AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Bea, Carlos T.	U.S. Court of Appeals for the Ninth Circuit	07/10/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2018 **to** 12/31/2018

7. Chambers or Office Address

U.S. Court of Appeals for the Ninth Circuit
95 Seventh Street
San Francisco, CA 94103

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Managing member	[redacted]
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	1/23/1990	Judges Retirement Systems, State of California (PERS)
2.	1/23/1990	City and County of San Francisco Deferred Compensation Plan (Aetna)
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2018	San Francisco Judges' Retirement (pension)	$105,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Federalist Society	January 26-28, 2018	Simi Valley, CA	Moderate panel	Transportation, meals, and lodging
2.	Federalist Society	November 15-17, 2018	Washington, DC	National Lawyers Convention	Transportation, meals, and lodging
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Bea, Carlos T.	07/10/2019

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Banco Santander (cash)	A	Interest	J	T					
2. [redacted]	G	Distribution	O	V					
3. Trees (Spain)		None	N	W					
4. TRUST ACCT #1 (H)									
5. UBS Bank USA FDIC Insured Deposit (cash) (X)	A	Interest	J	T					
6. UBS Tax-Free Reserves Fd Cl A (STFXX) (cash) (X)	B	Dividend	M	T					
7. JPMorgan Chase (JPM) (X)	C	Dividend	M	T					
8. Ishares Core High Dividend (HDV)	E	Dividend	N	T					
9. SPDR S&P 500 ETF Tr (SPY) (X)	E	Dividend	O	T					
10. Dodge & Cox Stock Fund (DODGX)	B	Dividend	K	T					
11. California Pub Works 5%, Due 10/01/21 (130685WJ5)	B	Interest	K	T					
12. California St Gen Oblig 5%, due 04/01/20 (13063A4K7)	B	Interest	K	T					
13. California St Pub Works, 5%, Due 04/01/20 (1306852T6)	B	Interest	L	T					
14. Cupertino Cal 5%, Due 08/01/20 (231237XV5)	B	Interest	K	T					
15. East Bay Ca Mud Water 4%, Due 06/01/21 (271014VG4)	A	Interest	K	T					
16. Campbell CA Un High 5% 08/01/21 (134159UR1)	A	Interest	K	T	Buy	07/25/18	K		
17. San Ramon Valley Cal 4%, Due 08/01/21 (799408P52)	B	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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18. State of California 5%, Due 10/01/21 (13063BPU0)	B	Interest	K	T					
19. Los Angeles CA 5%, Due 06/01/22 (53945CBN9)	B	Interest	K	T					
20. East Bay Cal Mut Wtr 5% 06/01/22 (271014XW7)	A	Interest	K	T	Buy	07/23/18	K		
21. Napa Valley Ca 4%, Due 08/01/22 (6303615K5)	A	Interest	K	T					
22. Huntington Beach CA 5%, Due 08/01/22 (446222UC3)	B	Interest	K	T					
23. California St Pub Works 5%, Due 03/01/23 (13068LDX0)	B	Interest	K	T					
24. San Francisco CA 5%, Due 06/15/23 (797646QD1)	B	Interest	K	T					
25. San Diego CA Cmnty 5% 08/01/23 (797272PP0)	A	Interest	K	T	Buy	07/25/18	K		
26. Huntington Beach CA 5%, Due 08/01/23 (446222UD1)	B	Interest	L	T					
27. Bakersfield CA Wastewtr 5% 09/15/23 (05753PCA8)	A	Interest	K	T	Buy	07/25/18	K		
28. Los Angeles CA 5%, Due 07/01/24 (544495ZE7)	B	Interest	L	T					
29. Metropolitan Wtr Dist 5% Due 07/01/24 (59266TLU3)		None	K	T	Buy	07/24/18	K		
30. Santa Clara CA 5%, Due 08/01/24 (801546NF5)	B	Interest	K	T					
31. California Infrastructure 5%, Due 10/01/24 (13034AEF3)	B	Interest	K	T					
32. Bay Area Toll Auth CA 5% 04/01/25 (072024UY6)	A	Interest	K	T	Buy	08/01/18	K		
33. Los Angeles CA 5%, Due 07/01/25 (544525QP6)	B	Interest	K	T					
34. Santa Monica-Malibu USD 4% 07/01/25 (802498PY5)		None	K	T	Buy	07/24/18	K		

