

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BROWN, TRISH M.	2. Court or Organization U.S. BANKRUPTCY COURT, OREGON	3. Date of Report 05/11/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 1050 SW SIXTH AVE., SUITE 700 PORTLAND, OR 97204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Treasurer	Oregon Division, United States Fencing Association
2. Treasurer	National Conference of Bankruptcy Judges
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

BROWN, TRISH M.

Date of Report

05/11/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	FIDELITY NATIONAL MANAGEMENT - SALARY
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
BROWN, TRISH M.	05/11/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

BROWN, TRISH M.

Date of Report

05/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. MORGAN STANLEY SMITH BARNEY ROLLOVER IRA (H)									
2. - VANGUARD SHORT-TERM GOV BOND (VGSH)	A	Dividend	K	T	Buy	08/10/20	K		
3.					Sold (part)	11/19/20	K	A	
4. - Cons Staples Sel Sect SPDR FD (XLP)	B	Dividend	L	T	Buy (add'l)	04/06/20	K		
5.					Buy (add'l)	08/10/20	K		
6. - Health Care Sel Sect SPDR FD (XLV)	B	Dividend	L	T	Buy (add'l)	04/06/20	K		
7.					Buy (add'l)	08/10/20	K		
8. - Industrial Sel Sec SPDR Fd (XLI)	A	Dividend	K	T	Buy (add'l)	08/10/20	K		
9. - Vanguard Extended Mkt ETF (VXF)	A	Dividend	L	T					
10. - Invesco SSP 500 Quality ETF fka Powershares S&P High Quality (SPHQ)	A	Dividend	K	T	Buy (add'l)	08/10/20	K		
11. - Vanguard Growth ETF (VUG)	B	Dividend	M	T					
12. - Vanguard Value ETF Index (VTV)	B	Dividend	L	T	Buy (add'l)	08/10/20	J		
13. - Investco QQQ Trust Series I fka Powershares QQQ TR (QQQ)	B	Dividend	M	T					
14. - iShares SP Smallcap 600 Index (IJR)	A	Dividend	L	T					
15. - SPDR S&P Midcap 400 ETF Trust (MDY)	B	Dividend	M	T	Buy (add'l)	08/10/20	K		
16. - Invesco Wilderhill Clean Energy fka Powershares Winderhill ... (PBW)	A	Dividend	J	T					
17. - iShares DJ US RI Est Index (IYR)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

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18. - Ishares S&P Pref Stk Indx (PFF)	B	Dividend	K	T	Buy (add'l)	08/10/20	J		
19. - Ishares MBS ETC fka Ishares Barclays MBS Bond Fund (MBB)	A	Dividend	K	T					
20. - Vanguard FTSE Developed Markets (VEA)	B	Dividend			Sold	04/08/20	K	A	
21. - Vanguard Dividend Appreciation (VIG)	B	Dividend	L	T	Buy (add'l)	08/10/20	K		
22. - Pimco Active Bond fka Total Return ETF (BND)	A	Int./Div.	K	T					
23. - Ishares DJ US Home Construct (ITB)	A	Dividend	K	T					
24. - Ishares MSCI Asia Ex-Japan ETC (AAXJ)	A	Dividend	L	T					
25. - Utilities S4 Sect SPDR Fund (XLU)	B	Dividend	K	T	Buy (add'l)	04/06/20	J		
26.					Buy (add'l)	08/10/20	J		
27. - MORGAN STANLEY BANK money market acct.	A	Interest	J	T					
28. - FNF 401K Stock Fund (FNF)	A	Dividend	J	T	Buy	07/28/20	J		See Part VIII
29. - Black Knight Inc. (BKI)	A	Dividend	J	T	Buy	07/28/20	J		See Part VIII
30. - CNNE Frozen Stock Fund (CNNE)	A	Dividend	J	T	Buy	07/28/20	J		See Part VIII
31. - ISHARES TIPS BOND ETF (TIP)	A	Dividend	L	T	Buy	08/10/20	L		
32. - ISHARES BARCLAYS 1-3 YR (SHY)	A	Dividend	K	T	Buy	08/10/20	K		
33.					Sold (part)	11/19/20	K		
34. - ISHARES 3-7 YR TREAS (IEI)	A	Dividend	K	T	Buy	08/10/20	K		

