

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Borman, Paul D.                                                                                                                      | <b>2. Court or Organization</b><br><br>U.S. District Court, EDMJ                                                                                                                                                                                                                         | <b>3. Date of Report</b><br><br>06/23/2021                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>Active U.S. District Judge                                                    | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2020<br>to<br>12/31/2020 |
| <b>7. Chambers or Office Address</b><br><br>Theodore Levin U.S. Courthouse<br>231 W. Lafayette, Rm. 740<br>Detroit, MI 48226                                                                              |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|               |                                  |
|---------------|----------------------------------|
| 1. President  | Borman Foundation                |
| 2. Co-Trustee | Family Trust (Assets on Pp. 6-8) |
| 3.            |                                  |
| 4.            |                                  |
| 5.            |                                  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Borman, Paul D.

Date of Report

06/23/2021

## III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

### A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

| <u>DATE</u>   | <u>SOURCE AND TYPE</u>     | <u>INCOME</u><br><small>(yours, not spouse's)</small> |
|---------------|----------------------------|-------------------------------------------------------|
| 1. 03/05/20   | LEG: West Academic Royalty | \$364.00                                              |
| 2. 09/28/2020 | LEG: West Academic Royalty | \$73.00                                               |
| 3.            |                            |                                                       |
| 4.            |                            |                                                       |

### B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒

NONE (No reportable non-investment income.)

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

## IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Borman, Paul D.          | 06/23/2021     |

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |
| 2.       |             |            |
| 3.       |             |            |
| 4.       |             |            |
| 5.       |             |            |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. PSaving/Checking Account Morgan/Chase                                                                                   | F                                      | Interest                               | P2                                             | T                                  |                                           |                  |                          |                         |                                                            |
| 2. Bristol-Myers Squibb Co.                                                                                                | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 3. Campbell Soup Co.                                                                                                       | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 4. Estee Lauder                                                                                                            | D                                      | Dividend                               | P1                                             | T                                  |                                           |                  |                          |                         |                                                            |
| 5. Kellogg Company                                                                                                         | D                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 6. Kelly Services                                                                                                          | C                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 7. Merck & Company, Inc.                                                                                                   | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 8. JP Morgan/Chase                                                                                                         | F                                      | Dividend                               | P1                                             | T                                  |                                           |                  |                          |                         |                                                            |
| 9. Proctor & Gamble Co. (P&G)                                                                                              | F                                      | Dividend                               | P1                                             | T                                  |                                           |                  |                          |                         |                                                            |
| 10. Pfizer                                                                                                                 | D                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 11. 4% Int. Village Green Apt. Ltd. Partner, Southgate, MI                                                                 | B                                      | Rent                                   |                                                |                                    | Sold                                      | 11/20/20         | O                        | G                       | Lightstone                                                 |
| 12. 11% Int. Kendallwood Apt. Ltd. Partner Farmington Hills, MI                                                            | G                                      | Rent                                   | O                                              | W                                  |                                           |                  |                          |                         |                                                            |
| 13. Northwestern Mutual Variable Annuity (H)                                                                               |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 14. MSA/BlackRock Govt Money Market Fund                                                                                   | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 15. TIAA Traditional (fixed annuity)                                                                                       | E                                      | Interest                               | N                                              | T                                  | Redeemed (part)                           | 12/16/20         | K                        |                         |                                                            |
| 16. IRA Cash Equivalent J.P. Morgan Bank                                                                                   | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 17. IRA Stock Proctor & Gamble                                                                                             | C                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. IRA Stock Sally Beauty                                                                                                 |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 19. (AXA) Equitable Equi/Vest Guaranteed Interest Account                                                                  | B                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 20. U.S. Treasury Bill                                                                                                     | D                                      | Interest                               |                                                |                                    | Redeemed                                  | 01/23/20         | O                        |                         |                                                            |
| 21.                                                                                                                        | D                                      | Interest                               |                                                |                                    | Buy                                       | 01/27/20         | O                        |                         |                                                            |
| 22.                                                                                                                        | D                                      | Interest                               |                                                |                                    | Redeemed                                  | 04/30/20         | O                        |                         |                                                            |
| 23.                                                                                                                        | D                                      | Interest                               |                                                |                                    | Buy                                       | 04/27/20         | O                        |                         |                                                            |
| 24.                                                                                                                        | D                                      | Interest                               |                                                |                                    | Redeemed                                  | 07/30/20         | O                        |                         |                                                            |
| 25.                                                                                                                        | D                                      | Interest                               |                                                |                                    | Buy                                       | 07/30/20         | O                        |                         |                                                            |
| 26.                                                                                                                        | D                                      | Interest                               |                                                |                                    | Redeemed                                  | 10/29/20         | O                        |                         |                                                            |
| 27.                                                                                                                        | D                                      | Interest                               | P1                                             | T                                  | Buy                                       | 10/29/20         | O                        |                         |                                                            |
| 28.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 29.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 30.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 31.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 32.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 33.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 34.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Borman, Paul D.

