

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Karas, Kenneth M.	2. Court or Organization US District Court -- SDNY	3. Date of Report 07/31/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge -- Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
	5b. ☐ Amended Report	
7. Chambers or Office Address 300 Quarropas Street, Room 533 White Plains, NY 10601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Board of Directors	National Assaiaon of Urban Debate Leagues
2. Debate Coach (Volunteer, Unpaid)	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Karas, Kenneth M.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Davis, Polk & Wardwell -- Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JFCU	A	Interest	J	T					
2. Citibank Savings Account	A	Interest	J	T					
3. Citibank Checking Account	A	Interest	L	T					
4. HR-10 Spartan 500 Index	D	Dividend			Buy (add'l)	01/02/18	K		
5.					Buy (add'l)	04/02/18	J		
6.					Buy (add'l)	06/28/18	J		
7.					Buy (add'l)	09/27/18	J		
8.					Buy (add'l)	10/09/18	K		
9.					Sold	10/12/18	O		
10. HR-10 Fidelity Retirement Money Market	A	Interest	J	T					
11. 401K Spartan 500 Index (FXAIX)	D	Dividend	N	T	Buy (add'l)	10/12/18	J		
12.					Buy (add'l)	12/28/18	J		
13. 401K Fidelity Retirement Money Market	A	Interest	J	T					
14. E*Trade Sweep Account	A	Interest	J	T					
15. NY 529 Vanguard Agressive Fund (no control)		None	L	T					
16. NY 529 Vanguard Aggressive Fund (no control)		None	L	T					
17. Citibank IRA	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. Citibank IRA	A	Interest	J	T					
19. HR-10 SPDR S&P MidCap 400 ETF TR	B	Dividend	L	T	Buy (add'l)	01/31/18	J		
20.					Buy (add'l)	04/30/18	J		
21.					Buy (add'l)	07/31/18	J		
22.					Buy (add'l)	10/31/18	J		
23. HR-10 Total Market Index (formerly SPRTN Total Market Index Fund)	A	Dividend	K	T	Buy (add'l)	04/11/18	J		
24.					Buy (add'l)	12/14/18	J		
25.					Buy (add'l)	12/28/18	J		
26. HR-10 Fidelity Low Priced Stock Fund	D	Dividend	L	T	Buy (add'l)	09/14/18	J		
27.					Buy (add'l)	12/08/18	J		
28. HR-10 Fidelity Cash Reserves	A	Interest	J	T					
29. 401K Fidelity Total Market Index formerlySPRTN Total Market Index Fund	C	Dividend	M	T	Buy (add'l)	04/11/18	J		
30.					Buy (add'l)	12/14/18	J		
31.					Buy (add'l)	12/28/18	J		
32. 401K BlackRock Global Allocation Fund	A	Dividend			Buy (add'l)	05/15/18	K		
33.					Buy (add'l)	07/19/18	J		
34.					Buy (add'l)	08/08/18	K		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35.					Sold	10/23/18	M		
36. 401K Fidelity Cash Reserves	A	Interest	J	T					
37. IRA Fidelity Low Priced Stock Fund	B	Dividend	K	T	Buy (add'l)	09/14/18	J		
38.					Buy (add'l)	12/07/18	J		
39. IRA Blackrock Global Allocation Fund	A	Dividend			Buy (add'l)	07/19/18	J		
40.					Sold	10/23/18	J		
41. IRA Fidelity Govt Cash Reserves (FDRXX)	A	Interest	J	T					
42. IRA Fidelity Low Priced Stock Fund	B	Dividend	K	T	Buy (add'l)	09/14/18	J		
43.					Buy (add'l)	12/07/18	J		
44. IRA Blackrock Global Allocation Fund	A	Dividend			Buy (add'l)	07/19/18	J		
45.					Sold	10/23/18	J		
46. IRA Fidelity Cash Reserves	A	Interest	J	T					
47. IRA Fidelity Contrafund	C	Dividend	K	T	Buy (add'l)	02/09/18	J		
48.					Buy (add'l)	04/12/18	J		
49.					Buy (add'l)	12/07/18	J		
50. Fidelity ContraFund	E	Dividend	N	T	Buy (add'l)	02/09/18	J		
51.					Buy (add'l)	04/03/18	K		