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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35. Pacific Grove CA 5%, Due 08/01/25 (694393NB1)	A	Interest	K	T					
36. Ukiah CA 4%, Due 08/01/25 (903698DT2)	B	Interest	L	T					
37. University of CA, 5%, Due 05/15/26 (91412GUC7)	B	Interest	L	T					
38. Oxnard Cal UHSD 5% 08/01/26 (692039MD9)	A	Interest	K	T	Buy	07/31/18	K		
39. Sacramento Mun Util Dist 5% 08/15/26 (786005WM6)	A	Interest	K	T	Buy	07/25/18	K		
40. Bay Area Water Supply, 5%, Due 10/01/26 (072031BE6)	C	Interest	L	T					
41. San Mateo CA 5%, Due 06/01/27 (799054GZ8)	C	Interest	M	T					
42. Alameda County CA 5.250%, Due 12/01/27 (010831CB9)	C	Interest	L	T					
43. California St 5%, Due 08/01/28 (13063A2V5)	B	Interest			Redeemed	10/18/18	K		
44. Bakersfield 5% Due 09/15/28 (05753PCF7)	B	Interest	K	T					
45. California St 5%, Due 11/01/28 (13077CUL6)	B	Interest	K	T					
46. Los Angeles CA 5%, Due 08/01/29 (54438CTD4)	B	Interest	K	T					
47. Napa Valley 5%, Due 08/01/29 (6303616Y4)	B	Interest	K	T					
48. State of California 5.25%, Due 10/01/29 (13063BAS1)	B	Interest	K	T					
49. Northern Ca Pwr 5%, Due 07/01/30 (664845EH3)	B	Interest	K	T					
50. California St 5% Due 08/01/30 (13063C6F2)	B	Interest	L	T	Buy	02/12/18	L		
51. Franklin CA High Yield Muni (FCAMX)	E	Interest	O	T	Buy (add'l)	07/06/18	K		

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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52. Franklin Global Bond Fund (TPINX)	E	Interest	O	T	Buy (add'l)	07/06/18	K		
53. TRUST ACCT #2 (H)									
54. UBS Money Fund, Inc. Deposit A/C (cash)	A	Interest	K	T					4
55. Altria Group (MO)	C	Dividend	L	T	Sold (part)	01/10/18	K	D	
56.					Sold (part)	01/11/18	K	C	
57.					Buy (add'l)	02/05/18	J		
58.					Sold (part)	03/07/18	K	D	
59.					Buy (add'l)	07/09/18	J		
60. Apple Inc (AAPL)	B	Dividend	M	T	Buy	02/08/18	L		
61.					Buy (add'l)	03/07/18	K		
62.					Buy (add'l)	07/09/18	J		
63. Berkshire Hathaway (BRK.B)		None	L	T	Buy (add'l)	02/05/18	J		
64.					Buy (add'l)	07/09/18	J		
65. Blackrock Inc (BLK)	C	Dividend	L	T	Buy (add'l)	02/05/18	J		50
66.					Buy (add'l)	07/09/18	J		
67. CA Inc (CA)	B	Dividend			Buy (add'l)	07/09/18	J		
68.					Sold	11/05/18	M	E	

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69. Carinval Corp (CCL)	C	Dividend	M	T	Sold (part)	02/02/18	J	A	
70.					Buy (add'l)	02/05/18	J		
71.					Buy (add'l)	07/09/18	J		
72.					Buy (add'l)	12/21/18	K		
73. Chevron Corp (CVX)	B	Dividend	L	T	Buy (add'l)	07/09/18	J		
74. Cinn Financial Corp (CINF)	B	Dividend	L	T	Buy (add'l)	02/05/18	J		
75.					Buy (add'l)	07/09/18	J		
76. Cisco Systems (CSCO)	C	Dividend	M	T	Buy (add'l)	02/05/18	J		
77.					Buy (add'l)	07/09/18	J		
78. Coca Cola Co (KO)	B	Dividend	L	T	Buy (add'l)	02/05/18	J		62
79.					Buy (add'l)	07/09/18	J		
80. Crown Castle Int'l (CCI)	C	Dividend	L	T	Buy (add'l)	02/05/18	J		
81.					Buy (add'l)	07/09/18	J		
82. Diageo PLC (DEO)	B	Dividend	L	T	Buy (add'l)	02/05/18	J		
83.					Buy (add'l)	07/09/18	J		
84. Domimion Resources (D)	B	Dividend	K	T	Buy (add'l)	07/09/18	J		
85. Duke Energy Corp (DUK)	B	Dividend	L	T	Buy (add'l)	07/09/18	J		

