

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Heston, Mary J.	2. Court or Organization US Bankruptcy Court, Western District of Washington	3. Date of Report 04/29/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States Bankruptcy Court Union Station 1717 Pacific Ave #2100 Tacoma, WA 98402-3233		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Administrator of Estate #1	Estate of Estate #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American College of Bankruptcy	March 16-18, 2018	Washington DC	To Attend Meeting of Fellows, Participate in Pro Bono and Bankruptcy Judges Committee	Meals, transportation and hotel
2.	Turnaround Management Association	February 7-9, 2018	Las Vegas, NV	To attend a meeting on certification of turnaround practitioners and a conference	Hotel for two nights
3.	Eastern District of Washington, Federal Bankruptcy Bar Association	June 14-17, 2018	Winthrop, WA	To speak at seminar sponsored by federal bar association	Hotel, partial transportation and meals.

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4.	National Conference of Bankruptcy Judges	October 27-31, 2018	San Antonio, TX	To attend meetings and educationconference sponsored by NCBJ	Hotel for two nights
5.	American Bankruptcy Institute Southwest Conference	September 6-8, 2018	Las Vegas, NV	To speake at a seminar sponsored by ABI	Hotel, transportation and meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. American Express	Credit Cards	K
2. JP Morgan Chase	Credit Cards	L
3. Hilton Grand Vacation Club	Loan for Vacation Club	K
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. s;lk Merrill Lynch Cash Management Account Bank of America, NA (H)		None	J	T					
2. INVESCO EMERGING MARKETS (PCY) IRA FUNDS	A	Dividend	J	T					
3. INVESCO PREFERRED ETF (PGX) IRA FUNDS	A	Dividend	J	T					
4. ISHARES IBOXX \$ (LQD) IRA FUNDS	A	Dividend	K	T					
5. ISHARE TIPS BONDS (TIP) IRA FUNDS	A	Dividend	J	T					
6. ISHARES 3-7 YEAR (ETF) IRA FUNDS	A	Dividend	J	T					
7. ISHARES MBF ETF (MBB) IRA FUNDS	A	Dividend	K	T					
8. ISHARES IBOX\$ HIGH YIELD (HYG) IRA FUNDS	A	Dividend	J	T					
9. SHARES INC CORE MSCI (IEMG) IRA FUNDS	B	Dividend	K	T					
10. ISHARES INC CORE MSCI EAF (IEFA) IRA FUNDS	C	Dividend	L	T					
11. VANEK VECTORS J.P. (EMLC) IRA FUNDS	A	Dividend	J	T					
12. VANGUARD SMALL CAP VALUE (VBR) IRA FUNDS	A	Dividend	J	T					
13. VANGUARD SMALL CAP GROWTH (VBK) IRA FUNDS	A	Dividend	K	T					
14. VANGUARD VALUE ETF (VTV) IRA FUNDS	C	Dividend	M	T					
15. VANGUARD GROWTH ETF (VUG) IRA FUNDS	B	Dividend	L	T					
16. VANGUARD INTERMEDIATE TERM BONDS(BIV) IRA FUNDS	A	Dividend	K	T					
17. POWERSHARES EM SOVEREIGN IRA FUNDS		None							EXCHANGED FOR INVESCO #2

