

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ArmenJr., Robert N.	2. Court or Organization United States Tax Court	3. Date of Report 03/29/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Special Trial Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
	5b. ☐ Amended Report	
7. Chambers or Office Address UNITED STATES TAX COURT 400 Second St., N.W. Washington, D.C. 20217		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|--|---|
| 1. Co-trustee (testamentary trust of family member (see Parts VII & VIII)) | testamentary trust created by a deceased family member See Parts VII (lines 63-72) and VIII |
| 2. | |
| 3. | |
| 4. | |
| 5. | |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|----|--|
| 1. | |
| 2. | |
| 3. | |

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Armen Jr., Robert N.	03/29/2019

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

1. Dominion Energy stock	C	Dividend	L	T					
2. AT&T stock	C	Dividend	M	T					
3. Marathon Oil Corporation stock	C	Dividend	L	T					
4. Marathon Petroleum Corporation stock	D	Dividend	M	T					
5. Glaxo-Smith-Klein stock	A	Dividend			Sold	09/17/18	J	A	
6. Prudential Financial Inc. stock	A	Dividend	J	T					
7. American Electric Power stock	B	Dividend	K	T					
8. Walt Disney Company stock	A	Dividend	K	T					
9. Chevron Corporation stock	D	Dividend	M	T					
10. NRG Energy Inc. stock	A	Dividend	J	T					
11. Frontier Communication Inc. stock	A	Dividend	J	T					
12. PPG Industries Inc. stock	B	Dividend	K	T					
13. PPL Corporation stock	D	Dividend	M	T					
14. Merck & Company stock	A	Dividend	K	T					
15. U.S. Steel Corporation stock	A	Dividend	J	T					
16. CenterPoint Energy Inc. stock	C	Dividend	M	T					
17. Verizon Communications Inc. stock	D	Dividend	M	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Comcast Corporation stock	A	Dividend	K	T					
19. Exxon-Mobil Corporation stock	B	Dividend	K	T					
20. Royal Dutch Shell PLC stock	A	Dividend			Sold	01/12/18	K	C	
21. Apple Inc. stock	B	Dividend	K	T					
22. Dreyfus Municipal Bond Fund	C	Dividend	L	T					
23. Dreyfus Disciplined Stock Fund	C	Dividend	K	T					
24. Dreyfus Opportunistic Mid-Cap Value Fund	A	Dividend	K	T					
25. T. Rowe Price International Stock Fund	A	Dividend	K	T					
26. T. Rowe Price Capital Appreciation Fund	B	Dividend	K	T					
27. T. Rowe Price VA Tax-Free Bond Fund	C	Dividend	M	T					
28. Vanguard Federal Money Mkt Fund	A	Dividend	J	T					
29. Vanguard Long-Term Municipal Bond Fund	D	Dividend	M	T	Buy (add'l)	01/17/18	K		
30.					Buy (add'l)	09/20/18	J		
31. Vanguard International Growth Fund	C	Dividend	L	T					
32. Vanguard Index Fund - Small Cap Portfolio Fund	C	Dividend	M	T					
33. Mairs & Power Growth Fund	A	Dividend	J	T					
34. Columbia-Threadneedle Contrarian Core Fund	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. Columbia-Threadneedle Tax-Exempt Fund	B	Dividend	K	T					
36. DWS Municipal Bond Fund	A	Dividend	K	T					
37. Delaware Health Care Fund	C	Dividend	L	T					
38. Fidelity Over-the-Counter Fund	B	Dividend	K	T					
39. Capital One Bank	A	Interest	L	T					
40. Senate Federal Credit Union	A	Interest			Closed	03/16/18	L	A	
41. Bank of Scotland/ Halifax PLC	A	Interest	J	T					
42. U.S. Government obligations	B	Interest	K	T					
43. Virginia Commonwealth Bank	A	Interest	K	T					
44. PNC Bank	A	Interest	J	T					
45. Vanguard Real Estate Index Fund	C	Dividend	L	T					
46. Vanguard Dividend Growth Fund	B	Dividend	K	T					
47. Vanguard Equity Income Fund	A	Dividend	K	T					
48. Vanguard 500 Index Fund	A	Dividend	K	T					
49. Vanguard Index Trust - European Stock Portfolio Fund	A	Dividend	K	T					
50. Vanguard Growth & Income Fund	A	Dividend	J	T					
51. Vanguard Balanced Index Fund	A	Dividend	K	T					

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52. Vanguard GNMA Fund	A	Dividend	K	T					
53. Vanguard Long-Term Corporate Bond Fund	B	Dividend	K	T					
54. Vanguard Health Care Fund	C	Dividend	M	T	Sold (part)	12/17/18	J	A	
55. Vanguard Energy Fund	A	Dividend	J	T					
56. Vanguard Diversified Equity Fund	B	Dividend	K	T	Sold (part)	12/17/18	J	A	
57. Vanguard Balanced Index Fund	A	Dividend	J	T					
58. Vanguard High Dividend Yield Index Fund	A	Dividend	J	T					
59. Vanguard Mid-Cap Index Fund	A	Dividend	J	T					
60. BCE Inc Escrow Convertible notes	A	Interest			Redeemed	08/15/18	J	A	
61. T. Rowe Price Cash Reserves Fund	D	Dividend	N	T					
62. T. Rowe Price Government Money Fund	A	Dividend	M	T	Buy	11/19/18	M		
63. Vanguard Health Care Fund (see Part VIII)	B	Dividend	K	T	Sold (part)	09/10/18	J	A	
64. Vanguard Long-Term Bond Index Fund (see Part VIII)	B	Dividend	K	T					
65. Vanguard Long-Term Tax-Exempt Fund (see Part VIII)	B	Dividend	K	T					
66. Vanguard Federal M/M Fund (see Part VIII)	A	Dividend	J	T					
67. AT&T stock (see Part VIII)	B	Dividend	K	T					
68. Chevron Corporation. stock (see Part VIII)	B	Dividend	K	T					

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Dominion Energy stock (see Part VIII)	B	Dividend	K	T					
70. PPL Corporation stock (see Part VIII)	B	Dividend	K	T					
71. Royal Dutch Shell PLC stock (see Part VIII)	B	Dividend	K	T	Sold (part)	09/10/18	J	A	
72. Verizon Communications Inc. stock (see Part VIII)	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. "Positions":

Filer is co-trustee of a testamentary trust created by filer's deceased [REDACTED] for the principal benefit of [REDACTED] surviving [REDACTED]. Other co-trustee is filer's [REDACTED]. Neither co-trustee is compensated, and neither trustee has any equitable interest whatsoever (whether remote, contingent, reversionary, or otherwise) in either the trust corpus or the trust income. Trust assets are all either shares in mutual funds or stock in Fortune 500 companies, as per lines 63-72 of Part VII of this Report and as noted below.

Part VII. "Investments and Trusts":

Savings accounts and some investments automatically reinvest earnings, adding same to principal. Some brokerage-related income is retained and reinvested. Certain assets in IRAs may be sold in part to generate the required minimum distribution as required by IRS rules and regulations because of the filer's age. Government savings bonds (e.g., EE bonds) are redeemed upon maturity.

Line 40: All accounts with credit union closed. Only income received during the filing period from these accounts was interest that was earned prior to closing of the accounts. No gain or loss realized on closing of the accounts, which were interest-bearing only and did not appreciate or depreciate in value as they were all fixed-income/time-deposit in nature.

Lines 63-72: Assets held by the trust that is referenced above and in Part I of this report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert N. ArmenJr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544