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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86. Fastenal Co (FAST)		None	L	T	Buy	11/28/18	K		
87.					Buy (add'l)	11/29/18	L		
88. Franklin Resources Inc (BEN)		None	L	T	Buy	11/28/18	K		
89.					Buy (add'l)	12/11/18	K		
90. General Dynamics (GD)	B	Dividend	L	T	Buy (add'l)	02/05/18	J		
91.					Buy (add'l)	07/09/18	J		
92.					Sold (part)	11/28/18	K	D	
93.					Sold (part)	12/11/18	K	D	
94. General Electric (GE)	A	Dividend			Buy (add'l)	02/05/18	J		
95.					Sold	02/08/18	K		
96. General Mills (GIS)	A	Dividend			Buy (add'l)	02/05/18	J		
97.					Sold (part)	03/07/18	K		
98.					Sold (part)	03/08/18	J		
99.					Sold	04/05/18	K		
100. Hasbro Inc (HAS)	A	Dividend	K	T	Buy (add'l)	07/09/18	J		
101. Intel Corp (INTC)	B	Dividend	L	T	Buy (add'l)	07/09/18	J		
102. Johnson & Johnson (JNJ)	B	Dividend	L	T	Buy	08/07/18	L		

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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103.					Buy (add'l)	08/16/18	K		
104. Kinder Morgan (KMI)	B	Dividend	K	T	Buy (add'l)	07/09/18	J		
105. Lilly Ely & Co (LLY)	A	Dividend			Buy (add'l)	02/05/18	J		
106.					Sold (part)	02/08/18	K	B	
107.					Sold (part)	03/07/18	K		
108.					Sold	03/08/18	J		
109. Lowes Companies (LOW)	B	Dividend	L	T	Buy (add'l)	07/09/18	J		
110. Merck & Co (MRK)	B	Dividend	M	T	Buy (add'l)	02/05/18	J		
111.					Buy (add'l)	07/09/18	J		
112. Microsoft Corp (MSFT)	B	Dividend	L	T	Buy (add'l)	02/05/18	J		98
113.					Buy (add'l)	07/09/18	J		
114.					Sold (part)	11/28/18	K	E	
115.					Sold (part)	12/11/18	K	E	
116. Nestle SA (NSRGY)		None	L	T	Buy	11/29/18	K		
117.					Buy (add'l)	12/11/18	K		
118. Newmarket Corp (NEU)	A	Dividend	L	T					
119. Norfolk Southern (NSC)	C	Dividend	M	T	Buy (add'l)	07/09/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	11/28/18	K	D	
121.					Sold (part)	12/11/18	K	D	
122. Paccar Inc (PCAR)	B	Dividend	L	T	Buy	03/07/18	K		
123.					Buy (add'l)	03/08/18	K		
124.					Buy (add'l)	07/09/18	J		
125.					Buy (add'l)	08/16/18	K		
126. Paychex Inc (PAYX)	B	Dividend	L	T	Buy (add'l)	02/05/18	J		
127.					Buy (add'l)	07/09/18	J		
128. Pfizer Inc (PFE)	C	Dividend	L	T	Buy (add'l)	02/05/18	J		
129.					Buy (add'l)	07/09/18	J		
130. Philip Morris (PM)	B	Dividend	K	T	Buy (add'l)	07/09/18	J		
131. Target Corp (TGT)	C	Dividend	K	T	Buy (add'l)	02/05/18	J		
132.					Buy (add'l)	07/09/18	J		
133.					Sold (part)	08/16/18	L	E	
134. Texas Instruments (TXN)		None	L	T	Buy	11/28/18	K		
135.					Buy (add'l)	12/11/18	K		
136. UPS (UPS)	C	Dividend	L	T	Buy	01/10/18	K		

