

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WALKER, CHARLES M.	2. Court or Organization USBC (M.D. TENN.)	3. Date of Report 01/12/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final	6. Reporting Period 01/01/2015 to 12/31/2016
	5b. ☐ Amended Report	
7. Chambers or Office Address 701 BROADWAY CUSTOMS HOUSE, 2D FLOOR NASHVILLE, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Partner	G Investment Group
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

WALKER, CHARLES M.

Date of Report

01/12/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	October 26 - 29, 2016	San Francisco, CA	Speaker at CLE Seminar	Lodging and travel
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
WALKER, CHARLES M.	01/12/2017

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Compass Emp US 500 Enhan Volatility Weighted A	B	Dividend	J	T					
2. Charles Schwab US REIT ETF	B	Dividend	J	T					
3. Powershares Fundame H Yield Corp ETF	B	Dividend	J	T					
4. SPDR S&P Global Dividend ETF	B	Dividend	J	T					
5. SPDR S&P 400 MID CAP Growth ETF	B	Dividend	J	T					
6. Schwab US Small Cap ETF	B	Dividend	J	T					
7. Guggenheim S&P 500 Equal Weight ETF	B	Dividend	J	T					
8. Schwab Int'l Small Cap EQY ETF	B	Dividend	J	T					
9. Schwab Fundamental US Small Com ETF	B	Dividend	J	T					
10. Wisdomtree Inter HDG QLYDIV GRT ETF	B	Dividend	J	T					
11. Schwab Emerging Markets Equity ETF	B	Dividend	J	T					
12. Powershares S&P Emerging Markets ETF	B	Dividend	J	T					
13. Schwab US Large Cap ETF	B	Dividend	J	T					
14. Guggenheim Multi Asset Income ETF	B	Dividend	J	T					
15. ALPS Emerging Sector DVDDOGS ETF	B	Dividend	J	T					
16. Schwab Int'l Equity ETF	B	Dividend	J	T					
17. Powershares Int'l DVDND ACHVRS ETF	B	Dividend	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

WALKER, CHARLES M.

Date of Report

01/12/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Schwab Fundamental INL Large Com ETF	B	Dividend	J	T					
19. Franklin INCM FD CL A	B	Dividend	J	T					
20. American Century UTIL FD INV	B	Dividend	J	T					
21. Forward INTL Real Estate A	B	Dividend	J	T					
22. PIMCO All Asset All Authority CL D	B	Dividend	J	T					
23. Loomis Sayles Core Plus Bond Fund CL A	B	Dividend	J	T					
24. Lord Abbett Bond Debenture Fund Class A	B	Dividend	J	T					
25. Oppenheimer Gold & Spec Minerals CL A	B	Dividend	J	T					
26. PIMCO INCM CL D	B	Dividend	J	T					
27. Templeton Global Bond Fund CL A	B	Dividend	J	T					
28. Compass EMP US 500 ENHAN Volatility Weighted A	B	Dividend	J	T					
29. PIMCO Real Estate Real Return Strat D	B	Dividend	J	T					
30. Ivy Asset Strategy Fund CL A	B	Dividend	J	T					
31. Pioneer Strategic Income FD CL A	B	Dividend	J	T					
32. Hennessy Cornerstone MID CAP 30 INV	B	Dividend	J	T					
33. Compass EMP US SM CAP 500 Volatility Weighted	B	Dividend	J	T					
34. Forward INTL Dividend FD INV CL	B	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: WALKER, CHARLES M., 01/12/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A. Description of Assets, B. Income during reporting period, C. Gross value at end of reporting period, D. Transactions during reporting period. Rows 35-51 list various ETFs and their corresponding income and transaction data.

Table with 6 columns: 1. Income Gain Codes, 2. Value Codes, 3. Value Method Codes, 4. Income Gain Codes, 5. Value Codes, 6. Value Method Codes. Rows 1-3 define the codes for income, value, and method.

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. PIMCO StocksPlus Small D	B	Dividend	J	T					
53. Salient INTL Dividend Signal INV	B	Dividend	J	T					
54. Fidelity Destiny II	C	Dividend	J	T					
55.									
56.									

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

WALKER, CHARLES M.

Date of Report

01/12/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

WALKER, CHARLES M.

Date of Report

01/12/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ CHARLES M. WALKER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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