

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Albright, Alan D.	2. Court or Organization United States District Court	3. Date of Report 05/02/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 800 Franklin Waco Texas 76701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	Waco Inn of Court
2. member	Texas Bar Foundation
3. member	American Bar Foundation
4. Member	American College of Trial Lawyers
5. Member	Austin IP Inn of Court
6. Member	American Board of Trial Attorneys
7.	
8.	
9.	
10.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	

Name of Person Reporting	Date of Report
Albright, Alan D.	05/09/2019

3. _____

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Albright, Alan D.

Date of Report

05/09/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. June - December 2020	Ascension Health Ministry
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Albright, Alan D.	05/09/2019

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Albright, Alan D.

Date of Report

05/09/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ISHARES MSCI EAFE ETF	A	Div	L	T					
2. ISHARES CORE MSCI EAFE ETF	B	Div	M	T					
3. ISHARES CORE MSCI EMERGING MARKETS ETF	B	Div	M	T	Sold (part)	3/23/20			
4. SCHWAB US BROAD MKT ETF	A	Div	K	T	Sold (part)	6/10/20		D	
5. SPDR PORTFOLIO S&P 400 MID CAP ETF			K	T					
6. VANGUARD TOTAL STOCK MKT ETF	B	Div	N	T	Sold (part)	4/28/20		B	
7. INVESCO EMERGING MARKETS SOVEREIGN DEBT ETF	A	Div	K	T	Sold (part)	1/24/20		A	
8. ISHARES BROAD USD INVT GRADE CORPORATE BOND ETF	A	Div	K	T	Sold (part)	8/21/20		A	
9. SPDR PORTFOLIO LONG TERM TREAS ETF TREAS ETF	A	Div	K	T					
10. ISHARES US TREASURY BOND EFT					Sold	4/28/20		C	
11. BRIDGEWAY AGGRESSIVE INVESTORS 1	A	Div	L	T					
12. ARTISAN GLOBAL OPPORTUNITIES FUND ADVISOR SHARES			L	T	Sold (part)	2/21/20		A	
13. THE BOND FUND OF AMERICA CLASS F-2	A	Div	K	T					
14. COHEN & STEERS PREFERRED SECURITIES INCOME FUND CLASS I	A	Div	K	T					
15. DODGE & COX INTERNATIONAL FUND	A	Div	K	T					
16. EUROPACIFIC GROWTH FUND CLASS F-2	A	Div	K	T					
17. FEDERATED HERMES KAUFMANN SMALL-CAP FUND CLASS IS			K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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18. FIDELITY ADVISOR TOTAL BOND FUND CLASS I	A	Div	K	T					
19. NEW WORLD FUND CLASS F-2	A	Div	L	T	Sold (part)	2/21/20		A	
20. PIMCO TOTAL RETURN FUND CLASS I-2	A	Div	K	T					
21. PIMCO INCOME FUND CLASS I-2			K	T					
22. PRIMECAP ODYSSEY GROWTH FUND	A	Div	L	T					
23. VANGUARD REIT INDEX FUND ADMIRAL SHARES	A	Div	K	T	Sold (part)	2/21/20		A	
24. VANGUARD EQUITY INCOME FUND ADMIRAL SHARES	A	Div	K	T					
25. VANGUARD BALANCED INDEX FUND ADMIRAL SHARES	A	Div	K	T					
26. VANGUARD SHORT-TERM INVESTMENT-GRADE FUND ADMIRAL SHARES	A	Div	K	T	Sold (part)	2/17/20		D	
27. VANGUARD LARGE CAP INDEX FUND ADMIRAL SHARES	A	Div	M	T					
28. VANGUARD MID-CAP INDEX FUND ADMIRAL SHARES	A	Div	L	T	Sold (part)	2/17/20		C	
29. ISHARES INC MSCI GLOBAL MINIMUM VOL FACTOR ETF	A	Div	K	T					
30. ISHARES TR MSCI USA MINIMUM VOLATILITY FACTOR ETF	A	Div	K	T					
31. INVESCO OPPENHEIMER MARKETS FUND CLASS Y					Sold	4/7/20		A	
32. FIDELITY ADVISOR NEW FUND CLASS I					Sold	2/21/20		D	
33. FUNDAMENTAL INVESTOR 2					Sold	4/7/20		B	
34. THE OAKMARK INTERNAT INVESTOR CLASS					Sold	4/7/20			