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
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3 Value Method Codes (See Column C2)
Q =Appraisal
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	01/11/18	K		
138.					Buy (add'l)	02/05/18	J		
139.					Buy (add'l)	02/08/18	K		
140.					Buy (add'l)	07/09/18	J		
141. Verizon Communications (VZ)	C	Dividend	L	T	Buy (add'l)	02/05/18	J		
142.					Buy (add'l)	07/09/18	J		
143. Wells Fargo & Co (WFC)	C	Dividend	M	T	Buy (add'l)	02/05/18	J		
144.					Buy (add'l)	07/09/18	J		
145. TRUST ACCT #3 (H)									
146. UBS Money Fund, Inc. Deposit A/C (cash)	A	Dividend	K	T					4
147. Adobe Systems (ADBE)		None	K	T	Buy (add'l)	02/05/18	J		39
148.					Sold (part)	06/19/18	J	A	
149.					Buy (add'l)	07/09/18	J		
150.					Sold (part)	10/29/18	J	B	
151. Akamai Tech (AKAM)		None	K	T	Sold (part)	05/03/18	J	A	40
152.					Buy (add'l)	07/09/18	J		
153.					Sold (part)	10/09/18	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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154.					Sold (part)	12/03/18	J	A	
155. Alexion Phar (ALXN)		None	K	T	Buy (add'l)	03/20/18	J		41
156.					Buy (add'l)	07/09/18	J		
157. Alibaba Group Holdings LTD (BABA)		None	J	T	Buy	08/28/18	J		
158.					Buy (add'l)	08/29/18	J		
159.					Buy (add'l)	09/20/18	J		
160.					Buy (add'l)	09/27/18	J		
161.					Buy (add'l)	10/15/18	J		
162. Alphabet Inc CL A (GOOGL)		None	K	T	Buy (add'l)	07/09/18	J		42
163. Alphabet Inc CL C (GOOG)		None	K	T	Buy (add'l)	07/09/18	J		43
164. Amazon.com (AMZN)		None	L	T	Sold (part)	03/09/18	J	A	46
165.					Buy (add'l)	12/26/18	J		
166. American Express (AXP)	A	Dividend	K	T	Buy (add'l)	07/09/18	J		47
167. Anheuser Busch (BUD)	A	Dividend	K	T	Buy (add'l)	02/05/18	J		48
168.					Buy (add'l)	05/16/18	J		
169. Apple Inc (APPL)	A	Dividend	K	T	Buy (add'l)	02/05/18	J		45
170.					Sold (part)	05/09/18	J	C	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	07/03/18	J		
172.					Buy (add'l)	07/09/18	J		
173.					Buy (add'l)	09/17/18	J		
174.					Buy (add'l)	09/28/18	J		
175. Biogen (BIIB)		None	K	T	Buy (add'l)	07/09/18	J		53
176. Biomarin Pharmaceutical Inc (BMRN)		None	J	T	Buy	05/02/18	J		
177.					Buy (add'l)	05/08/18	J		
178.					Buy (add'l)	05/14/18	J		
179.					Buy (add'l)	05/25/18	J		
180.					Buy (add'l)	06/19/18	J		
181.					Buy (add'l)	09/11/18	J		
182.					Buy (add'l)	10/30/18	J		
183. Blackrock Inc (BLK)	A	Dividend	K	T	Sold (part)	02/02/18	J	A	50
184.					Buy (add'l)	07/09/18	J		
185. Caterpillar Inc (CAT)	A	Dividend	K	T	Buy	02/08/18	J		
186.					Buy (add'l)	02/13/18	J		
187.					Buy (add'l)	02/26/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	03/05/18	J		
189.					Buy (add'l)	03/15/18	J		
190.					Buy (add'l)	04/30/18	J		
191.					Buy (add'l)	07/09/18	J		
192. Celgene (CELG)		None	K	T	Buy (add'l)	02/05/18	J		56
193. Chipotle Mexican (CMG)		None	K	T	Buy (add'l)	02/05/18	J		58
194.					Sold (part)	06/14/18	J	B	
195.					Sold (part)	08/20/18	J	A	
196.					Buy (add'l)	10/10/18	J		
197. Coca Cola Co (KO)	A	Dividend	K	T	Buy (add'l)	07/09/18	J		62
198. Comcast (CMCSA)	A	Dividend	K	T	Buy (add'l)	07/09/18	J		64
199. Costco Whoesale (COST)	A	Dividend	K	T	Sold (part)	08/29/18	J	A	67
200. CVS Healthcare (CVS)	A	Dividend			Sold (part)	03/08/18	J		68
201.					Sold (part)	03/15/18	J		
202.					Sold (part)	04/19/18	J		
203.					Sold (part)	05/07/18	J		
204.					Sold (part)	05/08/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold	05/09/18	J		
206. Dentsply Sirona (XRAY)	A	Dividend			Buy (add'l)	01/26/18	J		69
207.					Buy (add'l)	07/09/18	J		
208.					Sold (part)	08/09/18	J		
209.					Sold (part)	08/29/18	J		
210.					Sold (part)	09/12/18	J		
211.					Sold (part)	09/17/18	J		
212.					Sold (part)	09/20/18	J		
213.					Sold (part)	09/24/18	J		
214.					Sold	09/25/18	J		
215. EBAY (EBAY)		None			Sold (part)	05/10/18	J	A	73
216.					Sold (part)	05/25/18	J	A	
217.					Sold (part)	06/06/18	J	A	
218.					Sold (part)	06/18/18	J	A	
219.					Sold (part)	06/27/18	J	A	
220.					Sold	06/28/18	J	A	
221. Ecolab Inc (ECL)	A	Dividend	K	T	Buy (add'l)	02/05/18	J		74

