

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BAILEY, FRANK J.	2. Court or Organization BANKRUPTCY COURT-MASSACHUSETTS	3. Date of Report 09/27/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2017 to 12/31/2017
	5b. ☒ Amended Report	
7. Chambers or Office Address JOHN W. MCCORMACK POST OFFICE AND COURTHOUSE 5 POSTOFFICE SQUARE BOSTON, MA 02108		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. BOARD CHAIR	IMMIGRANT LEARNING CENTER
2. TREASURER	MARCUS FOUNDATION
3. BOARD MEMBER	INSTITUTE FOR IMMIGRATION RESEARCH
4. GOVERNOR(FIRST CIRCUIT)	NATIONAL CONF. OF BANKRUPTCY JUDGES
5. CHAIR	NATIONAL CONF. FED. TRIAL JUDGES, ABA

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	AMERICAN BAR ASSOCIATION	FEBRUARY 1-3, 2017	MIAMI, FL	MID-YEAR MEETING	TRAVEL,HOTEL & MEALS
2.	AMERICAN BANKRUPTCY INSTITUTE	JULY 20-21, 2017	NEWPORT, RI	EDUCATIONAL PANEL	TRAVEL,HOTEL & MEALS
3.	AMERICAN BANKRUPTCY INSTITUTE	MAY 4-5, 2017	MASHANTUCKET, CT	EDUCATIONAL PANEL	TRAVEL, HOTEL & MEALS
4.	AMERICAN BANKRUPTCY INSTITUTE	MARCH 3-4, 2017	NEW YORK, NY	MOOT COURT COMPETITION	TRAVEL,HOTEL & MEALS
5.	NATIONAL CONFERENCE OF BANKRUPTCY JUDGES	MARCH 15-16, 2017	CHARLESTON, SC	MID-YEAR BOARD MEETING	TRAVEL, HOTEL & MEALS

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6.	AMERICAN BANKRUPTCY INSTITUTE	SEPTEMBER 22, 2017	ALEXANDRIA, VA	EDUCATIONAL PANEL	TRAVEL,HOTEL & MEALS
7.	NATIONAL CONF. BANKRUPTCY JUDGES	OCTOBER 7-11, 2017	LAS VEGAS, NV	EDUCATIONAL PRPGRAM	TRAVEL,HOTEL & MEALS
8.					
9.					
10.					
11.					
12.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. BANK OF AMERICA	B	Interest	M	T					
2. SANTANDER BANK	B	Interest	M	T					
3. CITIBANK	A	Interest			Closed	02/01/17	M		
4. HOSPITALITY TRUST	D	Dividend	L	T					
5. SENIOR HOUSING TRUST	D	Dividend	L	T					
6. PUTNAM MM CLASS A	A	Dividend	L	T					
7. FRANKLIN GROWTH	A	Dividend	K	T					
8. MFS GLOBAL TOTAL RETURN CLASS A	A	Dividend	K	T					
9. FRANKLIN MUTUAL SERIES MUTUAL SHARES CLASS A	A	Dividend	L	T					
10. PUTNAM MM CLASS A	A	Dividend	K	T					
11. FEDERATED INTERNATIONAL SMALL MID CO CLASS A	A	Dividend	K	T					
12. MASS INVESTORS TR CLASS A	A	Dividend	K	T					
13. MFS UTILITIES CLASS A	A	Dividend	L	T					
14. PUTNAM GLOBAL HEALTH CARE CLASS A	B	Dividend	K	T					
15. TEMPLETON WORLD CLASS A	B	Dividend	L	T					
16. RMR REAL ESTATE FUND	A	Dividend	J	T					
17. UBS PACE LARGE CO GROWTH EQUITY	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. UBS PACE INTL EMERGING CLASS P	A	Dividend	J	T					
19. UBS PACE LARGE CO VALUE CLASS P	A	Dividend	K	T					
20. UBS PACE INTL EQUITY CLASS P	A	Dividend	J	T					
21. UBS PACE SMALL MED CO GROWTH CLASS P	A	Dividend	J	T					
22. UBS PACE SMALL MED CO VALUE CLASS P	A	Dividend	J	T					
23. UBS PACE GLOBAL FIXED INCOME CLASS P	A	Dividend	J	T					
24. UBS PACE MUNI FIXED CLASS P	A	Dividend	J	T					
25. UBS PACE MTGE-BACK SEC	A	Dividend	J	T					
26. UBS PACE STRAT FIXED CLASS P	A	Dividend	J	T					
27. UBS PACE HIGH YIELD CLASS P	A	Dividend	J	T					
28. UBS PACE ALTERNATIVE STRAT CLASS P	A	Dividend	K	T					
29. FIDELITY FREEDOM 2025-SEP-IRA	B	Dividend	M	T					
30. WELLS FARGO -SENIOR PROPERTIES TRUST & HOSPITALITY PROPERTIES TRUST	A	Dividend	L	T					
31. PUTNAM MM CLASS A-IRA	A	Dividend	J	T					
32. PUTNAM DYNAMIC ASSET ALLOC GROWTH-IRA	A	Dividend	L	T					
33. PUTNAM EQUITY INCOME-IRA	A	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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34. FRANKLIN EQUITY INCOME-IRA	A	Dividend	K	T					
35. FRANKLIN INCOME-IRA	B	Dividend	K	T					
36. FEDERATED CAPITAL RESERVES	B	Dividend	J	T					
37. FRANKLIN FLEX CAP GROWTH	A	Dividend	K	T					
38. FRANKLIN DYNATECH	A	Dividend	J	T					
39. FRANKLIN SMALL MID CAP GROWTH	C	Dividend	K	T					
40. GROWTH FD OF AMERICA	A	Dividend	J	T					
41. IVY BALANCED	A	Dividend	J	T					
42. MFS GROWTH	A	Dividend	K	T					
43. MASS INVESTORS GROWTH	A	Dividend	K	T					
44. VICTORY MUNDER MULTI-CAP	A	Dividend	J	T					
45. MUTUAL SERIES BEACON	A	Dividend	K	T					
46. NEW PRESPECTIVE	A	Dividend	J	T					
47. VIRTUS STAT GROWTH	A	Dividend	J	T					
48. FRANKLIN FLEX CAP GROWTH	A	Dividend	K	T					
49. FRANKLIN DYNATECH	A	Dividend	K	T					
50. FRANKLIN SMALL MID CAP GROWTH	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

