

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                     |                                                                                                                                                                                                                                        |                                                                  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>ANDERSON, III, ROBERT L.                                                                                       | <b>2. Court or Organization</b><br><br>11TH CIR. COURT OF APPEALS                                                                                                                                                                      | <b>3. Date of Report</b><br><br>07/06/2021                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>U. S. CIRCUIT JUDGE (senior)                            | <b>5a. Report Type (check appropriate type)</b><br><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final | <b>6. Reporting Period</b><br><br>01/01/2020<br>to<br>12/31/2020 |
|                                                                                                                                                                                     | <b>5b.</b> <input type="checkbox"/> Amended Report                                                                                                                                                                                     |                                                                  |
| <b>7. Chambers or Office Address</b><br><br>56 Forsyth Street, N.W.<br>Atlanta, GA 30303                                                                                            |                                                                                                                                                                                                                                        |                                                                  |
| <b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. |                                                                                                                                                                                                                                        |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☐ NONE (No reportable positions.)

| <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|-----------------|------------------------------------|
| 1. FELLOW       | AMERICAN BAR FOUNDATION            |
| 2.              |                                    |
| 3.              |                                    |
| 4.              |                                    |
| 5.              |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☐ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u>                                      |
|-------------|---------------------------------------------------------------|
| 1. 11/7/07  | R. Lanier Anderson, III/Chas. Schwab & Co. Cust/ IRA rollover |
| 2.          |                                                               |
| 3.          |                                                               |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ANDERSON, III, ROBERT L.

Date of Report

07/06/2021

## III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

### A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br><small>(yours, not spouse's)</small> |
|-------------|------------------------|-------------------------------------------------------|
| 1.          |                        |                                                       |
| 2.          |                        |                                                       |
| 3.          |                        |                                                       |
| 4.          |                        |                                                       |

### B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

## IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| ANDERSON, III, ROBERT L. | 07/06/2021     |

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |
| 2.       |             |            |
| 3.       |             |            |
| 4.       |             |            |
| 5.       |             |            |

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Name of Person Reporting

ANDERSON, III, ROBERT L.

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07/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. SunTrust Bank - checking account                                                                                        | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 2. Exxon (common)                                                                                                          | A                                      | Dividend                               |                                                |                                    | Donated                                   |                  |                          |                         |                                                            |
| 3. Truist                                                                                                                  | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 4. TIAA-CREF Traditional Bond Fund (TIAA1)                                                                                 | C                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 5. TIAA-CREF Stock Fund (QCSTRX)                                                                                           | C                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 6. House and lot, Macon-Bibb County, GA                                                                                    | D                                      | Rent                                   | M                                              | W                                  |                                           |                  |                          |                         |                                                            |
| 7. Truist                                                                                                                  | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 8. I shares Russell 1000 Index                                                                                             | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 9. I shares Russell 2000 Value                                                                                             | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 10. I shares Russell 2000 Index                                                                                            | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 11. I shares Russell 1000 Index                                                                                            | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 12. I shares Russell 2000 Value                                                                                            | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 13. I shares Russell 2000 Index                                                                                            | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 14. I shares Russell 2000 Index (IRA) (H)                                                                                  | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 15. Genworth Life Ins. Co (whole life)                                                                                     | D                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 16. SunTrust Bank - money market                                                                                           | B                                      | Interest                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 17. H (RLA/CHARLES SCHWAB CUST IRA ROLLOVER)                                                                               |                                        |                                        |                                                |                                    |                                           |                  |                          |                         | See Part VIII                                              |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ANDERSON, III, ROBERT L.

Date of Report

07/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
|                                                                                                                            |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 18. Schwab US Treas. Money Fund                                                                                            | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 19. Blackrock Strat. Inc. Opportunity Port Instl.                                                                          | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 20. Vanguard Bond Index Fund Intermed. Term Bond (BIV)                                                                     | B                                      | Dividend                                      | K                                              | T                                         | Sold (part)                                     | 4/17/20                 | J                               | A                              |                                                                   |
| 21. Doublin total Return (DBLTX)                                                                                           | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 22. Blackrock Total Factor (BSTIX)                                                                                         | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 08/06/20                | L                               | A                              |                                                                   |
| 23. iShares Core S&P500 (IVV)                                                                                              | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 24. ISHR,MSCI Emerg Mkt (EEMV)                                                                                             | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 04/17/20                | J                               |                                |                                                                   |
| 25. iShares Global Tech (IXN)                                                                                              | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 09/04/20                | K                               |                                |                                                                   |
| 26. iShares US Medical(IHI)                                                                                                | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 09/04/20                | K                               |                                |                                                                   |
| 27. H (RLA SCHWAB INDIVIDUAL ACCOUNT)                                                                                      |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                | See Part VIII                                                     |
| 28. Schwab US Treas Money Fund                                                                                             | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 29. iShares Core S&P (IVV)                                                                                                 | A                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 30. iShares Russell 2000 (IWM)                                                                                             | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 04/17/20                | L                               | A                              |                                                                   |
| 31. Vanguard Mid-Cap Growth (VOT)                                                                                          | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 32. Vanguard Sht. Term Bond (BSV)                                                                                          | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 33. Vanguard Mid Cap Value (VOE)                                                                                           | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 34. JP Morgan Dvrsfd Rtrn Intrnl (JPIN)                                                                                    | A                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. ISHR EDG MSCI Min Vol EMG Mkt ETF (EEMV)                                                                               | A                                      | Dividend                               | K                                              | T                                  | Buy (add'l)                               | 04/17/20         | J                        |                         |                                                            |
| 36. Blackrock Total Factor(BSTIX)                                                                                          | A                                      | Dividend                               |                                                |                                    | Sold                                      | 08/06/20         | J                        | A                       |                                                            |
| 37. iShares Core Small Cap (IJR)                                                                                           | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 04/17/20         | K                        |                         |                                                            |
| 38. iShares MSCI Small Cap (SCZ)                                                                                           | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 04/17/20         | J                        |                         |                                                            |
| 39. H (ADDITIONAL STIFEL ASSETS)                                                                                           |                                        |                                        |                                                |                                    |                                           |                  |                          |                         | See Part VIII                                              |
| 40. Vanguard Appreciation                                                                                                  | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 41. Loomis Sayles Strategic Fund (H)                                                                                       | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 42. Osterweis Strategic Fund (IRA-W)                                                                                       | B                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 43. Osterweis Strategic Fund (IRA-H)                                                                                       | C                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 44. Invesco Balanced Risk Alloc. CL Y (IRA-W)                                                                              | B                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

ANDERSON, III, ROBERT L.

Date of Report

07/06/2021

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 17, is simply a heading to disclose that the following lines (lines 18-26) describe holdings or transactions in RLA Schwab IRA Rollover during 2020

Part VII. line 27 is simply a heading to disclose that the following lines (lines 28-38) describe holdings or transactions in the RLA Schwab individual account during 2020

Part VII line 39, is simply a heading to disclose that lines 40-44 describe holdings that were either already in or added to RLA Stifel brokerage accounts. Other holdings in those accounts were reported on early lines of Part VII.

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Name of Person Reporting

ANDERSON, III, ROBERT L.

Date of Report

07/06/2021

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **ROBERT L. ANDERSON, III**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544