

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Gilbert, John P.	2. Court or Organization UNITED STATES DISTRICT COURT - Southern District of Illinois	3. Date of Report 08/05/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 301 WEST MAIN STREET BENTON, IL 62812		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Southern Illinois University
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

Name of Person Reporting	Date of Report
Gilbert, John P.	08/05/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Gilbert, John P.	08/05/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Old National Bank N/K/A First Mid-Illinois Bank	Mortgage on Investment Property	M
2.	Patricia Noe	Contract for Deed on Investment Property	M
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Gilbert, John P.

Date of Report

08/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. COM. PEPSICO	A	Dividend	J	T					
2. COM. HUTTON TEL. TR.	B	Dividend	J	T					
3. COM. MFS GV.PL mutual funds	A	Dividend	K	T					
4. COM. FRANKLIN U.S.G.	A	Dividend	K	T					
5. COM. OLD NATIONAL BANK	D	Dividend	N	T					
6. COM. TEMPLETON GRWTH	A	Dividend			Sold	07/24/19	L	C	
7. NOBLE ENERGY	A	Dividend	J	T					
8. OLD NATL BANK N/K/A FIRST MID-ILLINOIS BANK - Accounts	A	Interest	J	T					
9. RENTAL PROPERTY: Jackson Co., IL (\$280,000)	A	Rent	M	S					
10. COM. BRISTOL MYERS	E	Dividend	J	T					
11. COM. AMER. ELEC. POWER	A	Dividend	J	T					
12. COM. ALLETE	A	Dividend	J	T					
13. COM. XCEL ENERGY	A	Dividend	J	T					
14. COM. COCA COLA	A	Dividend	J	T					
15. COM. GEN. ELECTRIC	A	Dividend	J	T	Sold (part)	07/31/19	J	A	
16. COM. EXXONMOBIL	A	Dividend	J	T					
17. COM. ORACLE	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Gilbert, John P.

Date of Report

08/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. COM. CATERPILLAR	A	Dividend	J	T					
19. COM. WALMART	A	Dividend	J	T	Buy (add'l)	08/14/19	J		
20. COM. WESTAR ENERGY	A	Dividend	J	T					
21. COM. MICROSOFT	A	Dividend	J	T					
22. COM. CISCO SYSTEMS	A	Dividend	J	T					
23. COM. PUTNAM GLOBAL	A	Dividend	J	T					
24. COM. REGIONS FINANCIAL CORP.	A	Dividend	J	T					
25. COM. VERIZON COMM.	A	Dividend	J	T					
26. COM. AMERICA MOVIL	A	Dividend	J	T					
27. COM. DTE ENERGY	A	Dividend	J	T					
28. COM. SOUTHERN COMPANY	A	Dividend	J	T					
29. COM. CORNING	A	Dividend	J	T					
30. COM. INTEL	A	Dividend	J	T					
31. COM. TEXAS INSTRUMENTS	A	Dividend	J	T					
32. COM. CAREMARK	A	Dividend	J	T					
33. COM. EXELON	A	Dividend	J	T					
34. COM. AMEREN	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. COM. NEE	A	Dividend	J	T					
36. COM. AGRBX	A	Dividend	J	T					
37. COM. NEW WORLD FUND	A	Dividend	J	T					
38. COM. GROWTH FUND OF AMERICA	A	Dividend	J	T					
39. COM. JOHN DEERE	A	Dividend	J	T					
40. COM. BANK OF AMERICA	A	Dividend	J	T					
41. BOND ILLINOIS FIN. AUTH.	A	Interest	J	T					
42. COM. 3M COMPANY	A	Dividend	J	T					
43. COM. FIRST SOUTHERN BANK	A	Dividend	J	T					
44. COM. AT&T	A	Dividend	J	T					
45. RENTAL PROPERTY: Sarasota, FL (\$250,000)	A	Rent	M	S					
46. COM. FRONTIER	A	Dividend			Sold	08/02/19	J	A	
47. BOND QWEST CORP. 6.125%	A	Interest	K	T					
48. BOND AFLAC 5.5%	A	Interest	K	T					
49. WELLS FARGO - COMPASS MANAGED DIVERSIFIED STOCK INCOME PORTFOLIO	A	Dividend	K	T					
50. COM. F	A	Dividend	J	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Gilbert, John P.