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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222.					Buy (add'l)	07/09/18	J		
223. Equinix Inc Reit (EQIX)	A	Dividend	K	T	Buy	02/20/18	J		
224.					Buy (add'l)	03/15/18	J		
225.					Buy (add'l)	06/25/18	J		
226.					Buy (add'l)	10/18/18	J		
227. Facebook Inc (FB)		None	K	T	Buy (add'l)	04/30/18	J		78
228.					Buy (add'l)	07/09/18	J		
229.					Buy (add'l)	08/27/18	J		
230.					Buy (add'l)	09/27/18	J		
231.					Buy (add'l)	11/08/18	J		
232.					Buy (add'l)	11/19/18	J		
233. Grainger WW (GWW)	A	Dividend	K	T	Sold (part)	09/04/18	J	A	83
234.					Buy (add'l)	10/19/18	J		
235.					Buy (add'l)	10/23/18	J		
236. Home Depot (HD)	A	Dividend	K	T	Sold (part)	03/15/18	J	A	86
237.					Sold (part)	10/10/18	J	A	
238. Honeywell Int'l (HON)	A	Dividend			Sold	06/07/18	J	B	87

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. IHS Markit LTD (INFO)		None	K	T	Buy	10/29/18	J		
240.					Buy (add'l)	11/01/18	J		
241.					Buy (add'l)	11/16/18	J		
242.					Buy (add'l)	11/19/18	J		
243.					Buy (add'l)	11/23/18	J		
244.					Buy (add'l)	11/29/18	J		
245.					Buy (add'l)	11/30/18	J		
246.					Buy (add'l)	12/03/18	J		
247.					Buy (add'l)	12/19/18	J		
248.					Buy (add'l)	12/24/18	J		
249. Johnson & Johnson (JNJ)	A	Dividend	K	T	Buy (add'l)	02/05/18	J		89
250. Linde PLC (LIN)	A	Dividend	K	T					
251. MC Cormick & Co (MKC)	A	Dividend	J	T	Sold (part)	12/19/18	J	A	95
252.					Sold (part)	12/20/18	J	A	
253. Microsoft Corp (MSFT)	A	Dividend	L	T	Buy (add'l)	07/09/18	J		98
254. Monsanto (MON)	A	Dividend			Sold (part)	02/08/18	J	A	99
255.					Sold (part)	02/09/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256.					Sold (part)	02/12/18	J	A	
257.					Sold (part)	02/13/18	J	A	
258.					Sold (part)	02/21/18	J	A	
259.					Sold	02/22/18	J	A	
260. Nutanix Inc Cl A (NTNX)		None	J	T	Buy	10/09/18	J		
261.					Buy (add'l)	10/11/18	J		
262.					Buy (add'l)	10/15/18	J		
263.					Buy (add'l)	10/18/18	J		
264.					Buy (add'l)	10/25/18	J		
265.					Buy (add'l)	12/20/18	J		
266. Nvidia Corp (NVDA)	A	Dividend	J	T	Buy	11/20/18	J		
267.					Buy (add'l)	12/12/18	J		
268. Oracle Corp (ORCL)	A	Dividend	K	T	Buy (add'l)	02/02/18	J		106
269.					Buy (add'l)	03/22/18	J		
270. Palo Alto Network (PANW)		None	K	T	Sold (part)	06/19/18	J	B	107
271.					Buy (add'l)	07/09/18	J		
272. Paypal Holdings (PYPL)		None	K	T	Buy (add'l)	07/09/18	J		108

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273.					Sold (part)	11/20/18	J	A	
274. Pioneer Natural Resources (PXD)	A	Dividend	J	T	Sold (part)	01/25/18	J	A	112
275.					Sold (part)	05/22/18	J	A	
276.					Buy (add'l)	07/09/18	J		
277.					Sold (part)	10/18/18	J	A	
278. Praxair Inc (PX)	A	Dividend			Buy (add'l)	02/08/18	J		113
279.					Buy (add'l)	02/13/18	J		
280.					Buy (add'l)	07/09/18	J		
281.					Merged (with line 250)	10/30/18	K	B	
282. QUALCOMM Inc (QCOM)	A	Dividend	K	T	Buy	05/09/18	J		
283.					Buy (add'l)	05/10/18	J		
284.					Buy (add'l)	05/14/18	J		
285.					Buy (add'l)	05/25/18	J		
286.					Buy (add'l)	07/09/18	J		
287.					Buy (add'l)	08/17/18	J		
288. Red Hat (RHT)		None	K	T	Buy (add'l)	07/09/18	J		116
289.					Sold (part)	09/17/18	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Sold (part)	11/15/18	J	C	
291.					Sold (part)	11/28/18	J	B	
292.					Sold (part)	11/29/18	J	A	
293.					Sold (part)	12/12/18	J	B	
294. Regeneron Phar (REGN)		None	K	T					117
295. Rockwell Collins (COL) (X)	A	Dividend			Sold (part)	02/08/18	J	A	
296.					Sold (part)	02/09/18	J	A	
297.					Sold (part)	02/13/18	J	A	
298.					Sold (part)	02/20/18	J	A	
299.					Sold	02/26/18	J	A	
300. Schlumberger Ltd (SLB)	A	Dividend	J	T	Buy (add'l)	07/09/18	J		122
301.					Sold (part)	12/21/18	J		
302. Schwab, Charles (SCHW)	A	Dividend	K	T	Buy (add'l)	07/09/18	J		123
303. Splunk Inc (SPLK)		None	K	T	Sold (part)	03/28/18	J	A	124
304.					Buy (add'l)	07/09/18	J		
305.					Sold (part)	10/29/18	J	B	
306. Texas Instruments (TXN)	A	Dividend	K	T	Buy (add'l)	02/05/18	J		125