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52.					Buy (add'l)	11/02/18	L		
53.					Buy (add'l)	12/07/18	K		
54. Fidelity Low Priced Stock Fund(FLPSX)	E	Dividend	N	T	Buy (add'l)	05/15/18	K		
55.					Buy (add'l)	05/29/18	J		
56.					Buy (add'l)	08/08/18	K		
57.					Buy (add'l)	09/14/18	K		
58.					Buy (add'l)	11/02/18	K		
59.					Buy (add'l)	12/07/18	K		
60. BBH Core Select Fund	D	Dividend			Buy (add'l)	05/15/18	K		
61.					Buy (add'l)	08/08/18	K		
62.					Buy (add'l)	11/19/18	K		
63.					Sold	12/11/18	N	D	
64. BlackRock Global Allocation Fund	D	Dividend			Buy (add'l)	05/15/18	K		
65.					Buy (add'l)	07/19/18	J		
66.					Buy (add'l)	08/08/18	K		
67.					Sold	10/23/18	M		
68. Fidelity 500 Index Fund (FXIAX); formerly 500 Premium Class (FUSVX)	A	Dividend	J	T	Buy (add'l)	04/06/18	J		

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69.					Buy (add'l)	07/06/18	J		
70.					Buy (add'l)	10/05/18	J		
71.					Buy (add'l)	12/14/18	J		
72.					Buy (add'l)	12/28/18	J		
73.	401(k) Fidelity Spartan 500 Index Fund (FSXIX)	D	Dividend		Buy	04/02/18	J		
74.					Buy (add'l)	06/29/18	J		
75.					Buy (add'l)	09/27/18	J		
76.					Buy (add'l)	10/09/18	K		
77.					Sold	10/12/18	K		
78.	BlackRock Strategic Muni Opportunities	E	Dividend	O	T	Buy (add'l)	01/31/18	J	
79.					Buy (add'l)	02/16/18	M		
80.					Buy (add'l)	02/28/18	J		
81.					Buy (add'l)	03/29/18	J		
82.					Buy (add'l)	04/30/18	J		
83.					Buy (add'l)	05/31/18	J		
84.					Buy (add'l)	06/29/18	J		
85.					Buy (add'l)	07/31/18	J		

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86.					Buy (add'l)	08/08/18	M		
87.					Buy (add'l)	08/31/18	J		
88.					Buy (add'l)	09/28/18	J		
89.					Buy (add'l)	10/31/18	J		
90.					Buy (add'l)	11/30/18	J		
91.					Buy (add'l)	12/10/18	K		
92.					Buy (add'l)	12/31/18	J		
93. Deutsche Intermediate Tax/AMT Free Fund	E	Dividend			Buy (add'l)	01/25/18	J		
94.					Buy (add'l)	02/22/18	J		
95.					Buy (add'l)	03/23/18	J		
96.					Buy (add'l)	04/24/18	J		
97.					Sold (part)	05/09/18	M		
98.					Buy (add'l)	05/24/18	J		
99.					Buy (add'l)	06/25/18	J		
100.					Buy (add'l)	07/25/18	J		
101.					Buy (add'l)	08/27/18	J		
102.					Sold	10/09/18	N		

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103. Vanguard Intermediate Tax Exempt Admiral (VWIUX)	D	Dividend	N	T	Buy (add'l)	01/31/18	J		
104.					Buy (add'l)	02/28/18	J		
105.					Buy (add'l)	03/29/18	J		
106.					Buy (add'l)	04/30/18	J		
107.					Buy (add'l)	05/31/18	J		
108.					Buy (add'l)	06/29/18	J		
109.					Buy (add'l)	07/31/18	J		
110.					Buy (add'l)	08/31/18	J		
111.					Buy (add'l)	09/28/18	J		
112.					Buy (add'l)	10/31/18	J		
113.					Buy (add'l)	11/30/18	J		
114.					Buy (add'l)	12/31/18	J		
115. Fidelity Total Mkt Index, formerly Fidelity Spartan Total Index	E	Dividend	O	T	Buy (add'l)	04/11/18	J		
116.					Buy (add'l)	04/30/18	K		
117.					Buy (add'l)	05/29/18	K		
118.					Buy (add'l)	08/28/18	L		
119.					Buy (add'l)	11/19/18	L		

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(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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(See Column C2)	U =Book Value	V =Other	W =Estimated		