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

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18. POWERSHARES PREFERRED IRA FUNDS		None							EXCHANGED FOR INVESCO #3
19. ISHARES TIPS BONDS (TIP) IRA FUNDS	A	Dividend			Buy (add'l)	01/31/18	J		
20. VANGUARD SHORT TERM BONDS (BSV) IRA FUNDS	A	Dividend	K	T	Buy	01/31/18	J		
21. VANGUARD INTERMEDIATE TERM BONDS (BIV) IRA FUNDS	A	Dividend			Buy (add'l)	01/31/18	J		
22. ISHARES 3-7 YEAR TREASURY BOND ETF (IEI) IRA FUNDS	B	Dividend	K	T	Buy	01/31/18	J		
23. VANGUARD SMALL CAP GROWTH (ETF) IRA FUNDS	A	Dividend	J	T	Buy (add'l)	01/31/18	J		
24. VANGUARD SMALL CAP VALUE (VBR) IRA FUNDS	A	Dividend	J	T	Buy (add'l)	01/31/18	J		
25. VANGUARD SMALL CAP VALUE (VBR) IRA FUNDA	A	Dividend	J	T	Buy (add'l)	01/31/18	J		
26. ISHARES IBOXX\$ INVT GRADE CORP (LQD) IRA FUNDS	A	Dividend	J	T	Buy	01/31/18	J		
27. ISHARES MBS ETF (MBB) IRA FUNDS	A	Dividend	J	T	Buy (add'l)	01/30/18	J		
28. VANGUARD INTERMEDIATE BOND (BIV) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/21/18	J	A	
29. VANGUARD VALUE (VTV) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/21/18	J	A	
30. VANGUARD SMALL CAP VALUE ETF (VBR) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/21/18	J	A	
31. INVESCO EMERGING MARKETS SOVEREIGN	A	Dividend	J	T	Sold (part)	06/20/18	J	A	
32. VANEK VECTORS J.P. (EMLC) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/20/18	J	A	
33. ISHARES INC CORE MSCI EAF (IEFA) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/20/18	J	A	
34. VANGUARD SMALL CAP VALUE (VBR) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/14/18	J	A	

1 Income Gain Codes:	A =\$1,000 or less (See Columns B1 and D4)	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes	F =\$50,001 - \$100,000 (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000
3 Value Method Codes	Q =Appraisal (See Column C2)	R =Cost (Real Estate Only) U =Book Value	V =Other	S =Assessment T =Cash Market	W =Estimated