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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307.					Buy (add'l)	07/09/18	J		
308.					Sold (part)	09/11/18	J	A	
309. Thermo Fisher (TMO)	A	Dividend	K	T	Buy (add'l)	07/09/18	J		126
310. UPS (UPS)	A	Dividend	K	T	Buy (add'l)	07/09/18	J		134
311. United Health Group (UNH)	A	Dividend	K	T					135
312. Visa Inc (V)	A	Dividend	K	T	Buy (add'l)	07/09/18	J		139
313. VM Ware (VMW)	C	Dividend	J	T	Sold (part)	02/01/18	J	A	140
314.					Sold (part)	06/20/18	J	A	
315.					Sold (part)	10/11/18	J	A	
316. Walt Disney Co (DIS)	A	Dividend	K	T	Buy (add'l)	07/09/18	J		142
317. Yum China (YUMC)	A	Dividend	K	T	Buy (add'l)	07/09/18	J		146
318. Zoetis Inc (ZTS)	A	Dividend	K	T	Sold (part)	05/02/18	J	A	147
319.					Buy (add'l)	07/09/18	J		
320. IRA #1 (H)									
321. UBS Money Fund, Inc. Deposit A/C (cash)	A	Interest	K	T					4
322. Adobe Systems (ADBE)		None	K	T	Sold (part)	10/26/18	J	A	39
323.					Sold (part)	10/29/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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324. Akamai Tech (AKAM)		None	K	T	Sold (part)	05/03/18	J	B	40
325.					Sold (part)	10/09/18	J	A	
326.					Sold (part)	11/20/18	J	A	
327. Alexion Phar (ALXN)		None	K	T	Buy (add'l)	03/20/18	J		41
328. Alibaba Group Hldg (BABA)		None	J	T	Buy	08/28/18	J		
329.					Buy (add'l)	08/29/18	J		
330.					Buy (add'l)	09/20/18	J		
331.					Buy (add'l)	09/27/18	J		
332.					Buy (add'l)	10/15/18	J		
333. Alphabet Inc CL A (GOOGL)		None	K	T					42
334. Alphabet Inc CL C (GOOG)		None	K	T					43
335. Amazon.com (AMZN)		None	L	T	Sold (part)	03/09/18	J	C	46
336.					Sold (part)	09/06/18	J	C	
337.					Buy (add'l)	12/26/18	J		
338. American Express (AXP)	A	Dividend	K	T					47
339. Anheuser Busch (BUD)	A	Dividend	K	T	Buy (add'l)	03/08/18	J		48
340.					Buy (add'l)	05/16/18	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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341. Apple Inc (AAPL)	A	Dividend	K	T	Sold (part)	05/09/18	J	B	45
342.					Buy (add'l)	07/03/18	J		
343.					Buy (add'l)	09/17/18	J		
344.					Buy (add'l)	09/28/18	J		
345. Biogen (BIIB)		None	K	T					53
346. Biomarin Pharmaceutical Inc (BMRN)		None	J	T	Buy	05/02/18	J		
347.					Buy (add'l)	05/02/18	J		
348.					Buy (add'l)	05/08/18	J		
349.					Buy (add'l)	05/14/18	J		
350.					Buy (add'l)	05/25/18	J		
351.					Buy (add'l)	06/19/18	J		
352.					Buy (add'l)	10/30/18	J		
353. Blackrock Inc (BLK)	A	Dividend	K	T					50
354. Caterpillar Inc (CAT)	A	Dividend	J	T	Buy	02/08/18	J		
355.					Buy (add'l)	02/13/18	J		
356.					Buy (add'l)	02/26/18	J		
357.					Buy (add'l)	03/05/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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358.					Buy (add'l)	03/15/18	J		
359.					Buy (add'l)	04/30/18	J		
360. Celgene (CELG)		None	K	T					56
361. Chipotle Mexican (CMG)		None	J	T	Sold (part)	06/14/18	J	A	58
362.					Sold (part)	08/20/18	J	A	
363.					Buy (add'l)	10/10/18	J		
364. Coca Cola Co (KO)	A	Dividend	J	T	Buy (add'l)	08/27/18	J		62
365.					Sold (part)	11/20/18	J	A	
366. Comcast (CMCSA)	A	Dividend	K	T	Sold (part)	11/20/18	J		64
367. Costco Whoesale (COST)	A	Dividend	K	T	Sold (part)	08/29/18	J	B	67
368. CVS Healthcare (CVS)	A	Dividend			Sold (part)	03/08/18	J		68
369.					Sold (part)	03/15/18	J		
370.					Sold (part)	04/19/18	J		
371.					Sold (part)	05/07/18	J		
372.					Sold (part)	05/08/18	J		
373.					Sold	05/09/18	J		
374. Dentsply Sirona (XRAY)	A	Dividend			Buy (add'l)	01/26/18	J		69