Date of Report

08/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

51. BOND SIU 3.5%	A	Interest	K	T					
52. PFD. ALLSTATE 6.625%	A	Interest			Redeemed	10/15/19	K	A	
53. PFD. WELLS FARGO 6.625%	A	Interest	K	T					
54. PFD. JOHN HANCOCK	A	Interest	K	T	Buy (add'l)	10/23/19	K		
55. COM. PG	A	Dividend	J	T					
56. COM. UNP	A	Dividend	K	T					
57. COM. KMI	A	Dividend	J	T					
58. COM. T	A	Dividend	J	T					
59. COM. HYH	A	Dividend	J	T					
60. COM. KMB	A	Dividend	J	T					
61. PFD. WACHOVIA 7.25%	A	Interest	K	T					
62. COM. WPC	A	Dividend	J	T					
63. PFD. BAC	A	Dividend	J	T					
64. COM. APPLE	A	Dividend	J	T					
65. COM. COP	A	Dividend	J	T					
66. COM. ABBVIE INC.	A	Dividend	J	T					
67. COM. DG	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Gilbert, John P.

Date of Report

08/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
68. AMERICAN FUND NGCRX	A	Dividend	K	T					
69. AMERICAN FUND NDCRX	A	Dividend	K	T					
70. COM. MERCK	A	Dividend	J	T					
71. COM. WALT DISNEY	A	Dividend	J	T					
72. JOHN HANCOCK ETF	A	Dividend	J	T					
73. DAFT & PHELPS ETF	A	Dividend	J	T					
74. AMERICAN FUND UTBPX	A	Dividend	J	T					
75. VANGUARD S&P MID-CAP ETF	A	Dividend	K	T					
76. ISHARES CORE S&P SMALL CAP ETF	A	Dividend	J	T					
77. ISHARES CORE S&P MID CAP ETF	A	Dividend	J	T					
78. ISHARES U.S. MEDICAL DEVICES ETF	A	Dividend	J	T					
79. COM. MEDTRONIC PLC	A	Dividend	J	T					
80. FIRST TRUST ELECTION PORTFOLIO 2016 SER 2	A	Dividend			Redeemed	01/23/19	J	A	
81. AMERICAN FUND NDCRX	A	Dividend	J	T					
82. AMERICAN FUND ABALX	A	Dividend	K	T					
83. PFD. SOUTHERN CO 5.25%	A	Interest	K	T					
84. VANGUARD HEALTH CARE ETF VHT	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Gilbert, John P.	08/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
85. AMERICAN FUND SMCWX	A	Dividend	J	T					
86. CAPITAL INCOME BUILDER FUND CAIBX	A	Dividend	J	T					
87. FUNDAMENTAL INVESTORS FUND ANCFX	A	Dividend	J	T					
88. TAX EXEMPT BOND FUND OF AMERICA AFTEX	A	Dividend	J	T					
89. COM. AMAZON	A	Dividend	J	T	Buy	08/01/19	J		
90. COM. FRANKLIN INCOME FUND	A	Dividend	L	T	Buy	07/24/19	L		
91. COM. DNP SELECT INCOME FUND	A	Dividend	K	T	Buy	10/23/19	K		
92.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Gilbert, John P.

Date of Report

08/05/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 15: The sale reported is \$126 in proceeds received from the immediate sale of two shares of Wabtec stock received 7/31/19 in a merger with General Electric; since it represents proceeds from the investment in General Electric, it is reported here.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Gilbert, John P.

Date of Report

08/05/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John P. Gilbert**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544