

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Ball, Franklin K.	2. Court or Organization U.S. District Court - Mississippi Southern District	3. Date of Report 07/10/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. District Court Jackson Federal Courthouse 501 East Court Street, Suite 5.150 Jackson, MS 39201		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions Checking Account #1	A	Interest	J	T					
2. Bankplus Checking Account #1	A	Interest	J	T					
3. Solomon Financial Fidelity Investments #1		None			Closed	03/14/18	J		
4. - FCASH Account	A	Dividend			Sold	03/14/18	J	A	
5. Charles Schwab Investment Account (IRA) #1		None							
6. -Cash Money Market	A	Int./Div.	J	T					
7. 25% interest in Brier Creek Investments, LLC (Holds Real Estate in MS	E	Distribution	N	W					
8. Mortgage Company Escrow Accounts for Insurance and Taxes		None	J	W					
9. Stephen's Investment Account #1		None							
10. - American Funds Growth Fund of America Class A	A	Dividend	J	T	Sold (part)	07/16/18	J	A	
11. - American Funds New Perspective Fund Class A	A	Dividend			Sold	06/05/18	J	A	
12. - American Funds Washington Mutual Fund Class A	A	Dividend	J	T	Sold (part)	07/16/18	J	A	
13. Stephen's Investment Account #2		None							
14. - American Funds Growth Fund of America Class A	A	Dividend	J	T	Sold (part)	10/17/18	J	A	
15. -American Funds New Perspective Fund Class A	A	Dividend	J	T	Sold (part)	02/07/18	J	A	
16.					Sold (part)	07/16/18	J	A	
17. - American Funds Washington Mutual Fund Class A	A	Dividend	K	T	Sold (part)	04/02/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Stephen's Investment Account #3		None							
19. - American Funds Growth Fund of America Class A	A	Dividend	K	T	Buy (add'l)	12/27/18	J		
20.					Sold (part)	04/02/18	J	A	
21. - American Funds New Perspective Fund Class A	A	Dividend	K	T	Buy (add'l)	12/27/18	J		
22. - American Funds Washington Mutual Fund Class A	A	Dividend	K	T	Buy (add'l)	12/27/18	J		
23.					Sold (part)	04/02/18	J	A	
24. Stephen's Investment Account #4		None							
25. - American Funds Growth Fund of America Class A	A	Dividend	K	T	Buy (add'l)	12/27/18	J		
26. - American Funds New Perspective Fund Class A	A	Dividend	K	T	Buy (add'l)	12/27/18	J		
27.					Sold (part)	07/16/18	J	A	
28. - American Funds Washington Mutual Fund Class A	A	Dividend	K	T	Buy (add'l)	12/27/18	J		
29. Stephen's Investment Account #5		None							
30. - American Funds Growth Fund of America Class A	A	Dividend	K	T	Buy (add'l)	12/27/18	J		
31. - American Funds New Perspective Fund Class A	A	Dividend	K	T	Buy (add'l)	12/27/18	J		
32.					Sold (part)	07/16/18	J	A	
33. - American Funds Washington Mutual Fund Class A	A	Dividend	K	T	Buy (add'l)	12/27/18	J		
34. Denbury Onshore Minerals located in Madison County, MS	B	Royalty	J	W					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. Bancorp South Checking Account #1	A	Interest	J	T					
36. Thiugpen Group Fidelity Financial Advisors - Rollover IRA		None							
37. - Fidelity Govt Cash Reserve (FDRXX)	A	Dividend	J	T					
38. - Eaton Vance Floating Rate Fund (EVBLX)	A	Dividend			Sold	06/05/18	J	A	
39. - Conestoga Small Cap Investor Class (CCASX)	A	Dividend			Sold	06/05/18	J	A	
40. - Templeton Global Bond Fund (TPINX)	A	Dividend			Sold	06/05/18	J	A	
41. - TIAA- CREF Growth & Income Inst Fund (TIGRX)	A	Dividend			Buy (add'l)	01/26/18	J		
42.					Sold	06/05/18	J	A	
43. - Oppenheimer Developing Markets Y Fund (ODVYX)	A	Dividend			Sold	06/05/18	J	A	
44. - Oppenheimer International Growth Y Fund (OIGYX)	A	Dividend			Sold	06/05/18	J	A	
45. - AM Century Real Estate (REACX)	A	Dividend			Sold	06/05/18	J	A	
46. - MFS International Value (MGIAX)	A	Dividend			Sold	06/05/18	J	A	
47. - Pimco Commodities Plus Strategy (PCLDX)	A	Dividend			Sold	06/05/18	J	A	
48. - Columbia Acorn Emerging Markets (CAGAX)	A	Dividend			Sold	06/05/18	J	A	
49. - Diamond Hill Small Mid Cap (DHPAX)	A	Dividend			Sold	06/05/18	J	A	
50. - Oppenheimer Intl Small Company (OSMAX)	A	Dividend			Sold	06/05/18	J	A	
51. - Gopldman Sachs US Equity (GSPAX)	A	Dividend			Buy (add'l)	01/25/18	J		