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Bea, Carlos T.	07/10/2019

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Sold (part)	08/09/18	J		
376.					Sold (part)	08/29/18	J		
377.					Sold (part)	09/12/18	J		
378.					Sold (part)	09/17/18	J		
379.					Sold (part)	09/20/18	J		
380.					Sold (part)	09/24/18	J		
381.					Sold	09/25/18	J		
382. EBAY (EBAY)		None			Sold (part)	05/10/18	J	A	73
383.					Sold (part)	05/25/18	J	A	
384.					Sold (part)	06/06/18	J	A	
385.					Sold (part)	06/14/18	J	A	
386.					Sold (part)	06/27/18	J	A	
387.					Sold	06/28/18	J	A	
388. Ecolab Inc (ECL)	A	Dividend	K	T	Sold (part)	11/20/18	J	A	74
389. Equinix Inc REIT (EQIX)	A	Dividend	K	T	Buy	02/20/18	J		
390.					Buy (add'l)	03/08/18	J		
391.					Buy (add'l)	03/15/18	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Bea, Carlos T.	07/10/2019

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Buy (add'l)	05/08/18	J		
393.					Buy (add'l)	06/25/18	J		
394.					Buy (add'l)	10/18/18	J		
395. Facebook Inc (FB)		None	K	T	Buy (add'l)	04/30/18	J		78
396.					Buy (add'l)	08/27/18	J		
397.					Buy (add'l)	09/27/18	J		
398.					Buy (add'l)	11/08/18	J		
399.					Buy (add'l)	11/19/18	J		
400. Grainger WW (GWW)	A	Dividend	K	T	Sold (part)	09/04/18	J	B	83
401.					Buy (add'l)	10/19/18	J		
402.					Buy (add'l)	10/23/18	J		
403.					Buy (add'l)	10/26/18	J		
404.					Sold (part)	11/20/18	J	B	
405. Home Depot (HD)	A	Dividend	K	T	Sold (part)	03/15/18	J	A	86
406.					Sold (part)	10/10/18	J	B	
407. Honeywell Int'l (HON)	A	Dividend			Sold	06/07/18	K	B	87
408. IHS Markit Ltd (INFO)		None	J	T	Buy	10/29/18	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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Name of Person Reporting	Date of Report
Bea, Carlos T.	07/10/2019

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Buy (add'l)	11/01/18	J		
410.					Buy (add'l)	11/16/18	J		
411.					Buy (add'l)	11/19/18	J		
412.					Buy (add'l)	11/23/18	J		
413.					Buy (add'l)	11/29/18	J		
414.					Buy (add'l)	11/30/18	J		
415.					Buy (add'l)	12/03/18	J		
416.					Buy (add'l)	12/19/18	J		
417.					Buy (add'l)	12/24/18	J		
418. Johnson & Johnson (JNJ)	A	Dividend	J	T	Sold (part)	11/20/18	J	A	89
419. Linde PLC Eur (LIN)	A	Dividend	K	T					
420. MC Cormick & Co (MKC)	A	Dividend	J	T	Sold (part)	11/16/18	J	A	95
421.					Sold (part)	12/19/18	J	A	
422. Microsoft Corp (MSFT)	A	Dividend	K	T	Sold (part)	11/20/18	J	C	98
423. Monsanto (MON)	A	Dividend			Sold (part)	02/06/18	J	A	99
424.					Sold (part)	02/07/18	J	A	
425.					Sold (part)	02/08/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Bea, Carlos T.	07/10/2019