51. MFS GROWTH	A	Dividend	K	T					
52. MASS INVESTORS GROWTH	A	Dividend	K	T					
53. VICTORY MUNDER MULTI-CAP	A	Dividend	J	T					
54. MUTUAL SERIES BEACON	A	Dividend	L	T					
55. PUTNAM INTL GROWTH	A	Dividend	J	T					
56. AMERICAN FUNDS EUROPACIFIC GROWTH FUND	C	Dividend	N	T					
57. CAPITAL WORLD GROWTH & INCOME-SEP	C	Dividend	M	T					
58. WASHINGTON MUTUAL INVESTORS-SEP	C	Dividend	N	T					
59. INTERMED. BOND FD-SEP	B	Dividend	M	T					
60. ALLIANZ HIGH FIVE FIXED PERIOD ACCOUNT	A	Dividend	L	T					
61. ALLIANZ HIGH FIVE AZL MVP FUSION MODERATE	A	Dividend	M	T					
62. NORTHWESTERN MUTUAL LIFE-WHOLE LIFE POLICY	C	Dividend	M	T					
63. NEW HAMPSHIRE CONDO, WATERVILLE VALLEY, NH		None	K	W					
64. FIDELITY ADVISOR EQUITY GROWTH-RETIREMENT	A	Dividend	M	T					
65. ALLNZ NFJ DIV VALUE-RETIREMENT	B	Dividend	M	T					
66. FIDELITY MID CAP II A-RETIREMENT	B	Dividend	K	T					
67. JANUS OVERSEAS-RETIREMENT	B	Dividend	L	T					

