

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Barksdale, Rhessa H.	2. Court or Organization U. S. Court of Appeals Fifth Circuit	3. Date of Report 05/09/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Circuit Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
	5b. ☐ Amended Report	
7. Chambers or Office Address 501 E. Court St. Suite 3.800 Jackson, MS 39201		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Barksdale, Rhesa H.

Date of Report

05/09/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.	Miss. Bar Convention	07/11/2018 - 07/14/2018	Sandestin, Florida	Speaker	Transportation, Lodging, Food
2.	Univ. Southern California	10/29/2018 - 11/03/2018	Los Angeles, California	Jurist in Residence	Transportation, Lodging, Food
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Barksdale, Rhesa H.	05/09/2019

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	UBS	Credit Line	L
2.	UBS	Credit Line	M
3.	First Commercial Bank	Loan	M
4.	Capital One	Credit Card	J
5.	Bank of America	Credit Card	K

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Barksdale, Rhesa H.

Date of Report

05/09/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Pecan Lane Apts. Ltd. (see section VIII)	A	Distribution	J	R					
2. RHB IRA: Amer. Fds Growth Fd of Amer. Mut. Fd Cl A		None			Sold (part)	01/19/18	J	D	
3.					Sold	01/22/18	K	E	
4. Amer. Fds. Growth Fd. of Amer. Mut. Fund Cl A	D	Dividend	M	T					
5. Amer. Fds Inc. Fund of America Cl A	A	Dividend	J	T					
6. IRA: Amer. Fds Growth Fund of Amer. Mut. Fd. Cl A	C	Dividend	K	T					
7. Amer. Fds Balanced Fund Cl A	D	Dividend	M	T					
8. Amer. Fds Capital Inc. Builder Fund Cl A	D	Dividend	M	T					
9. Amer. Fds Inc. Fund of America Cl A	D	Dividend	M	T	Sold (part)	09/10/18	J	B	
10. First Commercial Bank Cmn Stk	D	Dividend	M	T					
11. Amer. Fds Capital World Gwth & Inc. Fd Cl A	D	Dividend	M	T					
12. Brighthouse Financial (see section VIII)									
13. -BH Asset Allocation 100 Portfolio		None	L	T					
14. -MetLife Stock Index		None	K	T					
15. -MFS Total Return		None	K	T					
16. -Fidelity VIP Eq Inc.		None	K	T					
17. -BR Cap. App.		None	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Barksdale, Rhesa H.

Date of Report

05/09/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -L/S Small Cap		None	J	T					
19. MassMutual Flexible Variable Life Ins. (see section VIII)									
20. -MML Equity		None	K	T					
21. -Oppenheimer Global Securities		None	K	T					
22. -Fidelity VIP Contrafund		None	K	T					
23. -T.Rowe Price Equity Income		None	K	T					
24. -American Funds Growth Income		None	K	T					
25. RHB IRA: Amer. Fds. Balanced Fund Cl A (see section VIII)	D	Dividend	M	T					
26. IRA: Amer. Fds. AMCAP Fd. Cl A	C	Dividend	K	T					
27. RHB IRA: Amer. Fds. AMCAP Fd. Cl A	D	Dividend	M	T					
28. R'evolution Seafood Restaurant at Renaissance, LLC		None			Sold	12/31/18	L		
29. RHB IRA: Amer. Fds. Cap. Inc. Builder Fd. Cl A	B	Dividend	K	T					
30. IRA: Amer. Fds. Cap. Inc. Builder Fd. Cl A	A	Dividend	J	T					
31. IRA: Amer. Fds. Balanced Fund Cl A	A	Dividend	K	T					
32. RHB IRA: Amer. Funds Fund. Invstrs Fd. Cl A	C	Dividend	K	T	Buy	01/22/18	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Barksdale, Rhesa H.

Date of Report

05/09/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Continuation of Section VII:

Line 1: Purchased in 1984; \$13,980

Lines 11-17: The values provided in lines 13-18 are from the most recent "Your Annual Statement of Values", as of 27 August 2018

Line 18: The values provided in lines 20-24 are from the most recent "Policy Annual Report", as of 21 December 2018

Line 24: "RHB IRA" - Rhesa H. Barksdale IRA with UBS Financial Services; Jackson, Mississippi

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Barksdale, Rhesa H.

Date of Report

05/09/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Rhesa H. Barksdale**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544