

Judiciary Branch Personnel Financial Disclosure Report (AO Form 10)

Filer's Information

Fitzsimmons, Holly B

Report Year: 2018

Amended Report

Electronic Signature - I certify that all information provided (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief.

I further certify that earned income and honoraria and acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

/s/ Holly B Fitzsimmons [electronically signed on 05/20/2020 by Holly B Fitzsimmons in JEFs]

Electronic Signature - I certify that all information provided (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief.

I further certify that earned income and honoraria and acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

/s/ Holly B Fitzsimmons [electronically signed on 07/04/2019 by Holly B Fitzsimmons in JEFs]

Public Annotations:

COMMENT

The only change to the previously filed report is that the Positions section created a software error when the Other description was used. None of the dropdown options accurately describe the position of administrator of 2 probate estates, but the closest descriptor was trust.

I. Positions

#	ORGANIZATION NAME		ORGANIZATION TYPE	POSITION HELD
1	Probate estates (2)	See Note	Probate estates	Executor

II. Agreements

None

III A. Filer's Non-Investment Income

None

III B. Spouse's Non-Investment Income

None

IV. Reimbursements

None

V. Gifts

None

VI. Liabilities

None

VII. Investments and Trusts

#	DESCRIPTION		INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
1	Brokerage acct (H)								
1.1	--ATT common stock		\$2,501 - \$5,000	Dividend	\$15,001 - \$50,000	Cash Market			
1.2	--Ameriprise Insured Money Market fund (AIMMA)	See Note	\$1,000 or less	Interest	\$50,001 - \$100,000	Cash Market			
1.3	4 IRAs, -cash portions in AIMMA		\$1,000 or less	Interest	\$50,001 - \$100,000	Cash Market			
1.3.1	4 IRAs, see lines 20 et seq. - cash portions in AIMMA						Donated		
2	Roth #1 Co. (H)								
2.1	---CAT common stock		\$1,000 or less	Dividend	None	Cash Market			
2.1.1	---CAT common stock						Sold	08/08/2018	\$15,001 - \$50,000
2.2	---DIS common stock		\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.3	--XLP SPDR ETF		\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.4	---XLU SPDR ETF		\$1,000 or less	Dividend	\$1 - \$15,000	Cash Market			
2.5	---XLV SPDR ETF		\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.6	--GNTX common stock		\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.7	--GILD common stock		\$1,000 or less	Dividend	\$1 - \$15,000	Cash Market			

#	DESCRIPTION		INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
2.8	--OAK		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.9	--OKE common stock		\$1,000 or less	Dividend	\$1 - \$15,000	Cash Market			
2.10	--PAG common stock		\$1,000 or less	Dividend	\$1 - \$15,000	Cash Market			
2.11	ENB		None	None	\$15,000 or less	Cash Market			
2.11.1	ENB	See Note					Purchased	12/18/2018	\$15,000 or less
2.12	SEP	See Note	\$1,000 or less	Distribution	None	Cash Market			
2.12.1	SEP						Purchased	03/19/2018	\$15,000 or less
2.13	FUN		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.13.1	FUN						Purchased	08/08/2018	\$15,000 or less
2.14	IRM		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.14.1	IRM						Purchased	08/08/2018	\$15,000 or less
2.15	SKT		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.15.1	SKT						Purchased	08/08/2018	\$15,000 or less
3	Roth # 2 (H)								
3.1	-AAPL		\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
3.2	--TSLA			None	\$15,001 - \$50,000	Cash Market			
3.3	OAK		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			

#	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
3.3.1	OAK					Purchased	03/19/2018	\$15,000 or less
3.4	ADNT	None	None	\$15,000 or less	Cash Market			
3.4.1	ADNT					Purchased	10/23/2018	\$15,000 or less
3.5	Google Inc. - Class A Common Stock	None	None	\$15,000 or less	Cash Market			
3.6	SAM	None	None	\$15,000 or less	Cash Market			
3.7	ENB	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
4	--GPT	\$1,000 or less	Dividend	None	Cash Market			
4.1	--GPT					Sold	10/11/2018	\$15,000 or less
5	IRA #3 (H)							
5.1	--TSLA	None	None	None	Cash Market			
5.1.1	--TSLA					Sold	12/03/2018	\$15,001 - \$50,000
5.2	NGG	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
5.2.1	NGG					Purchased	03/19/2018	\$15,000 or less
5.3	ROIC	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
5.3.1	ROIC					Purchased	03/19/2018	\$15,000 or less
6	IRA #4 (H)							
6.1	--GOOG		None	\$1 - \$15,000	Cash Market			

#	DESCRIPTION		INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
6.2	--BRK-B			None	\$15,001 - \$50,000	Cash Market			
6.3	--BABY			None	\$1 - \$15,000	Cash Market			
6.4	--ENSG		\$1,000 or less	Dividend	\$1 - \$15,000	Cash Market			
6.5	--EGOV		\$1,000 or less	Dividend	\$1 - \$15,000	Cash Market			
6.6	--SHOP			None	\$15,001 - \$50,000	Cash Market			
6.7	--ILMN			None	\$1 - \$15,000	Cash Market			
6.8	BPY		\$1,000 or less	Distribution, Dividend	\$15,000 or less	Cash Market			
6.8.1	BPY						Purchased	08/08/2018	\$15,000 or less
7	TD Bank		\$1,000 or less	Interest	\$15,000 or less	Cash Market			
8	Sikorsky FCU		\$1,000 or less	Interest	\$15,000 or less	Cash Market			
9	3 rental units, [REDACTED] Connecticut	See Note	\$5,001 - \$15,000	Rent	\$100,001 - \$250,000	Estimated			

Additional Information or Explanation

PART	#	NOTE
I.	1	The only remaining assets of these estates are savings bonds, none of which individually meets the threshold for disclosure.
VII.	1.2	Cash from brokerage and IRA accounts deposited (without filer input) into First TN Bank and US Bank.
VII.	2.11.1	Merger with Spectra

PART	#	NOTE
VII.	2.12	This was merged into Enbridge 12/18/2018.
VII.	9	Rental units part of personal residential property. Not separately valued or assessed.