Date of Report

06/23/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 36. TRUST                                                                                                                  |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 37. Savings/Checking Account - Chase                                                                                       | D                                      | Interest                               | P1                                             | T                                  |                                           |                  |                          |                         |                                                            |
| 38. ALCON (Novartis spinoff)                                                                                               | B                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 39. ARCONIC                                                                                                                | A                                      | Dividend                               |                                                |                                    | Sold                                      | 08/20/20         | L                        | E                       |                                                            |
| 40. Adobe                                                                                                                  |                                        | None                                   | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 41. Bristol-Myers                                                                                                          | B                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 42. Campbell Soup Company                                                                                                  | C                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 43. Caterpillar                                                                                                            | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 44. Cisco                                                                                                                  | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 45. Coca Cola                                                                                                              | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 46. Core                                                                                                                   |                                        | None                                   |                                                |                                    | Sold                                      | 11/14/20         | J                        | A                       |                                                            |
| 47. Danaher                                                                                                                | A                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 48. Dentsply                                                                                                               | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 49. Diageo                                                                                                                 | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 50. EBAY                                                                                                                   |                                        | None                                   | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 51. Facebook                                                                                                               |                                        | None                                   | N                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3 Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. Fortive (DanaHER spinoff)                                                                                              | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 53. Fortune Brands                                                                                                         | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 54. General Electric                                                                                                       | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 55. Illinois Tool Works                                                                                                    | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 56. Kellogg Company                                                                                                        | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 57. Lowe's                                                                                                                 | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 58. McCormick                                                                                                              | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 59. Merck                                                                                                                  | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 60. NESTLE                                                                                                                 | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 61. Novartis                                                                                                               | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 62. Oracle                                                                                                                 | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 63. PayPal                                                                                                                 |                                        | None                                   | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 64. Schlumberger                                                                                                           | B                                      | Dividend                               | K                                              | T                                  | Buy<br>(add'l)                            | 01/14/20         | K                        |                         |                                                            |
| 65. Schwab                                                                                                                 | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 66. Stanley B & D                                                                                                          | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 67. Stryker Corporation                                                                                                    | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 68. Synchrony (G.E. spinoff)                                                                                               | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |

1 Income Gain Codes:  
(See Columns B1 and D4)

2 Value Codes  
(See Columns C1 and D3)

3 Value Method Codes  
(See Column C2)

A =\$1,000 or less  
F =\$50,001 - \$100,000  
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N =\$250,001 - \$500,000  
P3 =\$25,000,001 - \$50,000,000  
Q =Appraisal  
U =Book Value

B =\$1,001 - \$2,500  
G =\$100,001 - \$1,000,000  
K =\$15,001 - \$50,000  
O =\$500,001 - \$1,000,000  
R =Cost (Real Estate Only)  
V =Other

C =\$2,501 - \$5,000  
H1 =\$1,000,001 - \$5,000,000  
L =\$50,001 - \$100,000  
P1 =\$1,000,001 - \$5,000,000  
P4 =More than \$50,000,000  
S =Assessment  
W =Estimated

D =\$5,001 - \$15,000  
H2 =More than \$5,000,000  
M =\$100,001 - \$250,000  
P2 =\$5,000,001 - \$25,000,000  
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. Verisk                                                                                                                 |                                        | None                                   | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 70. 3M                                                                                                                     | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 71. Yahoo N/K/A Altaba, Inc.                                                                                               |                                        | None                                   |                                                |                                    | Distributed                               | 11/02/20         | J                        | D                       |                                                            |
| 72. Vontier (Furtive spinoff)                                                                                              |                                        | None                                   |                                                |                                    | Sold                                      | 10/09/20         | J                        | B                       |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Borman, Paul D.

Date of Report

06/23/2021

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I, Paul D. Borman, am one of a class of potential beneficiaries of the Trust. I have never received any distributions from this Trust. The Trust Assets are listed in category VII, pages 6-8.

# FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Borman, Paul D.

Date of Report

06/23/2021

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Paul D. Borman**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544