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35. LOOMIS SAYLES BOND F U TIONAL CLASS					Sold	4/7/20			
36. MFS INTERNATIONAL NE Y FUND CLASS I					Sold	4/7/20			
37. METROPOLITAN WEST TO BOND FUND CLASS I					Sold	2/21/20		B	
38. T ROWE PRICE EMERGIN BOND					Sold	2/21/20			
39. VAN ECK CONSTANT MAT ODITY INDEX FUND CL Y					Sold	3/9/20			
40. VANGUARD EQUITY INCO MIRAL SHARES					Sold	2/14/20		A	
41. VANGUARD INFLATION P UND ADMIRAL SHARES					Sold	2/21/20		A	
42. VANGUARD SMALL-CAP I ADMIRAL SHARES					Sold	8/25/20		D	
43. AMERICAN MUTUAL FUND CLASS A	A	Div	K	T	Sold (part)	8/3/20		A	
44. EUROPACIFIC GROWTH FUND CLASS A	A	Div	L	T	Sold (part)	8/3/20		A	
45. THE GROWTH FUND OF AMERICA CLASS A	A	Div	L	T	Sold (part)	8/3/20		B	
46. LIMITED TERM TAX EXEMPT BOND FUND OF AMERICA CLASS A			K	T					
47. THE NEW ECONOMY FUND CLASS A	A	Div	K	T	Sold (part)	8/3/20		A	
48. VANGUARD MID-CAP INDEX FUND ADMIRAL SHARES			K	T					
49. VANGUARD SMALL-CAP INDEX FUND ADMIRAL SHARES	A	Div	K	T					
50. NEW PERSPECTIVE FUND					Sold	4/13/20			
51. WASHINGTON MUTUAL IN ND CLASS A					Sold	4/13/20			

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52. UBS BANK USA DEP ACCT (CASH)			K	T					
53. UBS BANK USA DEP ACCT (CASH)			J	T					
54. NM FDIC INSURED DEPOSIT PROGRM (CASH)	A	Int	J	T					
55. NM FDIC INSURED DEPOSIT PROGRM (CASH)	A	Int	K	T					
56. NM FDIC INSURED DEPOSIT PROGRM (CASH)	A	Int	J	T					
57. NM FDIC INSURED DEPOSIT PROGRM (CASH)	A	Int	J	T					
58. NM FDIC INSURED DEPOSIT PROGRM (CASH)	A	Int	J	T					
59.									
60.									
61.									
62.									
63.									
64.									
65.									
66.									
67.									
68.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.									
70.									
71.									
72.									
73.									
74.									
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81.									
82.									
83.									
84.									
85.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86.									
87.									
88.									
89.									
90.									
91.									
92.									
93.									
94.									
95.									
96.									
97.									
98.									
99.									
100.									
101.									
102.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103.									
104.									
105.									
106.									
107.									
108.									
109.									
110.									
111.									
112.									
113.									
114.									
115.									
116.									
117.									
118.									
119.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

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P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

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E =\$15,001 - \$50,000

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.									
121.									
122.									
123.									
124.									
125.									
126.									
127.									
128.									
129.									
130.									
131.									
132.									
133.									
134.									
135.									
136.									

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2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
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	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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(See Column C2)	U =Book Value	V =Other	W =Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.									
138.									
139.									
140.									
141.									
142.									
143.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Albright, Alan D.

Date of Report

05/09/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Albright, Alan D.

Date of Report

05/09/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alan D. Albright

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544