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Sold (part)	02/09/18	J	A	
427.					Sold (part)	02/16/18	J	A	
428.					Sold	02/20/18	J	A	
429. Nutanix Inc Cl A (NTNX)		None	J	T	Buy	10/09/18	J		
430.					Buy (add'l)	10/11/18	J		
431.					Buy (add'l)	10/15/18	J		
432.					Buy (add'l)	10/25/18	J		
433.					Buy (add'l)	12/20/18	J		
434. Nvidia Corp (NVDA)	A	Dividend	J	T	Buy	11/20/18	J		
435.					Buy (add'l)	12/12/18	J		
436. Oracle Corp (ORCL)	A	Dividend	K	T	Buy (add'l)	02/02/18	J		106
437.					Buy (add'l)	03/22/18	J		
438.					Buy (add'l)	06/25/18	J		
439.					Sold (part)	11/20/18	J	A	
440. Palo Alto Network (PANW)		None	K	T	Sold (part)	06/19/18	J	B	107
441. Paypal Holdings (PYPL)		None	K	T					108
442. Pioneer Natural Resources (PXD)	A	Dividend	J	T	Sold (part)	01/25/18	J	A	112

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Bea, Carlos T.	07/10/2019

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443.					Sold (part)	05/22/18	J	A	
444.					Sold (part)	10/18/18	J	A	
445. Praxair Inc (PX)	A	Dividend			Buy (add'l)	02/08/18	J		113
446.					Buy (add'l)	02/13/18	J		
447.					Merged (with line 419)	10/31/18	K	B	
448. Qualcomm Inc (QCOM)	A	Dividend	K	T	Buy	05/09/18	J		
449.					Buy (add'l)	05/10/18	J		
450.					Buy (add'l)	05/14/18	J		
451.					Buy (add'l)	05/25/18	J		
452.					Buy (add'l)	08/17/18	J		
453. Red Hat (RHT)		None	K	T	Sold (part)	11/15/18	J	B	116
454.					Sold (part)	11/28/18	J	B	
455.					Sold (part)	11/29/18	J	A	
456.					Sold (part)	12/12/18	J	B	
457. Regeneron Phar (REGN)		None	J	T	Sold (part)	11/20/18	J		117
458. Rockwell Collins (COL) (X)	A	Dividend			Sold (part)	02/06/18	J	A	
459.					Sold (part)	02/07/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
460.					Sold (part)	02/09/18	J	A	
461.					Sold (part)	02/15/18	J	A	
462.					Sold	02/22/18	J	A	
463. Schlumberger Ltd (SLB)	A	Dividend	J	T	Sold (part)	12/21/18	J		122
464. Schwab, Charles (SCHW)	A	Dividend	K	T					123
465. Splunk Inc (SPLK)		None	K	T	Sold (part)	10/29/18	J	A	124
466. Texas Instruments (TXN)	A	Dividend	K	T					125
467. Thermo Fisher (TMO)	A	Dividend	K	T	Sold (part)	11/20/18	J	A	126
468. UPS (UPS) (corrected from UPX)	A	Distribution	K	T					134
469. UnitedHealth Group (UNH)	A	Dividend	K	T	Sold (part)	11/20/18	J	B	135
470. Visa Inc (V)	A	Dividend	K	T					139
471. VM Ware (VMW)	B	Dividend	J	T	Sold (part)	06/20/18	J	A	140
472.					Sold (part)	10/11/18	J	B	
473. Walt Disney Co (DIS)	A	Dividend	K	T	Sold (part)	11/20/18	J	A	142
474. Yum China (YUMC)	A	Dividend	J	T					146
475. Zoetis Inc (ZTS)	A	Dividend	K	T	Sold (part)	05/02/18	J	B	147
476.					Sold (part)	11/20/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Bea, Carlos T.	07/10/2019

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
477. IRA #2 (H)									
478. UBS RMA Govt Money Market Fund (cash equivalent) (X) (Y)									
479. IRA Traditional: UBS Bank Deposit (cash)	A	Interest	M	T					
480. SPDR S&P 500 ETF TR (SPY)		None	M	T	Buy	10/11/18	M		
481. IRA #3 (H)									
482. UBS Bank Deposit (cash)	A	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Bea, Carlos T.	07/10/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II: Reportable assets related to these positions are included in Part VII.

Part III A.: Due to litigation, the state of California is retroactively adjusting judicial pensions; the figure provided is my best estimate of the final amount.

For ease of future reporting, Part VII of this report has been reorganized by account, in correspondence with related brokerage statements. As a result, more than one entry in Part VII may correspond with a single entry in the prior report. Where necessary for clarity, corresponding line numbers of the prior report are included in Column D(5) for ease of review and/or to account for name or symbol changes. This is not indicative of reportable transactions.

Part VII, line 2: Valuation was determined by the Los Angeles County Assessor's Appeal Board.

Part VII, line 3: Located on [redacted] land.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Name of Person Reporting	Date of Report
Bea, Carlos T.	07/10/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Carlos T. Bea

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544