

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Brady, Holly A.	2. Court or Organization Northern District of Indiana, Fort Wayne Division	3. Date of Report 05/11/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 1300 S. Harrison Street Fort Wayne, IN 46802		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Article III Judge	United States District Court, Northern District of Indiana, Fort Wayne Division
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

Brady, Holly A.

Date of Report

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Self Employed - Customer Service Consultant
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Steel Dynamics	A	Dividend			Sold	09/18/20	K		
2. Janus Henderson Overseas D Shares	A	Dividend	K	T					
3. Millennium Trust/BioPoly		None	M	T					
4. Brady IRA Schwab Cash Reserve	A	Interest	K	T					
5. Brady IRA Schwab Spdr Healthcare (XLV)					Buy	06/23/20	K		
6. Brady IRA Schwab Spdr Healthcare (XLV)	A	Dividend	K	T	Buy (add'l)	08/19/20	K		
7. Brady IRA Schwab Spdr S&P 500 ETF (SPY)					Buy (add'l)	03/16/20	K		
8. Brady IRA Schwab Spdr S&P 500 ETF (SPY)					Buy (add'l)	06/23/20	L		
9. Brady IRA Schwab Spdr S&P 500 ETF (SPY)	B	Dividend	N	T	Sold (part)	07/29/20	L		
10. Brady IRA Schwab Spdr Technology (XLK)	A	Dividend	K	T	Buy	06/23/20	K		
11. Brady IRA Schwab Vanguard FTSE Emerging (VWO) Markets ETF	A	Dividend	K	T					
12. Brady IRA - Angel Oak (ANGLX)	A	Dividend	K	T					
13. Brady IRA - Gugenheim Total Return (GIBLX)					Sold (part)	03/16/20	K		
14. Brady IRA - Gugenheim Total Return (GIBLX)	A	Dividend	J	T					
15. Brady IRA Schwab American Fed Europacific (AEPFX)	A	Dividend	L	T	Sold (part)	06/23/20	K		
16. Brady IRA Schwab Carillon Eagle Small Cap (HRSCX)	C	Dividend	K	T	Sold (part)	06/23/20	K		
17. Brady IRA Schwab Doubline Schiller Ehnanced (DSENX)	B	Dividend	M	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Brady IRA JP Morgan Large Cap Growth (OLGAX)					Buy	06/23/20	K		
19. Brady IRA JP Morgan Large Cap Growth (OLGAX)	D	Dividend	M	T	Buy (add'l)	07/29/20	L		
20. Bradfy IRA Schwab MFS International Growth (MGRAX)	A	Dividend	L	T	Buy	06/23/20	L		
21. Brady IRA Schwab MFS New Discovery Value (NDVAX)	B	Dividend	L	T					
22. Brady IRA Schwab Ishares MSCI EAfe ETF (EFA)	B	Dividend			Sold	06/23/20	M		
23. Brady IRA Schwab Pimco Stockplus Small A (PCKAX)	A	Dividend			Sold	06/23/20	L		
24. Indiana College Choice Section 529 Direct - Plan #1 Plan - #1		None	L	T					
25. Indiana College Choice Section 529 Direct - Plan #2 Plan - #2		None	K	T					
26. Indiana College Choice Section 529 Direct - Plan #3 Plan - #3		None	L	T					
27. Three Rivers Federal Credit Union	A	Interest	L	T					
28. Chase Bank Account - 1	A	Interest	J	T					
29. Chase Bank Account - 2 (Y)	A	Interest	J	T					
30. Old National HSA Account	A	Interest	J	T					
31. Trust Number 1 - 1/6th Remainder Interest (H)									
32. -Uobirac, LLC - Property in Allen County, Indiana - AV \$955,900	F	Rent	N	S					
33. - Promissory Note AW	D	Interest	M	T					
34. - Promissory Note - RW Winkeljohn	C	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - First Source	A	Interest	M	T					
36. - Activision Blizzard (ATVI)	A	Dividend	K	T					
37. - Allegiant Travel (LAGT)			L	T					
38. - Apple (AAPL)	B	Dividend	N	T					
39. - Biostage Inc Common Stock (BSTG)- (Y)									
40. - Cisco Systems (CSCO)	A	Dividend	K	T					
41. - Morgan Stanley (MS) formerly ETrade Financial (ETFC)	B	Dividend	M	T					
42. - Nucor (NUE)	A	Dividend	K	T					
