

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MUSGRAVE, R. KENTON	2. Court or Organization U.S. COURT OF INT'L TRADE	3. Date of Report 08/09/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2016 to 12/31/2016
5b. ☒ Amended Report		
7. Chambers or Office Address ONE FEDERAL PLAZA NEW YORK NEW YORK 10278-0001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. TRUSTEE EMERITUS	THE DIAN FOSSLEY GORILLA FUND
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. DUKE ENERGY CORP	D	Dividend	M	T					
2. AT&T	B	Dividend	K	T					
3. BARRICK GOLD	A	Dividend			Sold	11/22/16	J	A	
4. SOUTHERN CO	B	Dividend	K	T					
5. YAMANA GOLD, INC.	A	Dividend	J	T					
6. COCA COLA	A	Dividend			Sold	11/22/16	K	D	
7. EXXON MOBILE CORP	A	Dividend	J	T					
8. BANK OF AMERICA MONEY MARKET CHECK INTEREST CHECKING (X)	A	Interest	J	T					
9. AEGON NV	A	Dividend	J	T					
10. MARATHON PETROLEUM CORP COM	A	Dividend	K	T					
11. VERIZON COMM COM	A	Dividend	K	T					
12. AMERICAN WRT WORKS CO	A	Dividend	K	T					
13. AMN ELECTRIC POWER	A	Dividend	K	T	Buy	06/15/16	K		
14. CENTURYLINK INC COM	A	Dividend			Sold	11/22/16	J	A	
15. MARATHON OIL CORP	A	Dividend	J	T					
16. AUS NW ZBR ADR	A	Dividend			Sold	11/07/16	J	A	
17. FORD MOTOR COMPANY	A	Dividend			Sold	04/20/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. FIRST TRUST TARGET GLOBAL DVD LDRS Q1	A	Dividend			Sold	04/08/16	J	A	
19. FIRST TRUST TARGET GLOBAL DVD LDRS Q4	A	Dividend			Sold	01/06/16	J	A	
20. KINDER MORGAN ENERGY PARTNERS	A	Dividend	K	T	Buy	06/15/16	K		
21. COLUMBIA PIPELINE GRP INC	A	Dividend			Sold	07/01/16	J	C	
22. CONOCOPHILLIPS	A	Dividend			Sold	01/22/16	J	A	
23. TELSIA MOTORS	A	Dividend			Sold	07/20/16	K	A	
24. MORGAN STANLEY 6.45 PFD	A	Interest			Redeemed	08/03/16	J	A	
25. BISON DEPOSIT SWEEP	A	Interest	J	T					
26. FIRST TRUST BALANCED SELECT SERIES 57 CASH	A	Dividend	J	T	Buy	04/07/16	J		
27. FIRST TRUST BALANCED SERIES 58C INCOME SELECT	A	Dividend			Sold	08/16/16	J	A	
28.					Buy	04/17/16	J		
29. FIRST TRUST BALANCED SERIES 58C INCOME SELECT	A	Dividend			Sold	08/16/16	J	A	
30. BANK SWEEP	A	Interest	K	T	Buy	01/01/16	K		
31. APPLE INC	A	Dividend	L	T	Buy	01/01/16	L		
32. AT&T	A	Dividend	K	T	Buy	01/01/16	K		
33. CENTURYLINK INC	A	Dividend	K	T	Buy	01/01/16	K		
34. DUKE ENERGY CORP	A	Dividend	J	T	Buy	01/01/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. EXXON MOBIL	A	Dividend	L	T	Buy	01/01/16	L		
36. MARATHON OIL CORP	A	Dividend	J	T	Buy	01/01/16	J		
37. SOUTHERN COMPANY	A	Dividend	K	T	Buy	01/01/16	K		
38. FIRST TRUST CYBERSECURITY	A	Dividend	J	T	Buy	01/01/16	J		
39.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ R. KENTON MUSGRAVE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544