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
68. ALLNZ NF J DIV VALUE-DEFERRED SAV	A	Dividend	K	T					
69. FIDELITY ADVISOR EQUITY GROWTH-DEFERRED SAV	A	Dividend	L	T					
70. FIDELITY ADVISOR MID CAP-DEFERRED SAV	A	Dividend	K	T					
71. JANUS OVERSEAS-DEFERRED SAV	A	Dividend	K	T					
72. FIDELITY MAGELLAN-IRA	A	Dividend	J	T					
73. SUNAMERICA FOCUSED DIV STRAT	A	Dividend	J	T					
74. PRIME MM FD RBC INVESTOR CLASS	A	Interest	J	T					
75. BLACKROCK BR MOD 17 AGE BASED	A	Dividend	L	T					
76. BLACKROCK BR MODE 17+ AGE BASED	A	Dividend	M	T					
77. GUARDIAN LIFE INS CO-WHOLE LIFE POLICY	D	Dividend	M	T					
78. ALLNZ NFJ SMALL CAP VALUE-DEFERRED	A	Dividend	K	T					
79. ALLNZ NFJ SMALL CAP VALUE A-RETIREMENT	A	Dividend			Redeemed	03/01/17	L		
80. FIDELITY EQUITY GROWTH A	A	Dividend	L	T					
81. FIDELITY FREEDOM 2020-SEP IRA	A	Dividend	J	T					
82. PERSHING GOVT MM	A	Dividend	J	T					
83. FIDELITY CASH RESERVES	A	Dividend	K	T					
84. INT COL/ACORN INTL A-RETIREMENT	A	Dividend			Redeemed	03/01/17	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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85. INTL COL/ACORN INTL A-DEFERRED	A	Dividend	J	T					
86. OPPENH DEVELOPING MARKETS- RETIREMENT	A	Dividend	K	T					
87. OPPENH DEVELOPING MARKETS- DEFERRED	A	Dividend	J	T					
88. CAPITAL ONE	A	Interest	M	T					
89. INVESCO AMERICAN FRANCHISE FD	A	Dividend	J	T					
90. OPPENH INTL GROWTH A-DEFERRED	A	Dividend			Redeemed	03/01/17	K		
91. OPPENH INTL GROWTH A- RETIREMENT	A	Dividend			Redeemed	03/01/17	L		
92. UBS PACE MORTGAGE-BACKED SEC FIXED	A	Dividend	J	T					
93. PIMCO INCOME BOND RETIREMENT FUND	A	Dividend	M	T					
94. PIMCO INCOME A DEFERRED	A	Dividend	K	T					
95. INVESCO AMERICAN FRANCHISE FUND	A	Dividend	J	T					
96. CAPE COD HOUSE-\$465,000-05/18/2015		None	N	R					
97. WELLS FARGO BANK	B	Interest	M	T	Open	06/01/17	M		
98. AIG FOCUSED DIV STRATEGY	A	Dividend	J	T	Buy	02/01/17	J		
99. COLUMBIA DIV INC A	A	Dividend	K	T	Buy	03/01/17	K		
100.									
101.									

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
102.									
103.									
104.									
105.									
106.									
107.									
108.									
109.									
110.									
111.									
112.									
113.									
114.									
115.									
116.									
117.									
118.									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
119.									
120.									
121.									
122.									
123.									
124.									
125.									
126.									
127.									
128.									
129.									
130.									
131.									
132.									
133.									
134.									
135.									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
136.									
137.									
138.									
139.									
140.									
141.									
142.									
143.									
144.									
145.									
146.									
147.									
148.									
149.									
150.									
151.									
152.									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
153.									
154.									
155.									
156.									
157.									
158.									
159.									
160.									
161.									
162.									
163.									
164.									
165.									
166.									
167.									
168.									
169.									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
170.									
171.									
172.									
173.									
174.									
175.									
176.									

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

BAILEY, FRANK J.

Date of Report

09/27/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FOR THE FOLLOWING PART 1 POSITIONS, THERE IS NO REPORTABLE ASSET;

IMMIGRANT LEARNING CENTER

MARCUS FOUNDATION

INSTITUTE FOR IMMIGRATION RESEACH

NATIONAL CONF. OF BANKRUPTCY JUDGES

NATIONAL CONF. FED. TRIAL JUDGES, ABA

FINANCIAL DISCLOSURE REPORT

Page 17 of 17

Name of Person Reporting

BAILEY, FRANK J.

Date of Report

09/27/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ FRANK J. BAILEY**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544