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	12/14/18	K		
121.					Buy (add'l)	12/28/18	J		
122. Morgan Stanley Long/Short Fund A	A	Dividend			Buy	01/26/18	J		
123.					Sold	05/09/18	J		
124. Fidelity Municipal Money Market (FZEXX)	B	Interest	N	T					
125. Fidelity Treasury Money Market (FZFXX)	A	Interest	L	T					
126. Fidelity Money Market Premium Class (FZDXX)	A	Interest	J	T					
127. Glenmede Large Cap Core	D	Dividend	N	T	Buy (add'l)	04/09/18	J		
128.					Buy (add'l)	04/30/18	L		
129.					Buy (add'l)	07/09/18	J		
130.					Buy (add'l)	08/08/18	K		
131.					Buy (add'l)	10/09/18	J		
132.					Buy (add'l)	11/19/18	K		
133.					Buy (add'l)	12/17/18	K		
134. 401(k) Glenmede Large Cap Core	B	Dividend	K	T	Buy (add'l)	04/09/18	J		
135.					Buy (add'l)	07/09/18	J		
136.					Buy (add'l)	10/09/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Karas, Kenneth M.

Date of Report

07/31/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	12/17/18	J		
138. HR-10 BBH Core Select Fund	D	Dividend			Sold	11/30/18	L	D	
139. HR-10 Glenmede Large Cap Core	D	Dividend	M	T	Buy (add'l)	04/09/18	J		
140.					Buy (add'l)	07/09/18	J		
141.					Buy (add'l)	10/09/18	J		
142.					Buy (add'l)	12/17/18	J		
143. 401(k) Fidelity Contrafund	A	Dividend	J	T	Buy (add'l)	02/09/18	J		
144.					Buy (add'l)	12/07/18	J		
145. HR-10 Fidelity Contrafund	C	Dividend	L	T	Buy (add'l)	02/09/18	J		
146.					Buy (add'l)	12/07/18	J		
147. iShares Core S&P 500 (IVV)	B	Dividend	M	T	Buy (add'l)	05/29/18	K		
148.					Buy (add'l)	12/02/18	L		
149. iShares S&P Midcap (UH)	B	Dividend	M	T	Buy (add'l)	05/29/18	K		
150.					Buy (add'l)	08/28/18	J		
151.					Buy (add'l)	11/02/18	K		
152. iShares iBoxx Investment Grade (LQD)	B	Dividend			Sold	02/14/18	O		
153. SPDR S&P Midcap 400 ETF	B	Dividend	L	T	Buy (add'l)	01/31/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Karas, Kenneth M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	04/30/18	J		
155.					Buy (add'l)	07/31/18	J		
156.					Buy (add'l)	10/31/18	J		
157. iShares Core S&P Tot US Stock Mkt (ITOT)	B	Dividend	M	T	Buy	10/24/18	M		
158.					Buy (add'l)	11/19/18	M		
159. SPDR SER TR Bloomberg (BIL)	D	Dividend	P1	T	Buy	10/15/18	N		
160.					Buy (add'l)	10/26/18	O		
161.					Buy (add'l)	11/19/18	L		
162.					Buy (add'l)	12/07/18	J		
163.					Buy (add'l)	12/26/18	J		
164. iShares Russell 1000 Value ETF (IWD)	C	Dividend	N	T	Buy	12/11/18	N		
165. IRA (JT) iShares Core S&P Tot US Stock Mkt (ITOT)	A	Dividend	J	T	Buy	10/24/18	J		
166. 401(k) iShares Core S&P Tot US Stock Mkt (ITOT)	A	Dividend	L	T	Buy	10/24/18	L		
167. HR-10 Fidelity Large Cap Index Inst. (FLCOX)	B	Dividend	L	T	Buy	10/12/18	L		
168.					Buy (add'l)	12/21/18	J		
169. HR-10 Core Fidelity 500 Index Fund (FXAIX)	D	Dividend	O	T	Buy	10/12/18	O		
170.					Buy (add'l)	12/28/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. IRA Fidelity Conrafund (FCNTX)	C	Dividend	K	T	Buy	02/09/18	J		
172.					Buy (add'l)	04/12/18	J		
173.					Buy (add'l)	12/07/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Karas, Kenneth M.

Date of Report

07/31/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kenneth M. Karas**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544