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52.					Sold	06/05/18	J	A	
53. - Eaton Vance GL Macro Absolute (EGRAX)	A	Dividend			Sold	06/05/18	J	A	
54. - JP MOrgan US Research Enhonced (JDEAX)	A	Dividend			Sold	06/05/18	J	A	
55. - Principal Mid Cap Fund (PEMGX)	A	Dividend			Sold	06/05/18	J	A	
56. - Baird Core Plus Bond Fd Investor Class (BCOSX)	A	Dividend			Sold	06/05/18	J	A	
57. - Third Avenue Real Estate Value (TVRVX)	A	Dividend			Sold	06/05/18	J	A	
58. - Fidelity GNMA Fund (FGOVX)	A	Dividend			Sold	06/05/18	J	A	
59. - Voya Small Comp (AESAX)	A	Dividend			Sold	06/05/18	J	A	
60. - Ishares Trest Edge Invt Grade (IGEB)	A	Dividend	J	T	Buy	07/17/18	J		
61. - Ishares Edge MSCI USA Quality Factor ETF (QUAL)	A	Dividend	J	T	Buy	06/05/18	J		
62.					Buy (add'l)	07/13/18	J		
63. - Ishares TR Edge US Fixd Inm (FIBR)	A	Dividend	J	T	Buy	06/05/18	J		
64. - Ishares Edge MSCI Mutifactor USA Smal CAP ETF (SMLF)	A	Dividend	J	T	Buy	06/05/18	J		
65. - Ishares Edge MSCI Mutifactor International ETF (INTF)	A	Dividend	J	T	Buy	06/05/18	J		
66. -Ishares Inc MLT FCTR Emg MKT (EMGF)	A	Dividend	J	T	Buy	06/05/18	J		
67. -Ishares Edge MSCI USA Momentum Factor ETF (MTUM)	A	Dividend	K	T	Buy	06/05/18	K		
68. - Ishares Edge MSCI USA Value Factor ETF (VLUE)	A	Dividend	J	T	Buy	06/05/18	J		

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. - Ishares US ETF TR SHT Mat BD ETF (NEAR)	A	Dividend	J	T	Buy	06/05/18	J		
70. - Ishares TR US Treas BD ETF (GOVT)	A	Dividend	J	T	Buy	06/05/18	J		
71. - Ishares TR JP MOR EM MK ETF (EMB)	A	Dividend	J	T	Buy	06/05/18	J		
72. -Ishares Inc MSCI USA Equal Weighted ETF Index FD (EUSA)	A	Dividend	J	T	Buy	06/05/18	J		
73. Stevens Investments Acct #6		None							
74. - American Funds - Washington Mutual Fund	A	Dividend	K	T	Buy (add'l)	12/27/18	J		
75. - American Funds - Growth Fund of America	A	Dividend	K	T	Buy (add'l)	12/27/18	J		
76. - American Funds - New Perspective Fund	A	Dividend	K	T	Buy (add'l)	12/27/18	J		
77. Bancorp South Ban - Checking account #2	A	Interest	J	T					
78. Trustmark Checking Account #1	A	Interest	J	T					
79. BankPlus Chekcing Account #2	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Franklin K. Ball**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544