

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bostwick, Janet E.	2. Court or Organization U.S. Bankruptcy Court, Massachusetts	3. Date of Report 07/10/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address J.W. McCormack Post Office and Court House 5 Post Office Square, Suite 1150 Boston, MA 02109-3945		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. President, Director, Officer	Janet E. Bostwick, PC
2. Director, Chair- Pro Bono Committee	American College of Bankruptcy Foundation
3. Co-Chair, Administration and Courts Subcommittee (Sept. 2020- present)	American Bar Association, Business Law Section, Business Bankruptcy Committee
4. Co-Chair, Closely Held and Small Business (until Sept. 2020)	American Bar Association, Business Law Section, Business Bankruptcy Committee
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Bostwick, Janet E.

Date of Report

07/10/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Bostwick, Janet E.	07/10/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Massachusetts Bar Association	Free Membership Dues	\$425.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Bostwick, Janet E.

Date of Report

07/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Citizens Bank, N. A. Cash Accounts	A	Interest	M	T					
2. First Republic Bank Cash Accounts	A	Interest	K	T					
3. Brokerage Account (H)									
4. -Wells Fargo Bank Cash Account	A	Interest	K	T					
5. -Calamos Growth Fund	A	Dividend	J	T					
6. -American Funds Capital World Growth & Income Fund	A	Dividend	K	T					
7. - Gabelli Small Cap Growth Fund	D	Dividend	K	T					
8. IRA Account #1 (H)									
9. -Fidelity 500 Index Fund	B	Dividend	L	T					
10. - The Hartford MidCap Fund	C	Dividend	K	T					
11. -Invesco Oppenheimer Developing Markets Fund	A	Dividend	K	T					
12. - MFS Value Fund	B	Dividend	L	T					
13. -Meridian Small Cap Growth Fund	B	Dividend	L	T					
14. -Metropolitan West Total Return Bond Fund	C	Dividend	L	T					
15. -MainStay Winslow Large Cap Growth Fund	C	Dividend	L	T	Sold (part)	10/09/20	J	A	
16. -American Funds EuroPacific Growth Fund	A	Dividend	M	T					
17. -PIMCO Emerging Markets Bond Fund	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Principal High Yield Fund	B	Dividend	K	T					
19. -Undiscovered Managers Behavioral Value Fund	A	Dividend	K	T					
20. -Virtus Vontobel Emerging Markets Opportunities Fund	A	Dividend	K	T					
21. -Wells Fargo Special Mid Cap Value Fund	A	Dividend	K	T					
22. IRA Account #2 (H)									
23. - Wells Fargo Bank Cash Account	A	Interest	J	T					
24. - Calamos Growth Fund	B	Dividend	K	T					
25. -Invesco Oppenheimer Global Opportunities Fund	A	Dividend	J	T					
26. - Lord Abbett MidCap Fund	A	Dividend	J	T					
27. -Lord Abbett Developing Growth Fund	C	Dividend	K	T					
28. IRA #3 (H)									
29. -Calamos Growth Fund	B	Dividend	K	T					
30. IRA Account #4 (H)									
31. - Wells Fargo Bank Cash Account	A	Interest	K	T					
32. - American Funds Capital World Growth and Income Fund	A	Dividend	K	T					
33. -Invesco Oppenheimer Developing Markets Fund		None	K	T					
34. -Lord Abbett Mid Cap Stock Fund	A	Dividend	L	T					

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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

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35. -Lord Abbett Developing Growth Fund	C	Dividend	K	T					
36. -Wells Fargo Asset Allocation Fund	C	Dividend	M	T					
37. -First Trust Select DSIP 3rd Quarter 2019 Series	A	Dividend			Redeemed	10/19/20	K	B	
38. -TriState Capital Bank Cash Account	A	Interest			Closed	03/30/20	K	A	
39. -First Trust Select DSIP 4th Quarter 2020 Series	A	Dividend	K	T	Buy	10/20/20	K		-

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Bostwick, Janet E.

Date of Report

07/10/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Janet E. Bostwick PC, my solo law practice, ceased operations as of September 26, 2019, except for remaining activities to collect assets, pay expenses and winddown the firm.

The Wells Fargo Cash Account in IRA #1, listed on line 44 of the prior 2019 Annual report, is not included because it fell below the reporting threshold.

IRA#5, listed in lines 63 to 119 of the 2019 Annual report, was closed in 2019.

IRA#6, listed in lines 120 to 180 of the 2019 Annual report, was closed in 2019.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Bostwick, Janet E.

Date of Report

07/10/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Janet E. Bostwick**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544