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FINANCIAL DISCLOSURE REPORT

Page 6 of 11

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35. - INVESCO S&P 500 EQUAL WEIGHT E (RSP)	A	Dividend	J	T	Buy	09/30/20	J		
36. - CONS DISCRETE SEL SECT SPOR (XLY)	A	Dividend	K	T	Buy	11/19/20	K		
37. Chevron Texaco Corporation/Common Stock	A	Dividend	J	T					
38. American Funds: EUROPACIFIC GROWTH FUND - MUTUAL FUND (AEPGX)	A	Dividend	M	T					
39. OREGONIAN FEDERAL CREDIT UNION - PORTLAND, OR	A	Interest	J	T					
40. St. Helens Comm. Fed. Credit Union Accounts-St. Helens, OR	A	Interest	J	T					
41. WELLS FARGO BANK ACCOUNTS - PORTLAND, OR	A	Interest	K	T					
42. BANK OF AMERICA - PORTLAND, OR	A	Interest	K	T					
43. Oregon College Savings Plan TIAFF Cref Age Base Portfolio (H)									
44. - Aggressive Portfolio	A	Dividend	L	T					
45. UBS 									See Part VIII.
46. - VANGUARD ULTRA SHORT TERM BOND (VUSFX)	A	Dividend	K	T	Buy	10/12/20	K		
47. - INTEL COMMON STOCK (INTC)	A	Dividend	J	T					
48. - DISNEY COMMON STOCK (DIS)	A	Dividend	L	T					
49. - Medtronic PLC (MDT)	A	Dividend	K	T					
50. - NIKE COMMON STOCK (NKE)	A	Dividend	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

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BROWN, TRISH M.

Date of Report

05/11/2021

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51. - PEPSI COMMON STOCK (PEP)	A	Dividend	K	T					
52. - AQUILLA TAX FREE TRUST - MUTUAL FUND (ORTFX)	A	Dividend	J	T					
53. - OREGON FUND CLASS Y (ORTYX)	A	Dividend	J	T	Buy	10/12/20	J		
54. - UBS Bank USA Dep. Acct.	A	Interest	K	T					
55. - AT&T Inc. (T)	B	Dividend	K	T					
56. - Dominion Energy Inc. (D)	B	Dividend	K	T					
57. - Duke Energy Corp New (DUK)	B	Dividend	K	T					
58. - Exxon Mobil Corp (XOM)	A	Dividend			Sold	08/24/20	J	A	
59. - Franklin Street Properties Corp REITS (FSP)	A	Dividend			Sold	08/24/20	J	A	
60. - Genl Electric Co. (GE)	A	Dividend			Sold	08/24/20	J	A	
61. - Royal Dutch Shell PLC (RDSB)	A	Dividend			Sold	08/24/20	J	A	
62. - UBS Certificate of Deposit	A	Interest			Redeemed	08/05/20	K	A	
63. - Bond BMO Harris Bank NA IL US (05581WJB2)	A	Interest			Sold	08/05/20	K	A	
64. - Bond JPMorgan Chase Bk OH US (48126XAG0)	A	Interest			Sold	08/18/20	K	A	
65. UBS IRA ROLLOVER D T									
66. - Columbia Dividend Income Fund (GSFTX)	A	Dividend	L	T	Buy	08/24/20	K		
67. - LORD ABBETT CONVERTABLE FUND (LBFFX)	A	Dividend	K	T	Buy	08/24/20	K		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

BROWN, TRISH M.