43. -Nutrien Ltd (NTR) - (X)	A	Dividend	J	T					
44. - Nvidia Corp (NVDA)	A	Dividend	N	T					
45. - PNC Financial Services (PNC)	A	Dividend	K	T					
46. - Steel Dynamics (STDL)	D	Distribution	N	T					
47. - ST Microelectronics (STM)	A	Dividend	K	T					
48. - Teck Resources (TECK)	A	Dividend	J	T					
49. - Visa Inc CLA Common Stock (V)	A	Dividend	L	T					
50. - Weibo Corporation (WB)			J	T					
51. - Schwab xxxx-xx83 - Money Market Sweep Account	A	Interest	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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52. -Schwab xxxx-xx83 - ishares 0-5 Yr. Inv Grade Corp Bd ETF (SLQD)	A	Dividend	M	T	Buy	11/23/20	M		
53. -Schwabxxxx-xx83 - Schwab International Equity ETF (SCHF)	B	Dividend	L	T					
54. -Schwab xxxx-xx83 Schwab US Large Cap Growth (SCHV)	A	Dividend	M	T					
55. - Schwab xxxx-xx83 SPDR S&P 400 Mid (MDYV)	A	Dividend	M	T					
56. - Schwab xxxx-xx83 SPDR S&P 600 Small Cap Growth ETF (SLYV)	A	Dividend	M	T					
57. - Schwab xxxx-xx83 - Invesco Bulletshares (BTSM) Equity ETF	A	Dividend			Sold	11/23/20	M	D	
58. - Schwab xxxx-xx83 Schwab US Large Cap Growth (SCHG)	A	Dividend			Sold	11/23/20	M	E	
59. - Schwab xxxx-xx83 SPDR S&P 400 Mid Cap Growth ETF (MDYG)	A	Dividend			Sold	11/23/20	M	E	
60. - Schwab xxxx-xx83 SPDR S&P 600 Small Cap Growth ETF (SLYG)	A	Dividend			Sold	11/23/20	M	E	
61. - Schwab xxxx-xx91 Money Market Sweep	A	Interest	K	T					
62. - Schwab xxxx-xx91 - American Fund (AEPFX) Europacific Growth (AEPFX)	A	Dividend	L	T					
63. - Schwab xxxx-xx91 - Angel Oak Multi Strat (ANGLX) Europacific Growth	B	Dividend	K	T	Sold (part)	03/16/20	K		
64. - Schwab xxxxxx91 - Carillon Eagle Small Cap Growth Fd A (HRSCX)			K	T					
65. -Schwab xxxx-xx91 - Doubleline Shiller Fixed Income (DLFNX)	A	Dividend	L	T	Buy	08/20/20	L		
66. - Schwab xxxxxx91 - Doubleline Shiller Enhanced Cap Fund (DSENX)	B	Dividend	L	T					
67. - Schwab xxxxxx91 - Fidelity Inter Bond (FTHRX) Enhanced Cap Fund	B	Dividend	L	T					
68. - Schwab xxxxxx91 - Guggenheim (GIBLX)	C	Dividend	L	T	Sold (part)	03/16/20	K	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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69. -Schwab xxxx-xx91 JP Morgan Large Cap Growth (OLGAX)	C	Dividend	L	T	Buy	07/30/20	L		
70. -Schwab xxxx-xx91 MFS International Growth (MGRAX)	A	Dividend	K	T	Buy	06/24/20	K		
71. - Schwab xxxxxx91 - MFS New Discovery (NDVAX) Value Fund A	A	Dividend	K	T					
72. - Schwab xxxxxx91 Pimco Incm Inst CL (PIMIX)	B	Dividend	K	T	Sold (part)	06/23/20	J		
73. Schwab xxxx-xx91 - SPDR Healthcare (XLV)	A	Dividend	K	T	Buy	06/25/20	J		
74. Schwab xxxx-xx91 - SPDR S&P 500 ETF (SPY)	C	Dividend	N	T	Sold (part)	07/29/20	K	D	
75. Schwab xxxx-xx91 SPDR Technology (XLK)	A	Dividend	K	T	Buy	06/23/20	J		
76. - Schwab xxxx-xx91 - Vanguard FTSE Emerging Markets (VWO)	A	Dividend	K	T					
77. - Schwab xxxx-xx91 - Ishares MSCI EAFE (EFA) ETF	B	Dividend			Sold	06/23/20	L		
78. - Schwab xxxxxx91 - Pimco Stockplus Small A (PCKAX)	A	Dividend			Sold	06/23/20	J		
79.									
80.									
81.									
82.									
83.									
84.									
85.									

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

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G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
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P4 =More than \$50,000,000
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86.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Holly A. Brady**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544