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. ISHARES TIPS BONDS (TIP) IRA FUNDS	A	Dividend	J	T	Sold	06/14/18	J	A	
36. VANGUARD SMALL CAP GROWTH (VBK IRA FUNDS	A	Dividend	J	T	Sold (part)	06/14/18	J	A	
37. VANGUARD SHORT TERM BONDS (BSV) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/14/18	J	A	
38. ISHARES 3-7 YEAR TREASURY BOND ETF (IEI) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/14/18	J	A	
39. VANGUARD VALUE (VTV) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/14/18	J	A	
40. ISHARES INC CORE MSCI EMERGING MKTS (IEMG) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/14/18	J	A	
41. ISHARES CORE MSCI EAF (IEFA) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/14/18	J	A	
42. ISHARES MBS ETF (MBB) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/14/18	J	A	
43. VANGUARD GROWTH ETF (VUG) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/14/18	J	A	
44. VANGUARD INTERMEDIATE TERM BONDS (BIV) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/14/18	J	A	
45. ISHARES IBOXX \$ INVNT GRAD CORP. BOND (LQD) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/14/18	J	A	
46. INVESCO EMERGING MARKETS SOVEREIGN (PGY) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/14/18	J	A	
47. VANGUARD SMALL CAP GROWTH ETF (VBK) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/12/18	J	A	
48. ISHARES MBS ETF (MBB) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/12/18	J	A	
49. ISHARES 3-7 UEAR TREASURY BOND (IEI) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/12/18	J	A	
50. VANGUARD INTERMEDIATE TERM BOND (BIV) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/12/18	J	A	
51. ISHARES IBOXX \$ INVNT GRAD CORP BOND (LQD) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/12/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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52. ISHARES CORE MSCI EMERGING MKTS (IEMB) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/12/18	J	A	
53. INVESCO PREFERRED ETF (PGX) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/12/18	J	A	
54. VANGUARD GROWTH ETF (VUG) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/12/18	K	A	
55. VANGUARD VALUE (VTV) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/12/18	K	A	
56. ISHAARES IBOXX\$ HIGH YIELD CORPORATE (HYG) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/12/18	J	A	
57. VANGUARD SHORT TERM BONDS (BSV) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/12/18	J	A	
58. ISHARES TIPS BOND ETF (TIP) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/12/18	J	A	
59. VANEK VECTORS JP MORGAN (EMLC) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/12/18	J	A	
60. VANGUARD SMALL CAP VALUE ETF (VBR) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/12/18	J	A	
61. INVESCO EMERGING MARKETS SOVEREIGN (PCY) IRA FUNDS	A	Dividend	J	T	Sold (part)	06/12/18	J	A	
62. ISHARES TR CORE MSCI EAF ETP (IEFA)IRA FUNDS	A	Dividend	J	T	Sold (part)	06/12/18	K	A	
63. ISHARES TR CORE MSCI EAF ETP (IEFA) IRA FUNDS	A	Dividend	J	T	Sold (part)	03/28/18	J	A	
64. ISHARES TIPS BOND ETF (TIP) IRA FUNDS	A	Dividend	J	T	Sold (part)	03/28/18	J	A	
65. VANGUARD SHORT TERM BOND (BSV) IRA FUNDS	A	Dividend	J	T	Sold (part)	03/28/18	J	A	
66. VANGUARD INTERMEDIATE TERM BOND (BIV) IRA FUNDS	A	Dividend	J	T	Sold (part)	03/28/18	J	A	
67. VANGUARD VALUE ETF (VTV) IRA FUNDS	A	Dividend	J	T	Sold (part)	03/28/18	J	A	
68. ISHARES 3-7 YEAR TREASURY BOND (IEI) IRA FUNDS	A	Dividend	J	T	Sold (part)	03/28/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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69. ISHARES MBS ETF (MBB) IRA FUNDS	A	Dividend	J	T	Sold (part)	03/28/18	J	A	
70. VANGUARD SMALL CAP VALUE ETF (VBR) IRA FUNDS	A	Dividend	J	T	Sold (part)	03/28/18	J	A	
71. ISHARES IBOX \$ INVT GRADE CORP. BONDS (LQD) IRA FUNDS	A	Dividend	J	T	Sold (part)	03/28/18	J	A	
72. VANGUARD SHORT TERM BOND (BSV) IRA FUNDS	A	Dividend	J	T	Sold (part)	03/08/18	J	A	
73. VANGUARD GROWTH ETF (VUG) IRA FUNDS	A	Dividend	J	T	Sold (part)	03/08/18	J	A	
74. VANGUARD INTERMEDIATE TERM BONDS (BIV) IRA FUNDS	A	Dividend	J	T	Sold (part)	03/08/18	J	A	
75. ISHARES MBS ETF (MBB) IRA FUNDS	A	Dividend	J	T	Sold (part)	03/08/18	J	A	
76. VANGUARD SMALL CAP GROWTH (VBK) IRA FUNDS	A	Dividend	J	T	Sold (part)	03/08/18	J	A	
77. ISHARES MSCI JAPAN ETF (SHS) iRA FUNDS	A	Dividend			Sold	03/08/18	K	A	
78. ISHARES MSCI CDA ETF (EWC) iRA FUNDS	A	Dividend			Sold	03/08/18	J	A	
79. ISHARES MSCI SWEDEN ETF (EWD) IRA FUNDS	A	Dividend			Sold	03/08/18	J	A	
80. ISHARES MSCI PACIFC EX_JAPAN (EPP) IRA FUNDS	A	Dividend			Sold	03/08/18	K	A	
81. ISHARES MSCI SWITZERLAND ETF (EWL) IRA FUNDS	A	Dividend			Sold	03/08/18	J	A	
82. ISHARES MSCI EUROZONE ETF (EZU) IRA FUNDS	A	Dividend			Sold	03/08/18	K	A	
83. ISHARES MSCI UK ETF (EWU) IRA FUNDS	A	Dividend			Sold	03/08/18	J	A	
84. VANGUARD GROWTH ETF (VUG) IRA FUNDS	A	Dividend	J	T	Sold (part)	01/31/18	J	A	
85. ISHARES MSCI EUROZONE ETF (EZU) IRA FUNDS	A	Dividend	J	T	Sold (part)	01/31/18	J	A	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. ISHARES MSCI JAPAN (EWJ) IRA FUNDS	A	Dividend	J	T	Sold (part)	01/31/18	J	A	
87. VANGUARD VALUE (VTV) IRA FUNDS	A	Dividend	J	T	Sold (part)	01/31/18	J	A	
88. ISHARES MSCI UK (EQU) IRA FUNDS	A	Dividend	J	T	Sold (part)	01/31/18	J	A	
89. ISHARES INC> CORE MSCI EMERGING MKTS (IEMG) IRA FUNDS	A	Dividend	J	T	Sold (part)	01/31/18	J	A	
90. ESTATE #1 BANK ACCT	A	Interest	J	T					
91. ESTATE #1 MET LIFE SHARES	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting Heston, Mary J.	Date of Report 05/20/2020
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Heston, Mary J.

Date of Report

05/20/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mary J. Heston**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544