	T					
42. Ishares S&P Global Clean Energy ETF	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 26 - Time Warner Cable Inc. (common) - On 5/18/16 Charter Communications acquired Time Warner Cable Inc. The existing Time Warner Cable shares were converted to Charter Communications shares and the partial shares of Charter Communications were thereafter sold with a small cash distribution.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert B. King**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544