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05/11/2021

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68. - WCM FOCUSED INTERNATIONAL GROWTH (WCMIX)	A	Dividend	L	T	Buy	08/24/20	K		
69. - JANUS HENDERSONS BALANCED FUND (JBALX)	A	Dividend	K	T	Buy	08/24/20	K		
70. - UBS Bank USA Dep Acct	A	Interest	J	T					
71. - Janus Henderson Intl Opportunities Fund (HFOIX)	A	Dividend			Sold (part)	01/27/20	J	A	
72.					Sold	08/24/20	L	C	
73. - Investco Developers Markets Fund fka Oppenheimer Dev... (ODVYX)	A	Dividend	K	T					
74. - Touchstone Sands Capital (CFSIX)	D	Dividend	M	T					
75. - Templeton Glbal Fund (TGBAX)	B	Dividend	K	T					
76. - Loomis Sayles Bond Fund Class Retail (LSBDX)	B	Dividend	L	T	Sold (part)	08/10/20	J	A	
77. - First Eagle Global Fund (SGIIX)	A	Dividend	L	T					
78. - Franklin Income ADV (FRIAX)	B	Dividend			Sold	08/24/20	K	A	
79. - Diamond Hill Small Midcap (DHMIX)	A	Dividend	M	T					
80. - AIG Focused Div Strategy fka Sun America Foc. Div. Strategy (FDSWX)	B	Dividend			Sold	08/24/20	L	A	
81. - Principal Spectrum Preferred Securities (PPSIX)	A	Dividend	K	T					See Part VIII.
82. - RMB Mendon Financial Services Fund (RMBKX)	A	Dividend			Sold	08/24/20	K		
83. - Double Line Total Return Fund Instl. (DBLTX)	B	Dividend	K	T					
84. - IVY Science & Technology (ISTIX)	B	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

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85. - Alpha Centric Income Fund Class (IOFIX)	B	Dividend	K	T					
86. - Vanguard Industrials Index Fund Admiral (VINAX)	A	Dividend	K	T					
87. CHARLES SCHWAB INVESTMENT ACCOUNT (HEADER)									
88. - Schwab Value Advantage M (SWVXX)	A	Int./Div.	M	T					
89. - Schwab Money Market/Sweep Account	A	Interest	J	T					
90. Northwest Mutual Financial Network (whole life insurance)	B	Dividend	K	T					See Part VIII.
91. FIDELITY NAT'L FIN. GROUP 401(k) PROFIT SHARING PLAN (H)					Closed	07/28/20			See Part VIII.
92. - FNF 401K Stock Fund	A	Dividend			Sold	07/28/20	J	A	See Part VIII.
93. - Black Knight Frozen Stock Fund	A	Dividend			Sold	07/28/20	J	A	See Part VIII.
94. - CNNE Frozen Stock Fund	A	Dividend			Sold	07/28/20	J	A	See Part VIII.
95. - Blackrock Life Path Index Fund Retrc	A	Dividend			Sold	07/27/20	N		See Part VIII.

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

BROWN, TRISH M.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VII, line 45, page 6. All assets in a previous disclosed UBS account were given a new account number and joined with the current XXXX account at UBS (line 54, page 7).
2. Part VII, line 81, page 8. This asset had an incorrect symbol in previous reports. PPSFX should have been PPSIX.
3. Part VII, line 90, page 9. No premium payment exceeded \$1,000.00.
4. Part VII, line 91, page 9. Acquisitions of investments within Fidelity National Financial Group 401(k) Profit Sharing Plan did not exceed \$1,000 on a per transaction basis. In July 2020, this account was closed due to the retirement of my spouse.
5. Part VII, lines 92-94, page 9. These assets were not sold but transferred directly to the Morgan Stanley Smith Barney Rollover IRA. However, there are no codes to reflect such a transfer. Therefore, I listed them as sold in the Fidelity National Financial Group 401(k) Profit Sharing Plan and bought in the Morgan Stanley Smith Barney IRA. They were not actually liquidated.
6. Part VII, line 95, page 9. Income gain/loss not provided. Asset liquidated due to retirement. All proceeds of the sale were transferred to Morgan Stanley Smith Barney Rollover IRA.
7. This is my penultimate report. I will be retiring on October 2, 2021.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **TRISH M. BROWN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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